



NATIONAL ENERGY TECHNOLOGY LABORATORY



Catalytic Transformation of CO₂ to C1 Products

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Team Members & Collaborators:

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NETL-RUA: James Lewis (WVU), Ronchao Jin (CMU), Ken Jordan (PITT), Sittichai Natesakhawat (PITT)

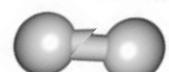


CO₂ Conversion to C1 Industrial Chemicals

CO₂



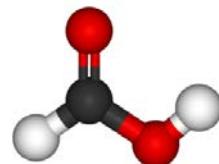
H₂



H₂O



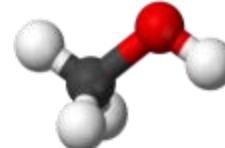
Catalyst



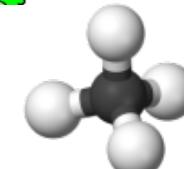
Formic Acid



Formaldehyde



Methanol



Methane



Industrial
Waste Heat



Solar-Heating
Or Photo-driven



Wind-Electric

Approx
Yearly
Market

25 K Ton¹
(\$25 M)

3 M ton
(\$720 M)

3.6 M ton
(\$1440 M)

484 M ton
(\$210,000 M)

Uses

Leather,
Pulp

Urea Resins
Phenol Resins

Fuel/MTG
Formaldehyde

Fuel
Acetic Acid

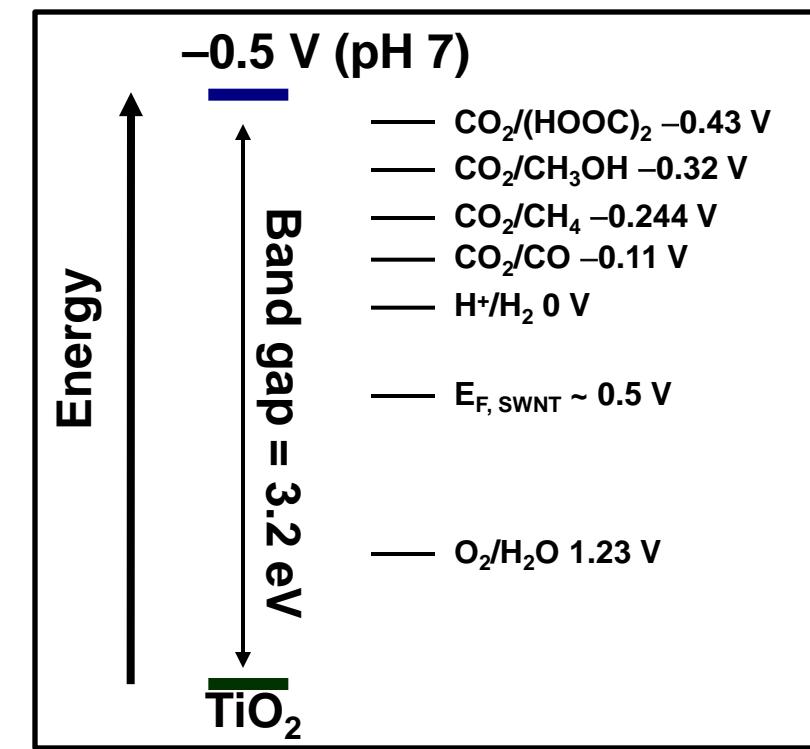
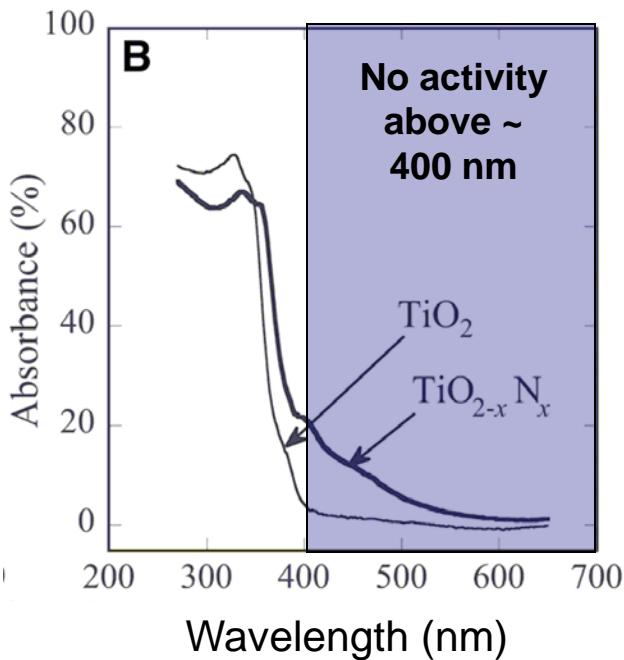
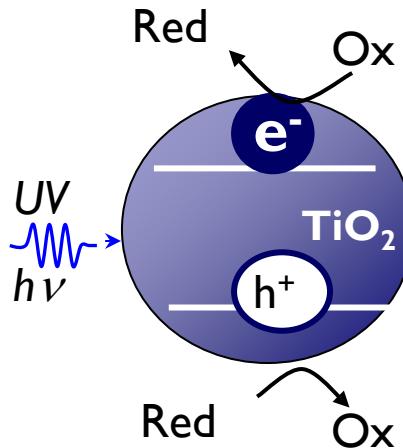
¹ Global Formic Acid Market: 0.5 M Ton (\$750 M)

Project Structure

- **Photocatalytic Systems**
 - Heterostructured Photocatalysts for CO₂ Reduction
 - Symmetry Breaking and High Throughput Computational Screening of Delafossites for the Photocatalytic Reduction of CO₂
 - Scanning Tunneling Microscopy and Dispersion-corrected Density Functional Theory Studies of TiO₂ Surfaces
- **Electrocatalytic Systems**
 - Electronic Structure and Catalytic Activity of Au₂₅ Clusters
- **Thermal Catalytic Systems**
 - Atomic Structure and Catalytic Activity of Cu/ZnO-Based Materials

Technical Barriers for CO₂ Utilization Photocatalysts

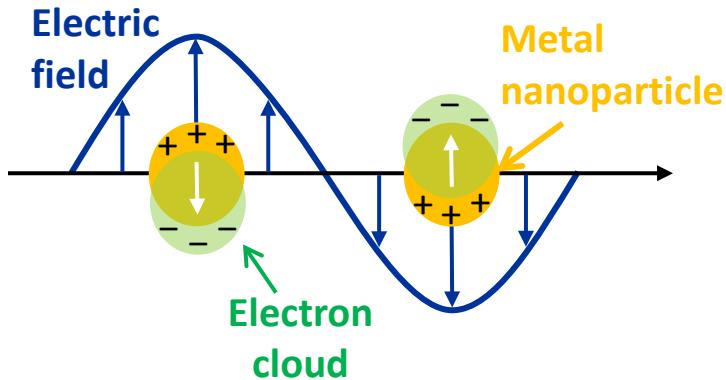
- Poor optical activity in visible & infrared
- Rapid recombination of e⁻ & h⁺ pairs prevents useful redox photochemistry
- Slow CO₂ conversion kinetics
- Difficulty controlling product selectivity



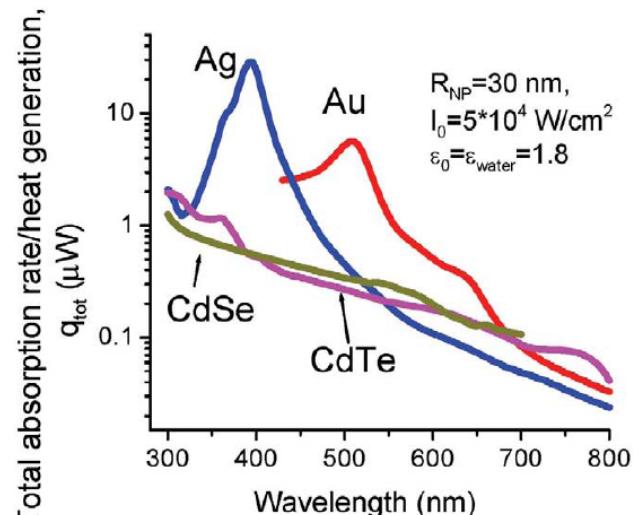
Plasmonic Heating in Heterostructures for Catalytic CO₂ Reduction

A “Hybrid” Photo- and Thermal-Catalytic Approach

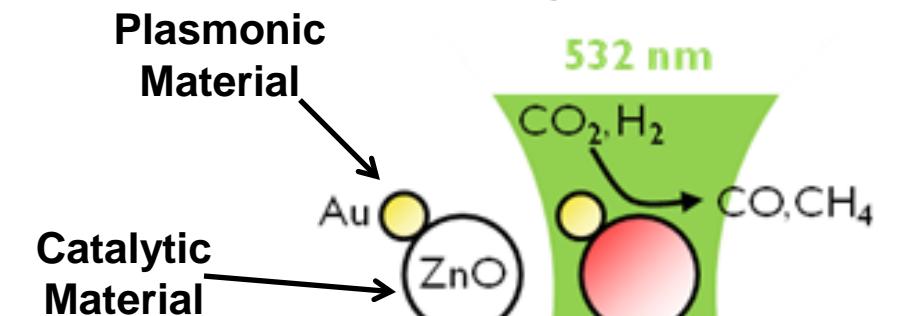
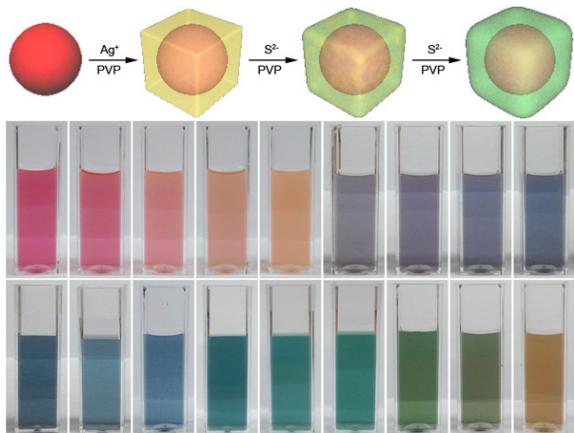
Light excites collective electron motions
(Plasmons)



