

**United States Department of Energy
National Energy Technology Laboratory
License of Federally Owned Invention**

**Application for License
(Exclusive, Partially Exclusive, Non-Exclusive, or Non-Exclusive Research)**

It is the policy of the DOE to accord licenses on DOE-owned patents and patent applications in appropriate circumstances to applicants with satisfactory plans for development and/or marketing of the invention. 37 C.F.R. Part 404, Licensing of Government Owned Inventions provides terms, conditions, and procedures for the accord of patent licenses, both nonexclusive and exclusive, on DOE-owned patents and patent applications.

Applications should be addressed to:

Jessica Lamp
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National Energy Technology Laboratory
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The [name of Applicant] does hereby apply to the Director of the National Energy Technology Laboratory (NETL) for [a/an exclusive, partially exclusive, non-exclusive, non-exclusive research] domestic license to an invention made by an NETL employee while in the performance of official duties, and thus assigned to the U.S. Department of Energy (DOE).

Required Information:

1. Information regarding Applicant:
 - (a) Address of Applicant:
 - (b) Citizenship or State of Incorporation:
 - (c) Information for the representative of Applicant with whom negotiations are to be conducted:
 - i. Name:
 - ii. Title:
 - iii. Address:
 - iv. Telephone Number:

v. E-mail:

2. Identification of invention:

(a) Patent Number or Patent Application Serial Number:

(b) Title:

3. Nature and type of Applicant's business, including identification of products or services which applicant has successfully commercialized, and including approximate number of Applicant's employees:

4. Applicant's status, if applicable, as a small business, as defined at Section 2 Pub. L. No. 85-536 (15 U.S.C. 632) and implementing regulations of the Small Business Administration:

5. Source of information concerning the availability for license of the invention:

6. In support of this application, a detailed description of Applicant's plan for development and/or marketing of the invention, submitted as an appendix hereto or as insertions below, including:

(a) A statement as to Applicant's intention to fulfill the plan, including Applicant's manufacturing, marketing, financial, and technical capability to fulfill the plan;

(b) A statement of the time, nature, and amount of anticipated investment of capital and other resources which Applicant believes will be necessary to bring the invention to practical application;

(c) A statement of the field or fields of use for which Applicant intends to practice the invention; and

(d) A statement of the geographic areas in which Applicant intends to manufacture any products embodying the invention and geographic areas where Applicant intends to use or sell the invention, or both.

7. Identification of any licenses previously granted to Applicant under federally owned inventions:

8. A statement as to Applicant's best knowledge of the extent to which the invention is being practiced by private industry and/or Government, or is otherwise available commercially:

9. Other information Applicant believes would support a determination to grant the license:

The facts set forth in this request for license are within the knowledge of the requestor (Applicant) and are submitted with the intention that the Director of the NETL rely on them in reaching the license determination.

Respectfully submitted,

(Signature)

(Name and title of authorized representative)

Date: _____