Light converted to Thermal energy
(ohmic/joule heating)

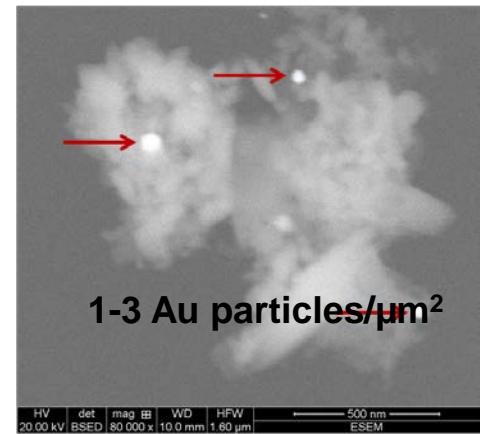
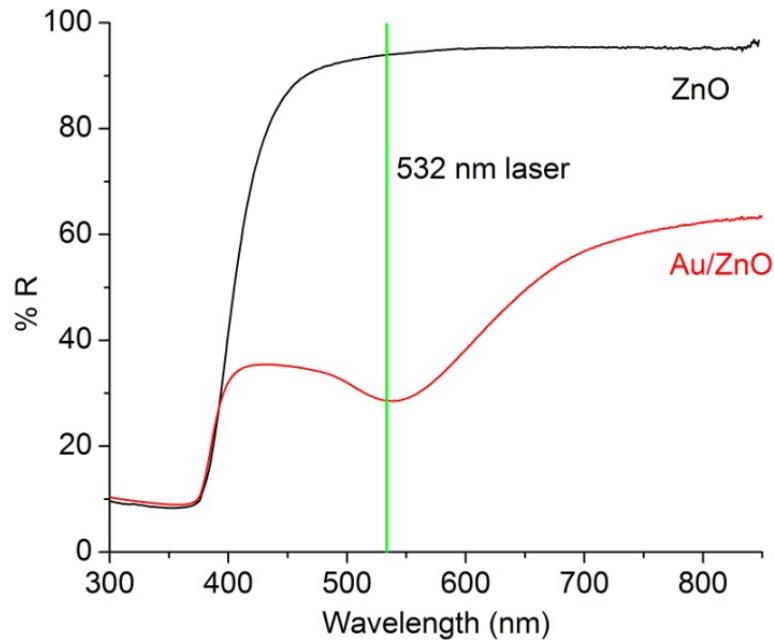
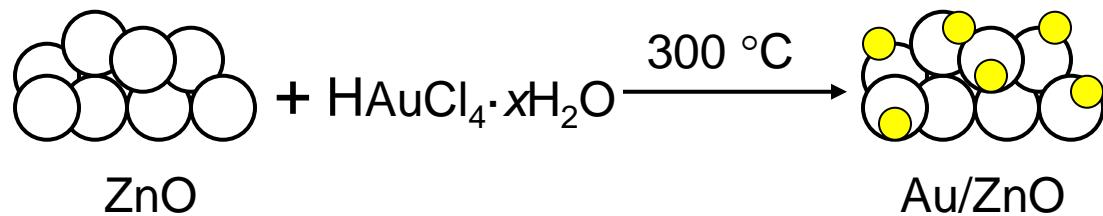


Optical Activity Controlled by
Size/Shape/Composition



S. Link, M. A. El-Sayed, *J. Phys. Chem. B* **103**, 4212 (1999); A. O. Govorov, H. H. Richardson, *Nano Today* **2**, 30 (2007), G. Park, D. Seo, H. Song, *Langmuir* **28**, 9003-9009 (2012)

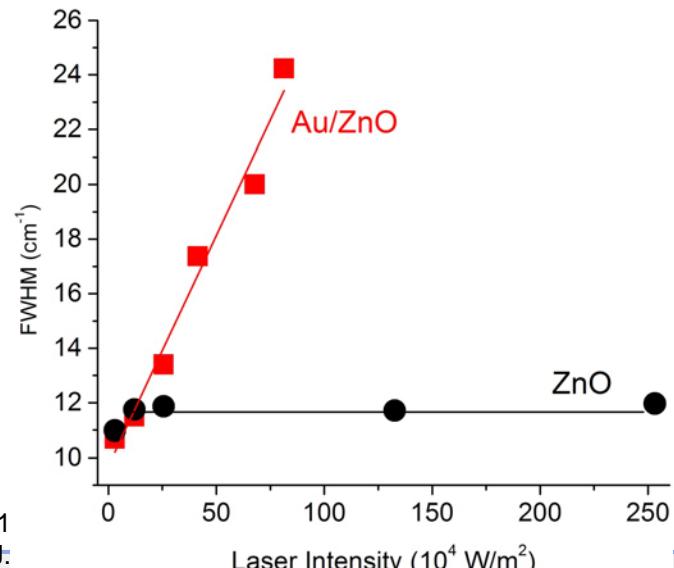
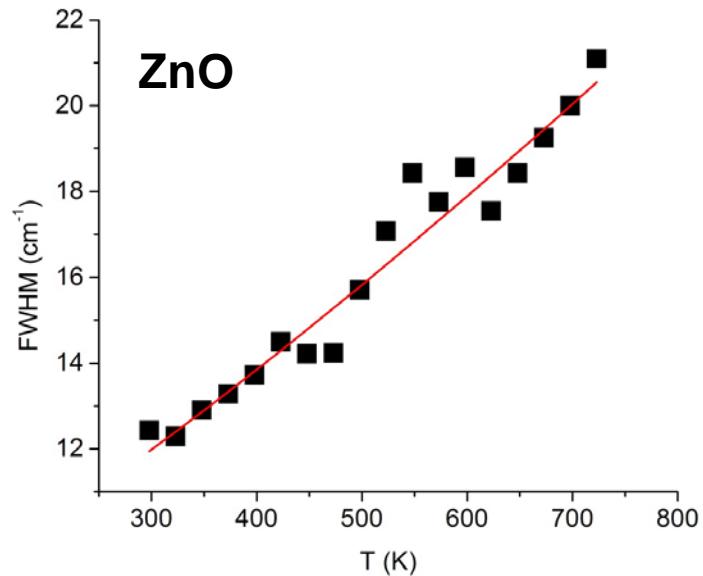
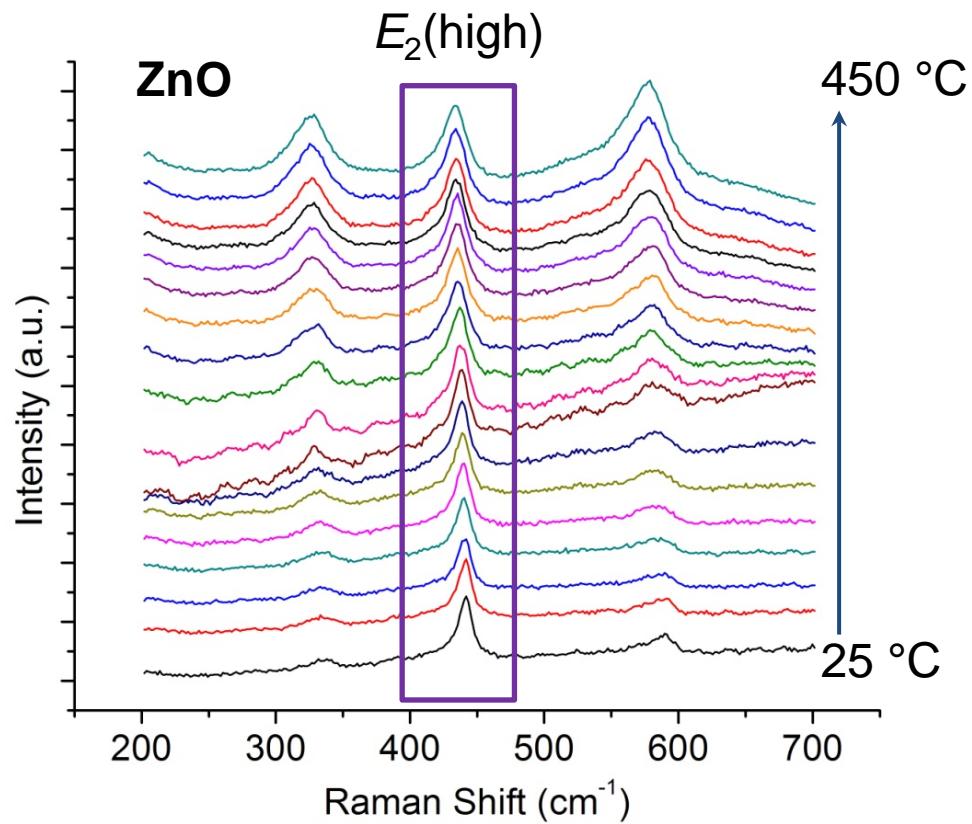
Synthesis and Characterization of Plasmonic Au/ZnO Heterostructured Catalysts



C. Wang, et al., submitted
(2013).

Raman Spectroscopy to Estimate Localized Plasmonic Heating

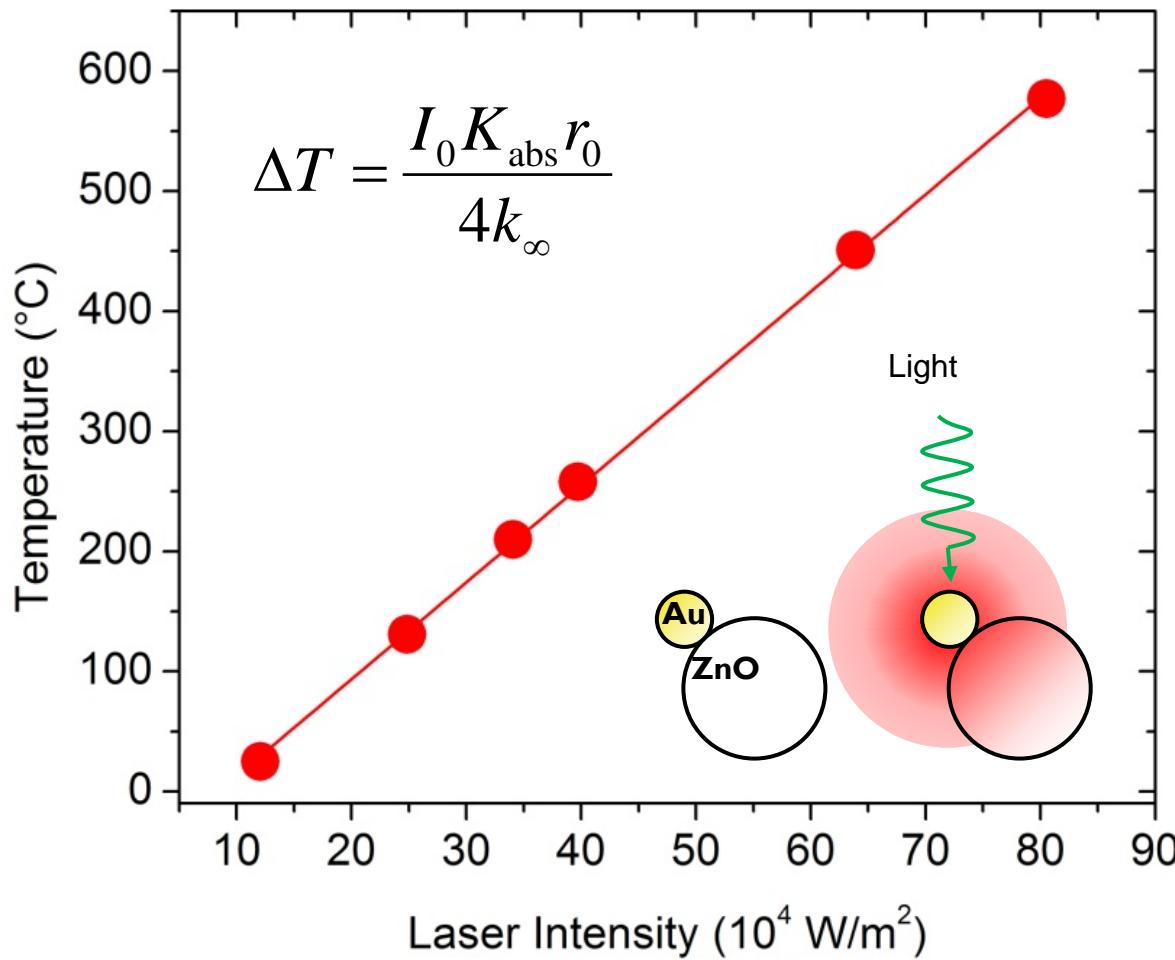
Temp dependent ZnO phonon peaks used to monitor temperature



R. Cuscó, et al., Phys. Rev. B **75**, 165202 (2007); H. K. Yadav, et al., Appl. Phys. Lett. **100**, 051 et al., Phys. Rev. B **75**, 035208 (2007); J. Serrano, et al., Phys. Rev. Lett. **90**, 055510 (2003); J. Rev. B **29**, 2051 (1984).

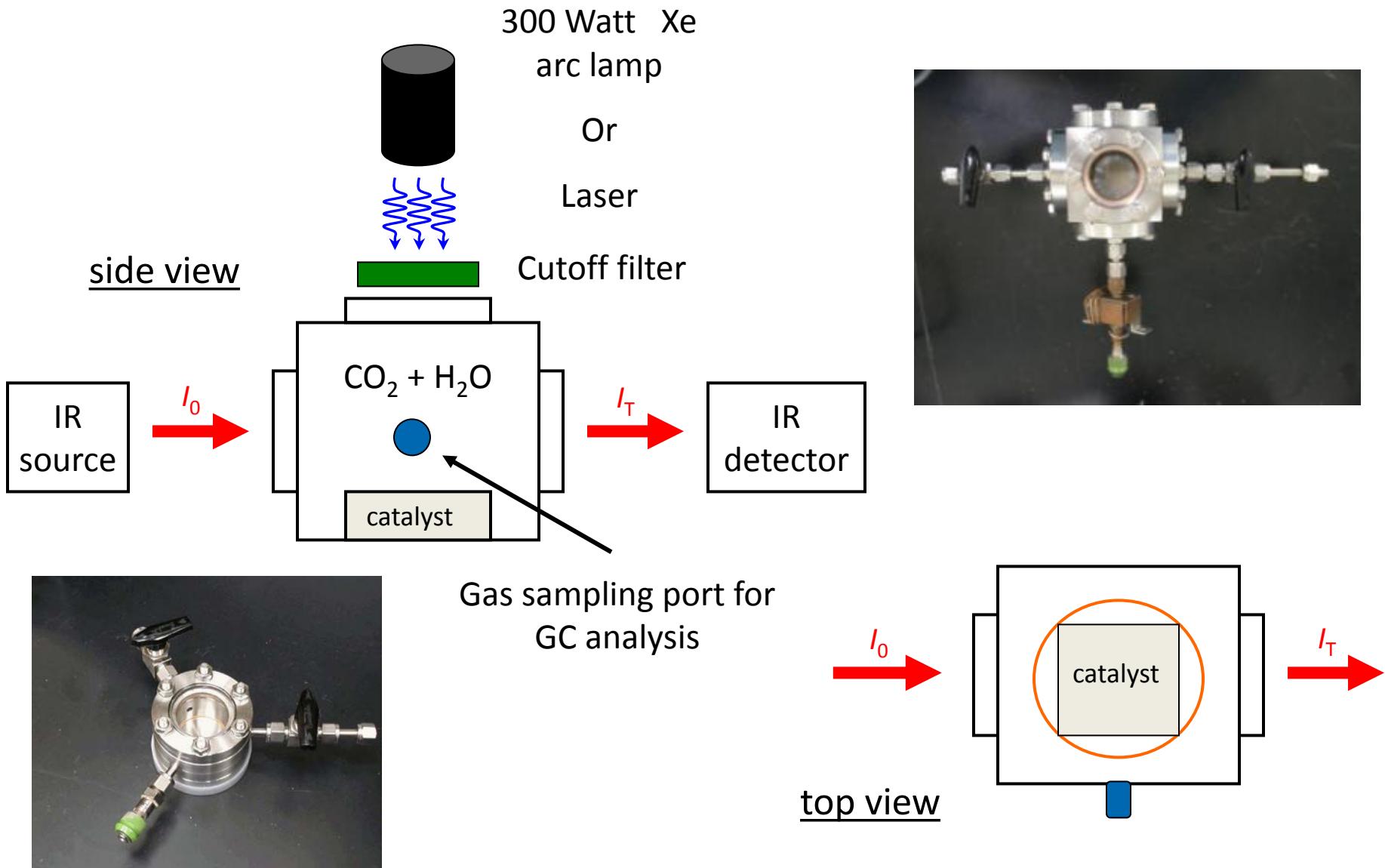
How Hot? How Localized?

20 nm Au on ZnO Support

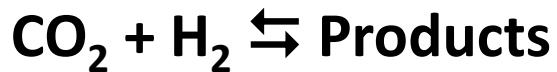


- Surface of ZnO Support is Heated
- Sample Cell Still Cool to Touch
- Heating on/off in microseconds (or less)

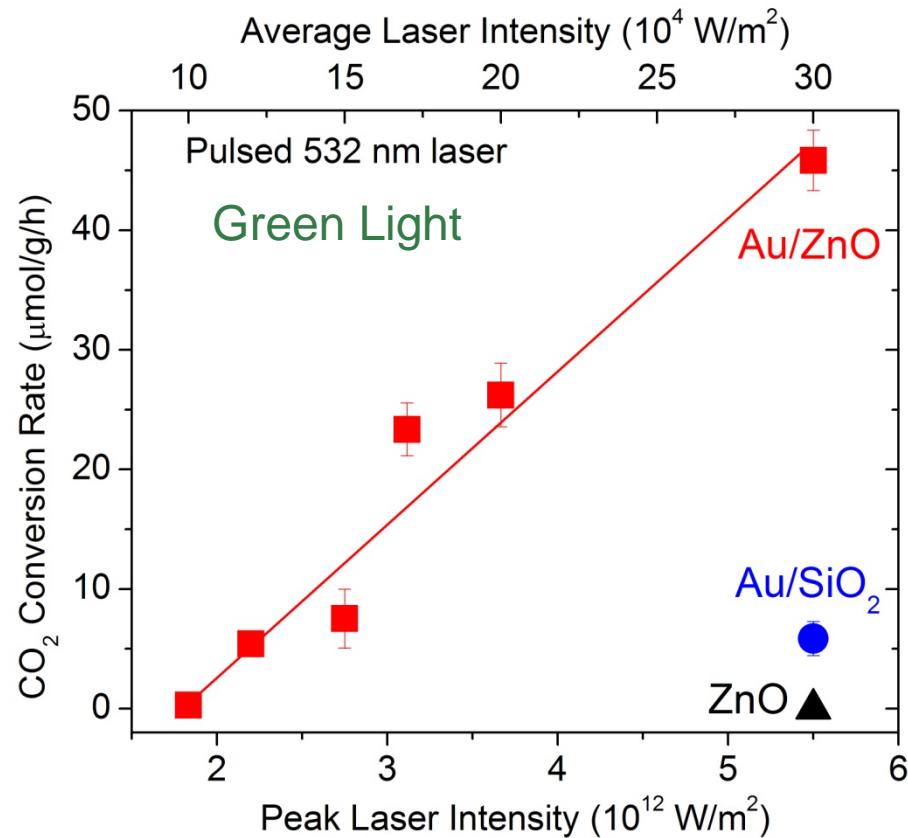
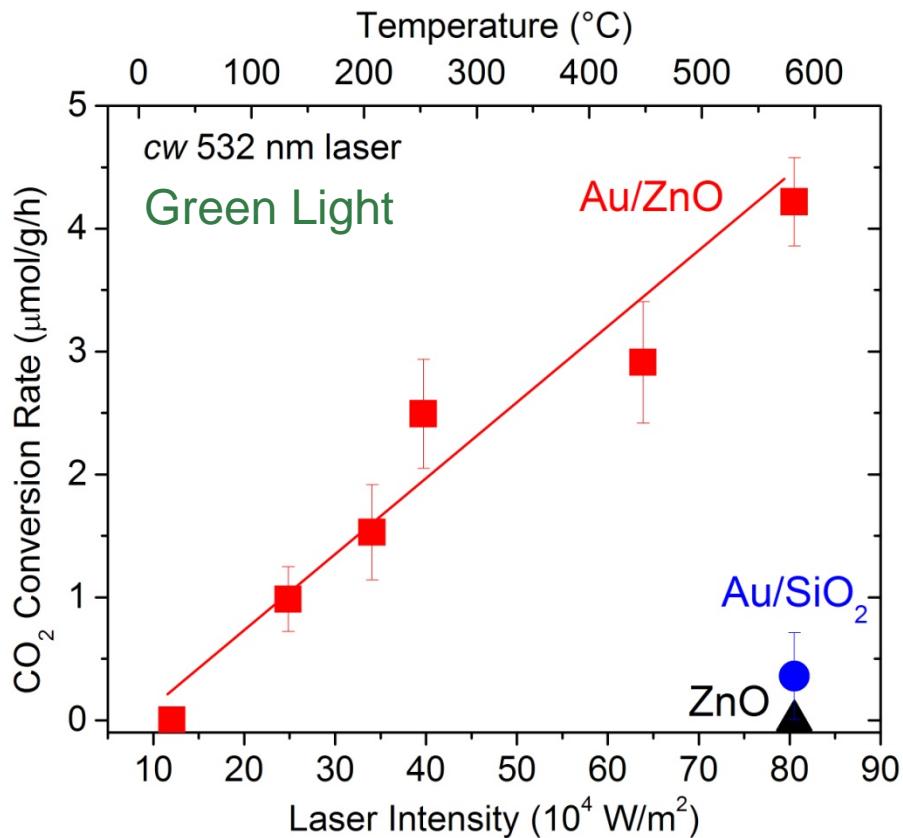
Photocatalysis Experiments for Activity Evaluation



Visible Light CO_2 Reduction with Plasmonic Heating

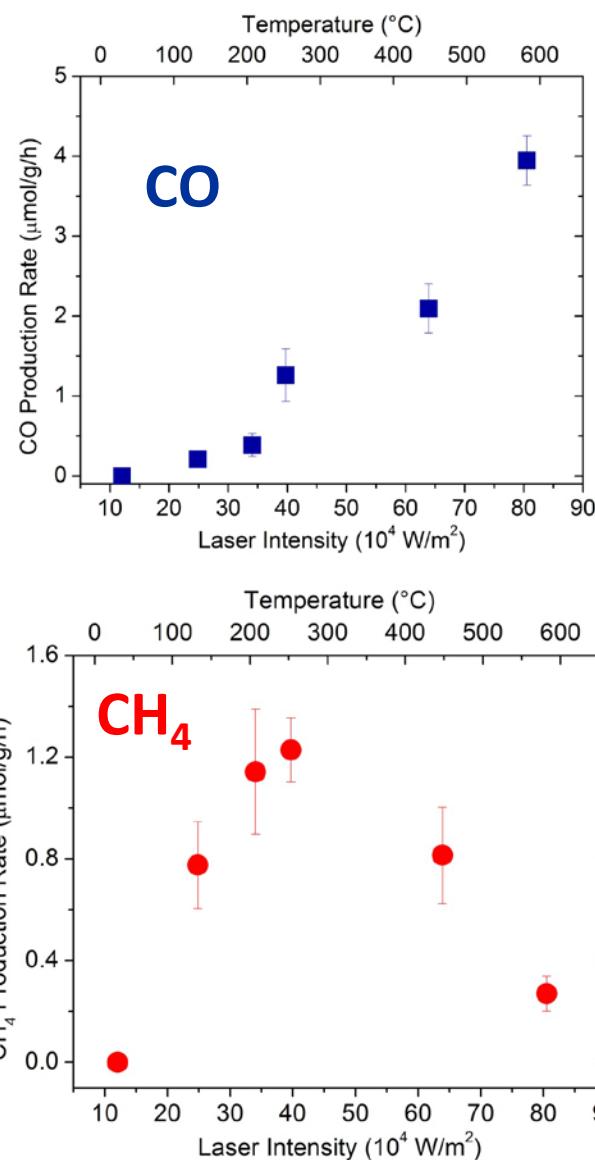


(See next few slides for product distributions)



Determining Reaction Pathways

Rxn Products
 $\text{CO}_2 + \text{H}_2 \rightleftharpoons \text{Products}$



Pathways

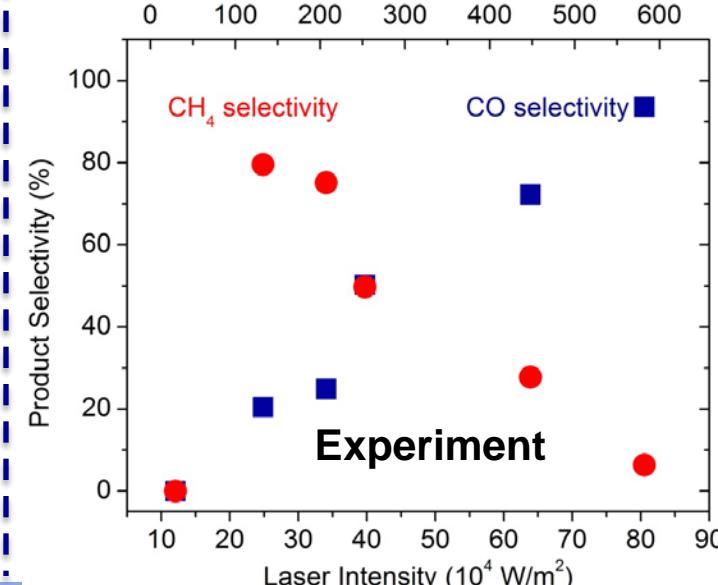
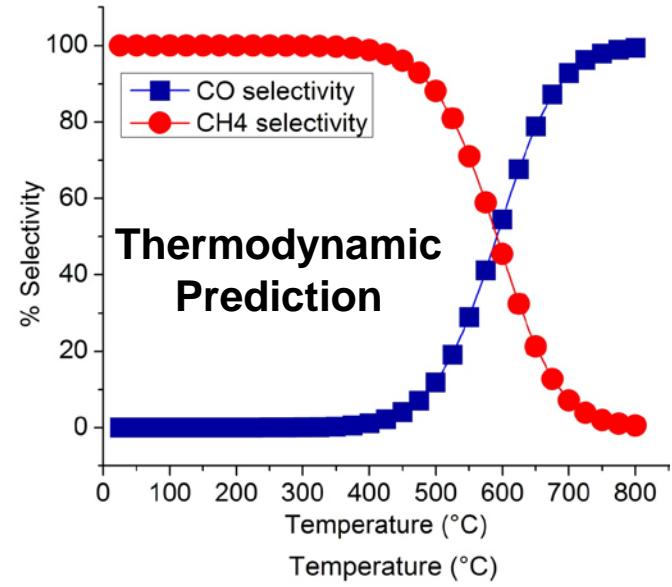
Reaction scheme 1:
 $\text{CO}_2 + \text{H}_2 \rightleftharpoons \text{CO} + \text{H}_2\text{O}$
 (Reverse water-gas shift (RWGS))

Reaction scheme 2:
 $\text{CO}_2 + \text{H}_2 \rightleftharpoons \text{CO} + \text{H}_2\text{O}$
 (RWGS)
 $\text{CO}_2 + 3\text{H}_2 \rightleftharpoons \text{CH}_3\text{OH} + \text{H}_2\text{O}$
 (Methanol synthesis)

Reaction scheme 3:
 $\text{CO}_2 + \text{H}_2 \rightleftharpoons \text{CO} + \text{H}_2\text{O}$
 (RWGS)
 $\text{CO} + 3\text{H}_2 \rightleftharpoons \text{CH}_4 + \text{H}_2\text{O}$
 (CO-methanation)

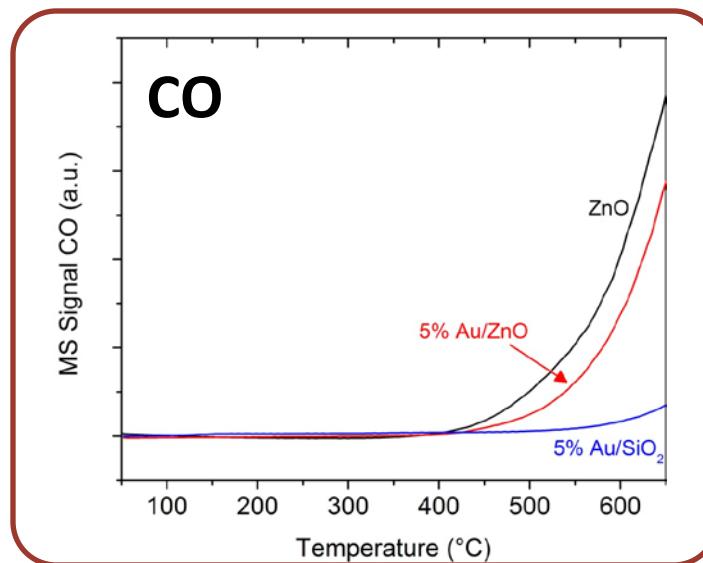
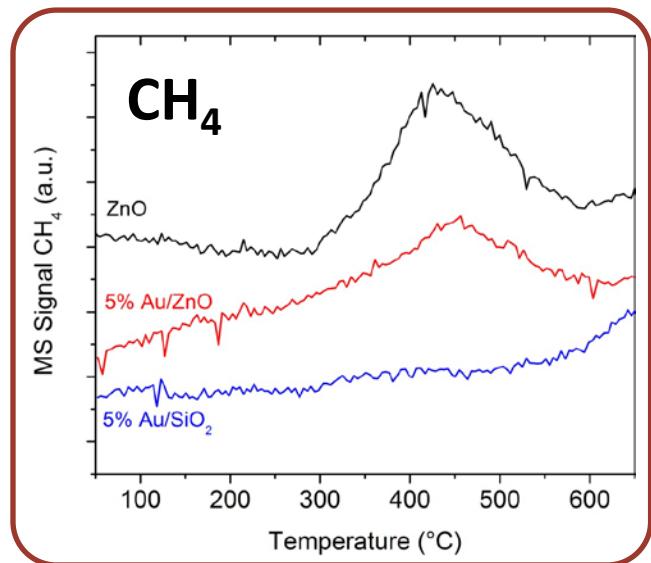
Reaction scheme 4:
 $\text{CO}_2 + \text{H}_2 \rightleftharpoons \text{CO} + \text{H}_2\text{O}$
 (RWGS)
 $\text{CO}_2 + 3\text{H}_2 \rightleftharpoons \text{CH}_3\text{OH} + \text{H}_2\text{O}$
 (Methanol synthesis)
 $\text{CO} + 3\text{H}_2 \rightleftharpoons \text{CH}_4 + \text{H}_2\text{O}$
 (CO-methanation)

Selectivities



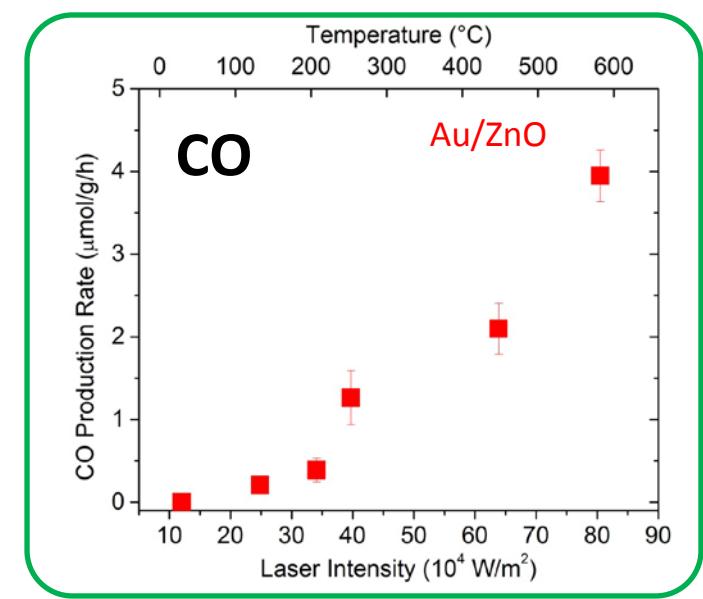
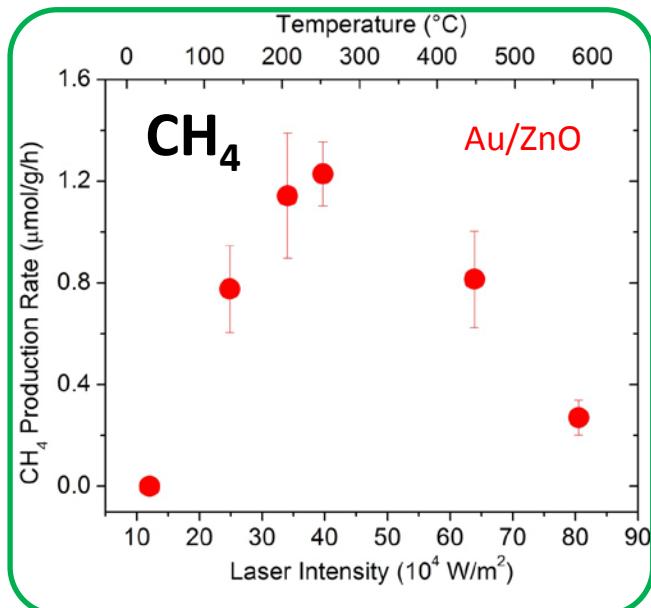
Temperature Programmed Reaction in Dark Confirm Rxn Mechanism

Dark Reactions



Dark Reactions

Light Reactions



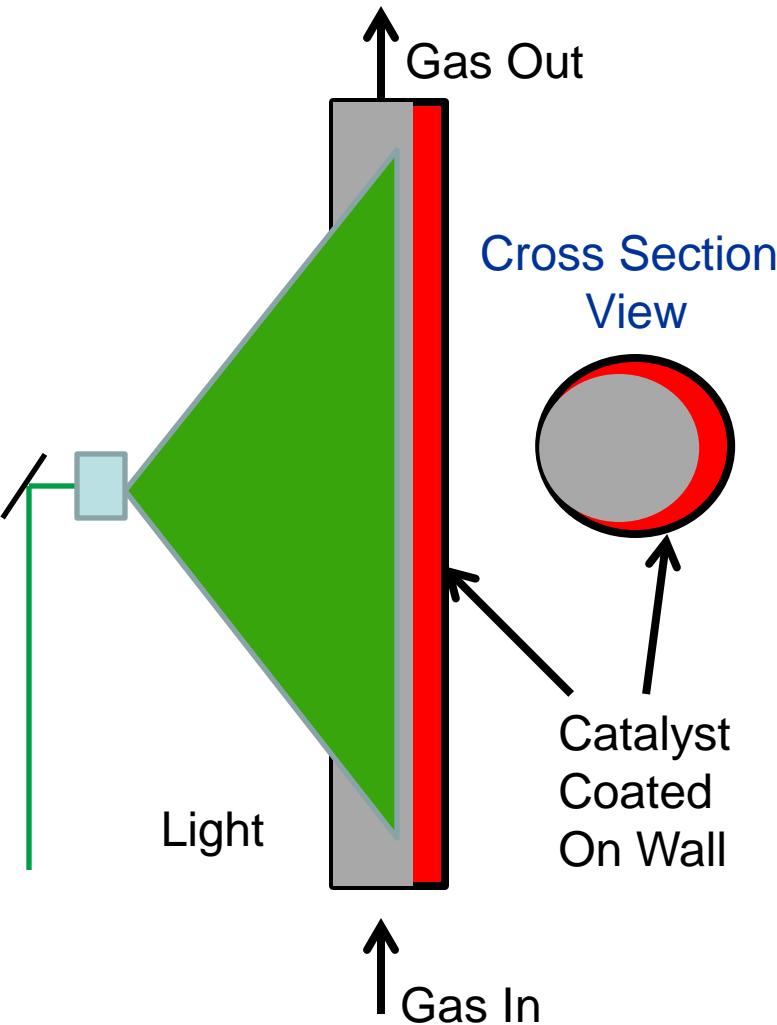
Light Reactions

Demonstrating Scalability

One Simple Plasmonic Reactor Run in Two Different Modes

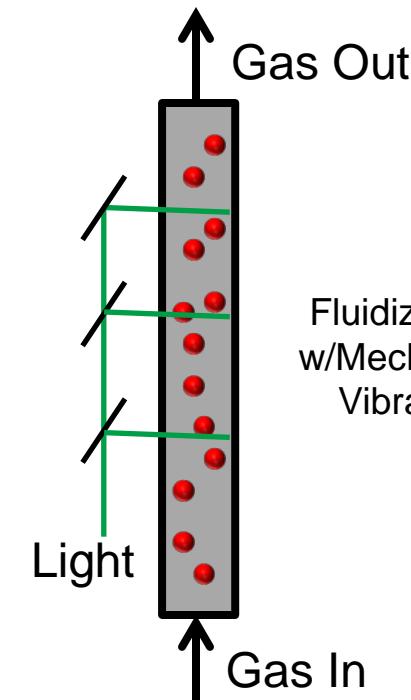
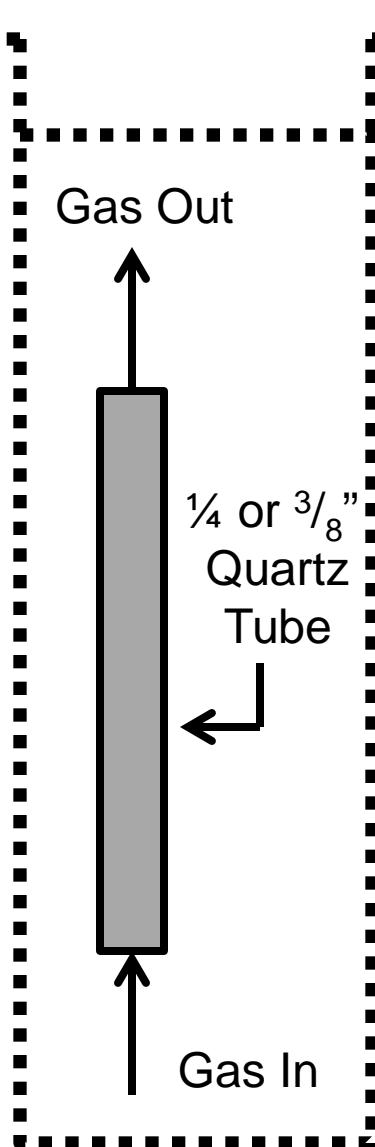
Fixed Bed Mode

Top Down View



Fluidized Bed Mode

Top Down View



Project Structure

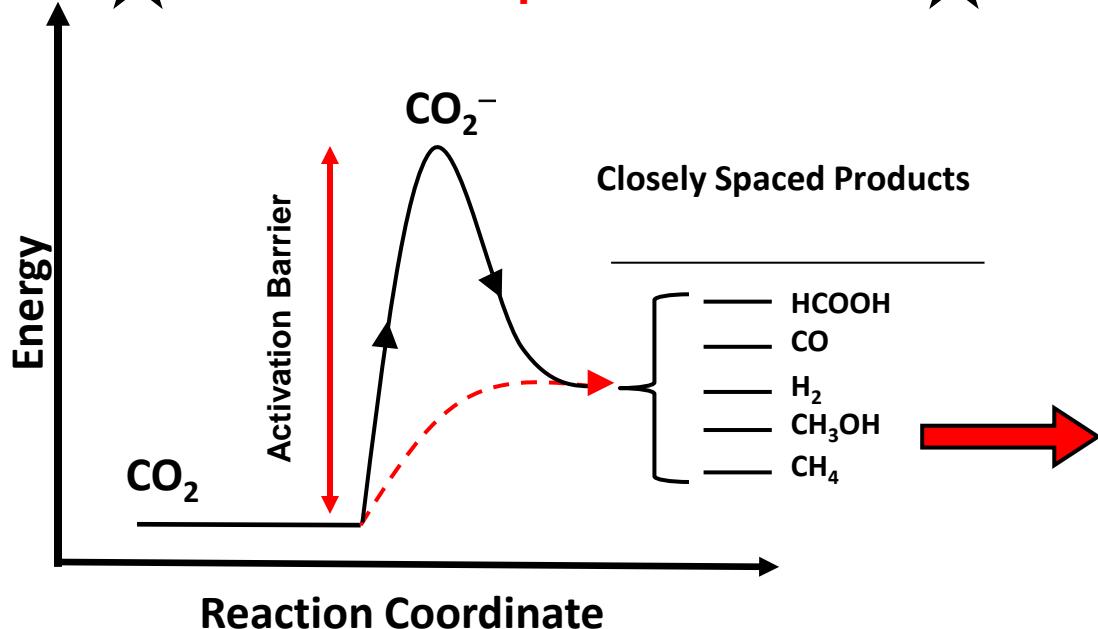
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- **Electrocatalytic Systems**
 - Electronic Structure and Catalytic Activity of Au₂₅ Clusters
- **Thermal Catalytic Systems**
 - Atomic Structure and Catalytic Activity of Cu/ZnO-Based Materials

Technical Barriers for CO₂ Electrocatalysis

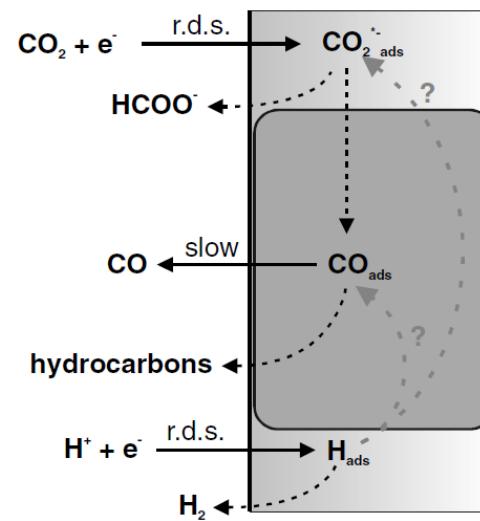
Technical Issues

- Large overpotentials required
- Low Efficiency
- Poor product selectivity
- Parasitic H₂ evolution

★ **Barrier = Overpotential = Cost!** ★



Possible Electrode Processes



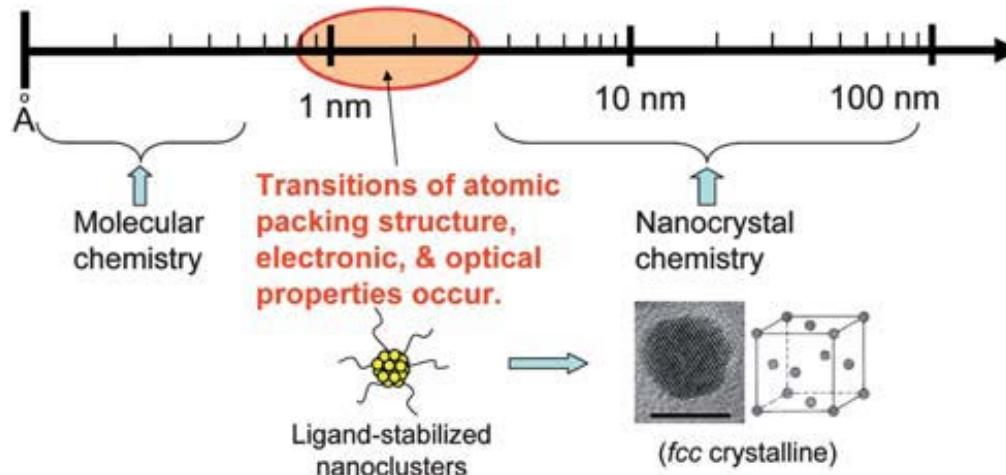
J. Electroanal. Chem. 2006, 594, 1

Challenge

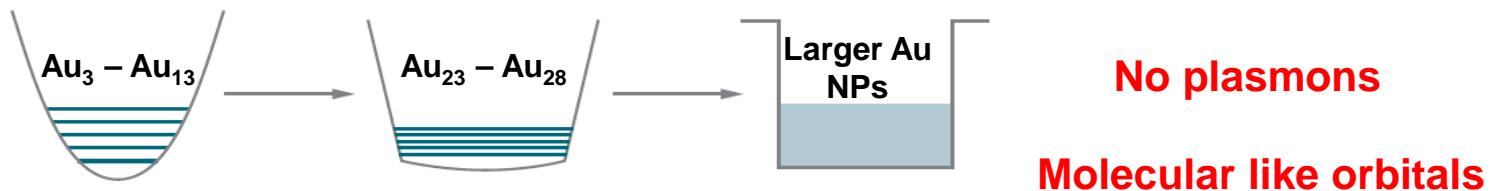
Identify a high efficiency catalyst with low overpotential and good product selectivity

Atomically Precise Au_n clusters (n < ~200)

Spans sizes between molecules & “traditional” nanomaterials



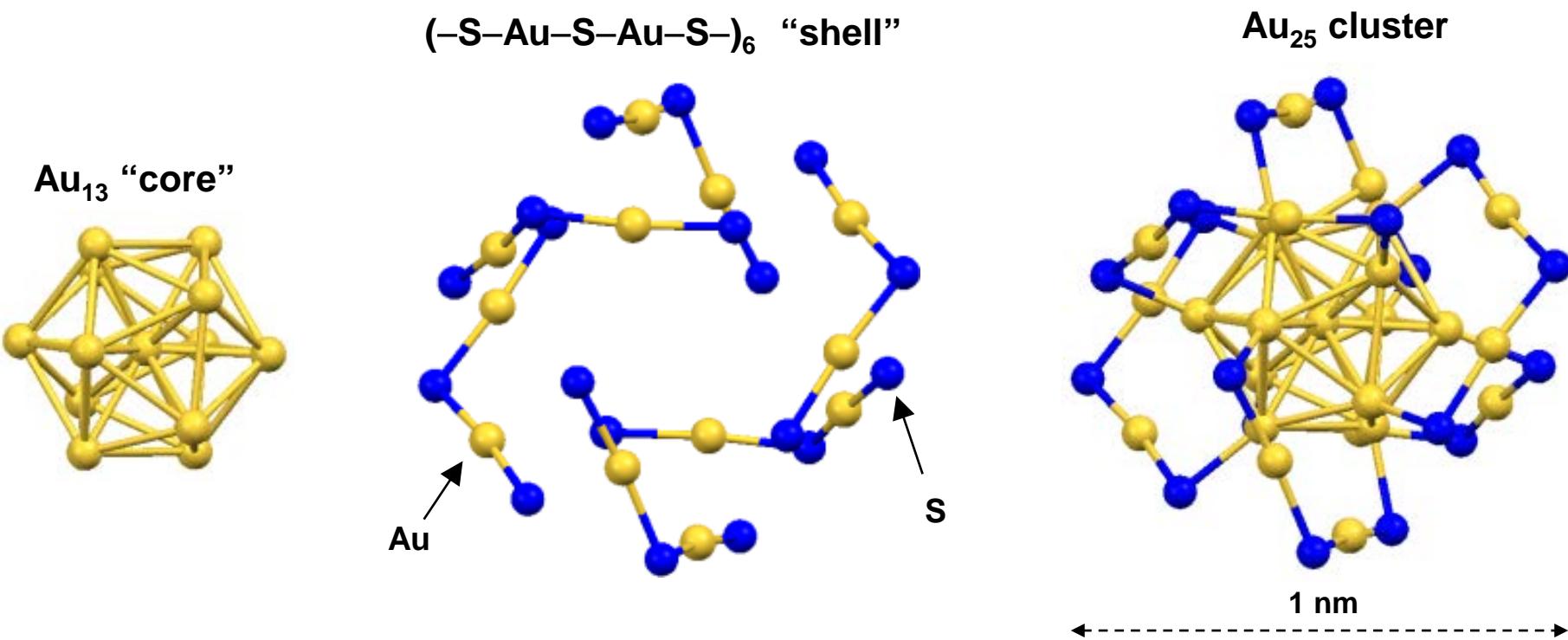
Unique quantized electronic structure



High fraction of surface atoms for catalysis

* From R. Jin, *Nanoscale*, 2010, 2, 343–362

$\text{Au}_{25}(\text{SR})_{18}$ Crystal Structure



Au_{25} carries a ground state *negative* charge

TOA counterion balances charge in crystal structure

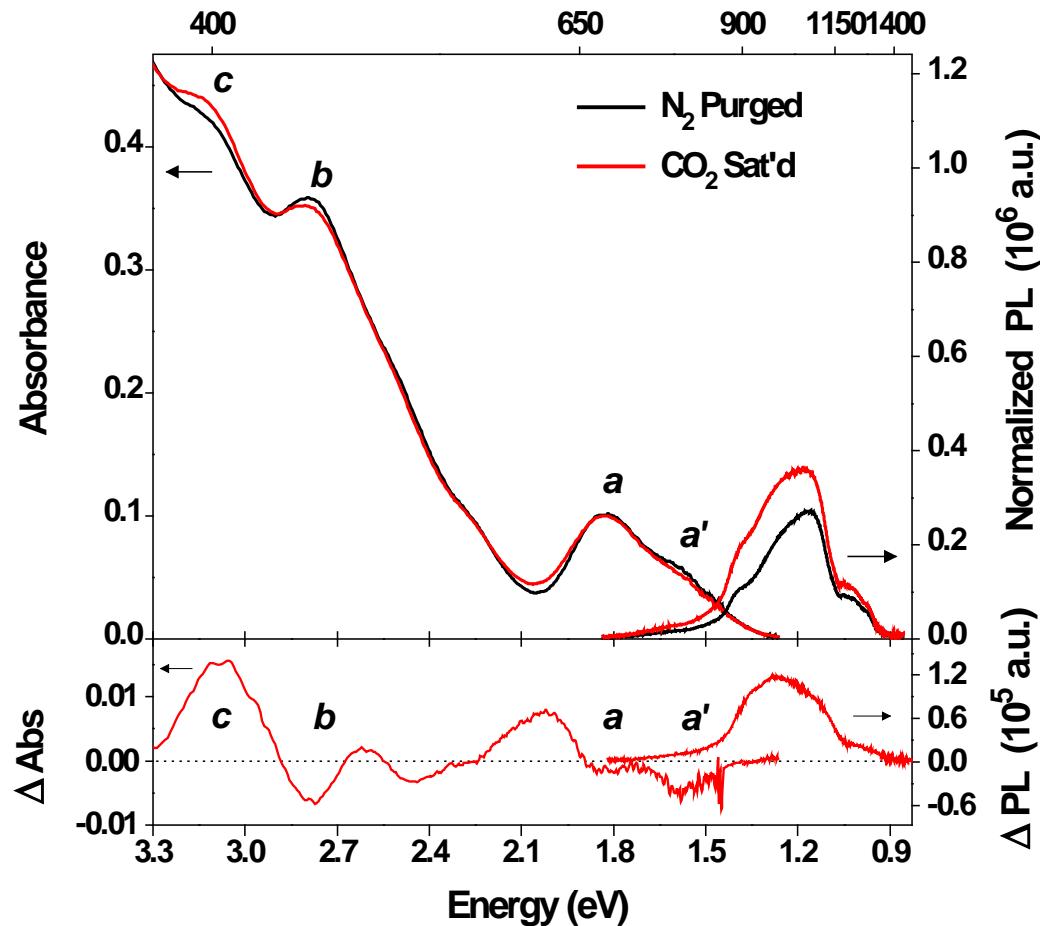
Zhu et. al. J. Am. Chem. Soc. 2008, 130, 5883-5885.

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Reversible Optical Bleaching in Presence of CO₂

Experimental

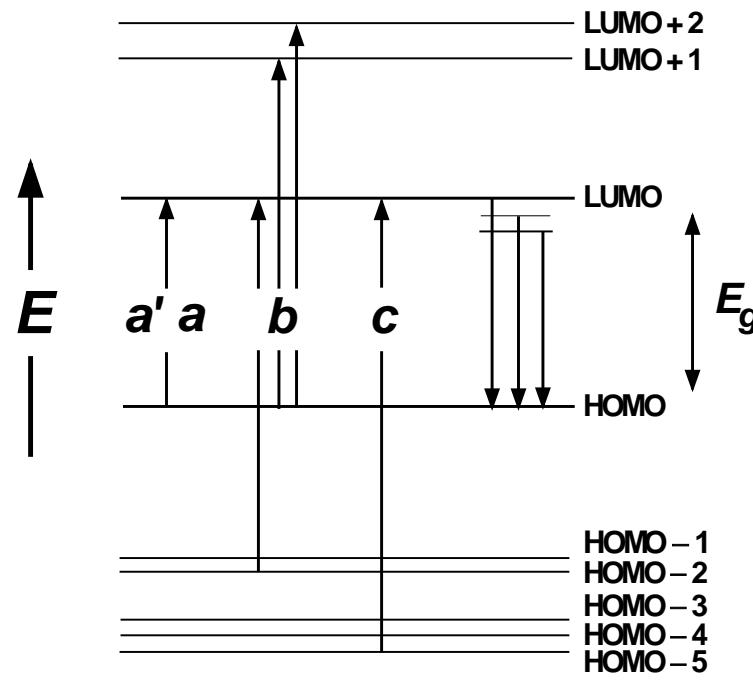
Wavelength (nm)



Reversible bleaching due to charge redistribution

Kauffman, et, al. J. Am. Chem. Soc. 2012, 134, 10237–10243.

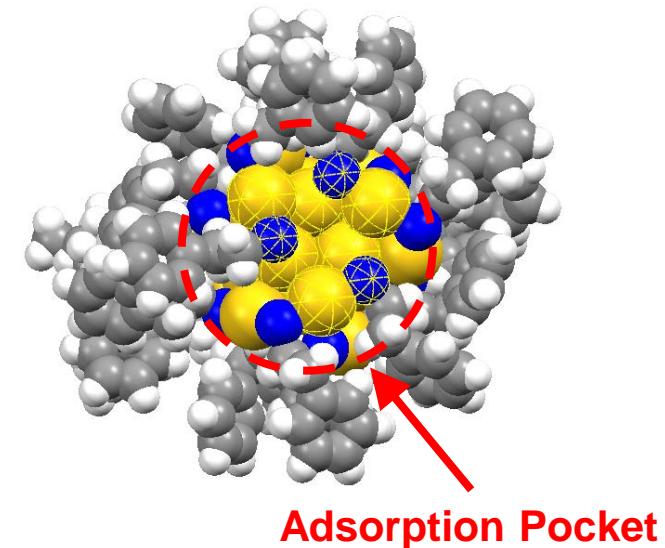
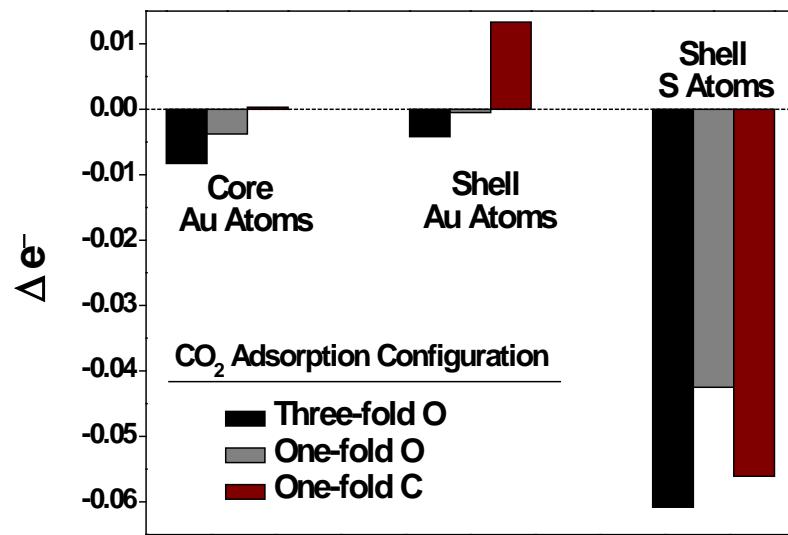
Electronic Structure



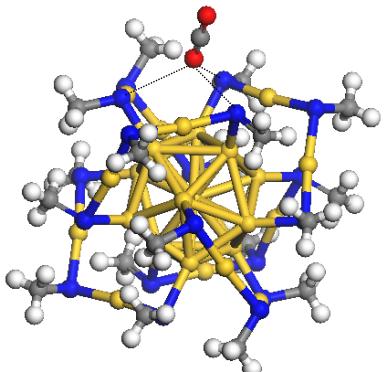
Energy level diagram reproduced from
Schatz & Jin et. al. JACS 2008, 130 (18),
pp 5883–5885

CO_2 Physisorption Reversibly Perturbs Electronic Structure

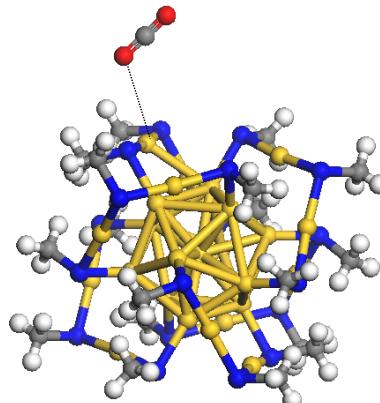
Optical Bleaching Results from Reversible Charge Redistribution



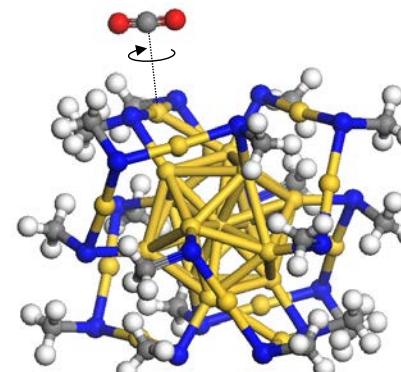
Three-fold O Coordination



One-fold O Coordination



One-fold C Coordination



Binding Energies

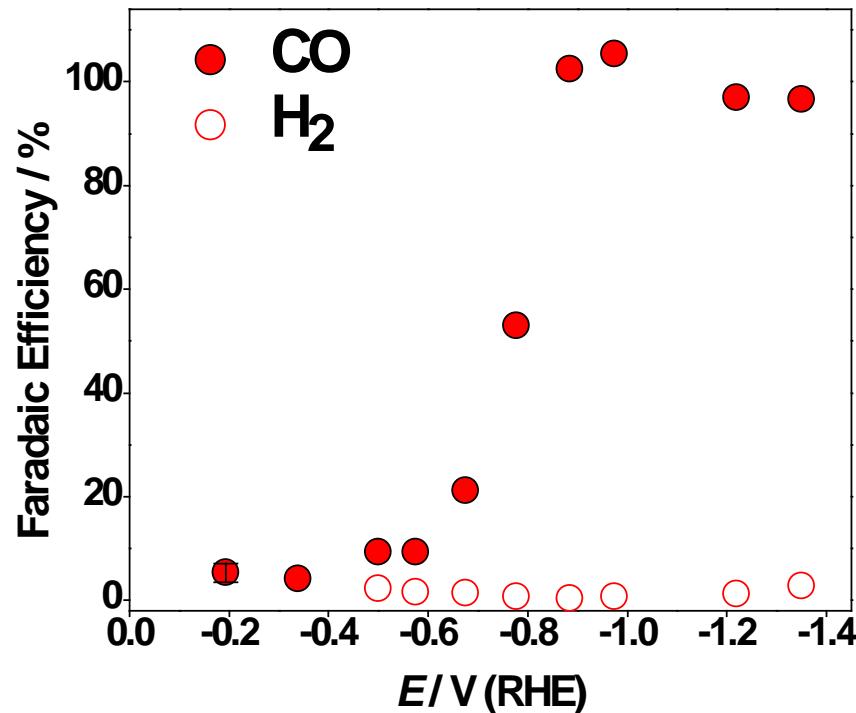
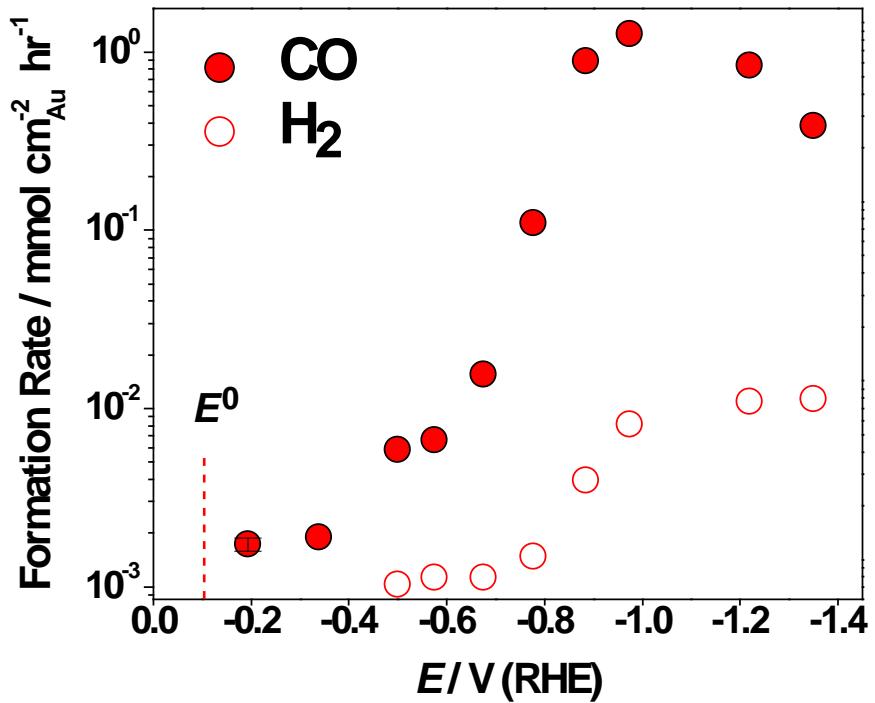
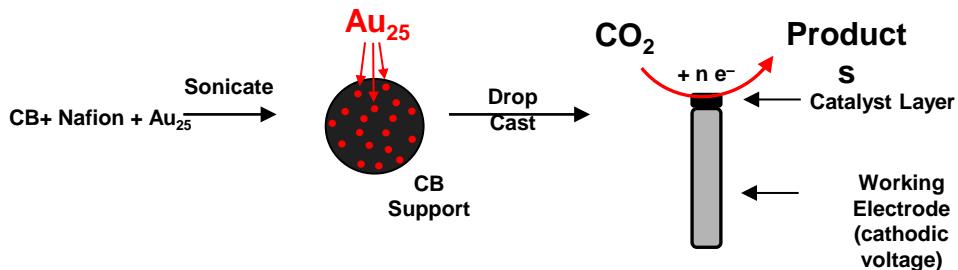
~ 80 – 140 meV

Kauffman, et al. J. Am. Chem. Soc. 2008, 130, 5883-5885.

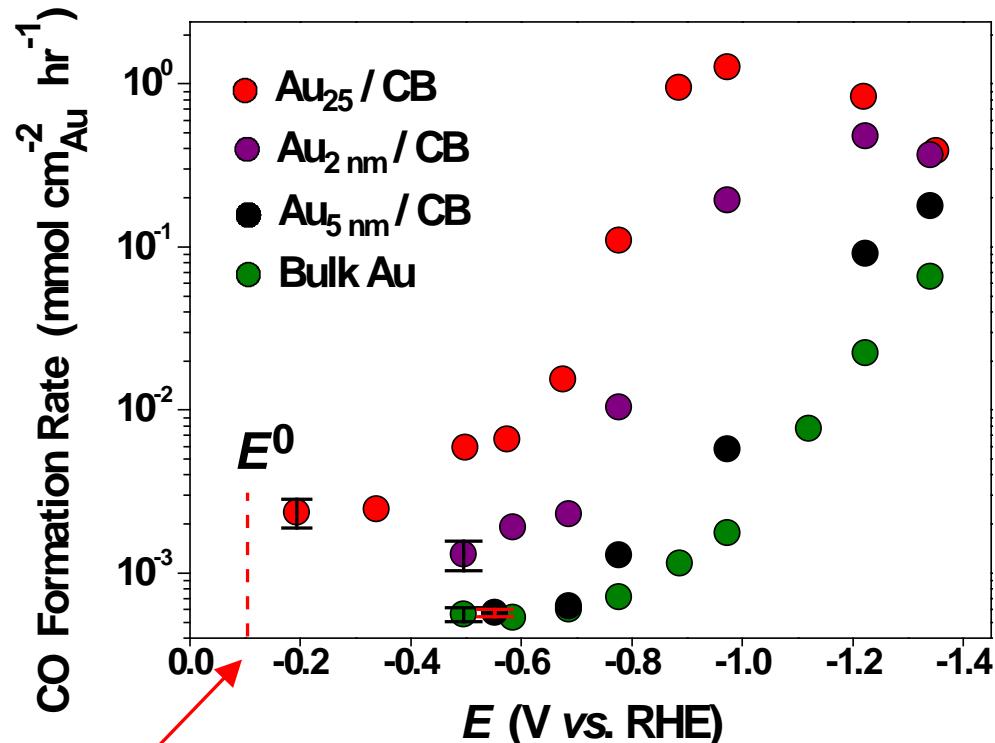
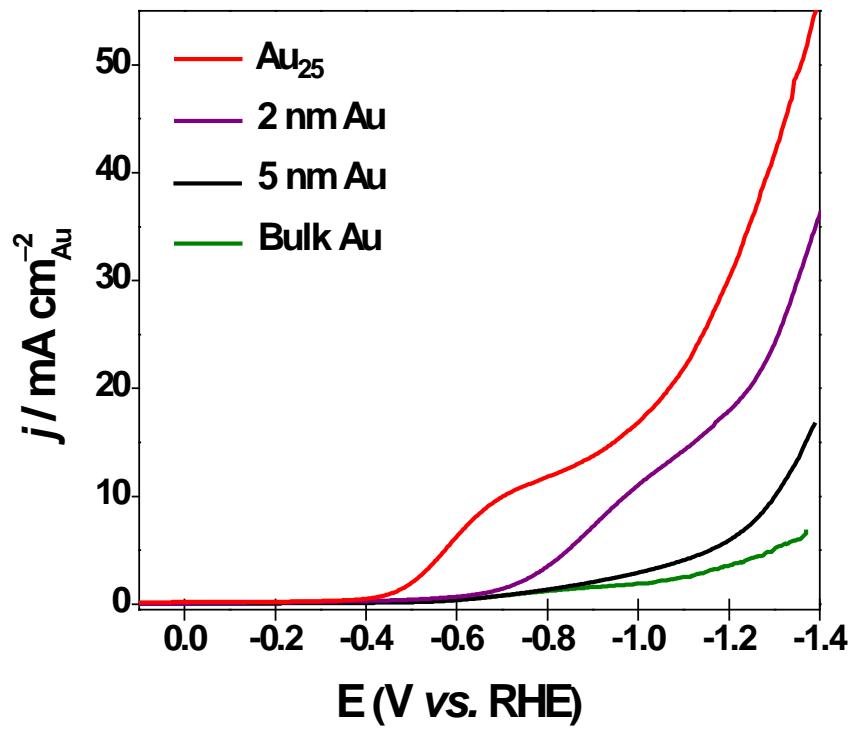
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Unprecedented Catalytic Efficiency

No appreciable E_a , ~ 100 % Selectivity



Comparison to other Au Materials



Thermodynamic Limit

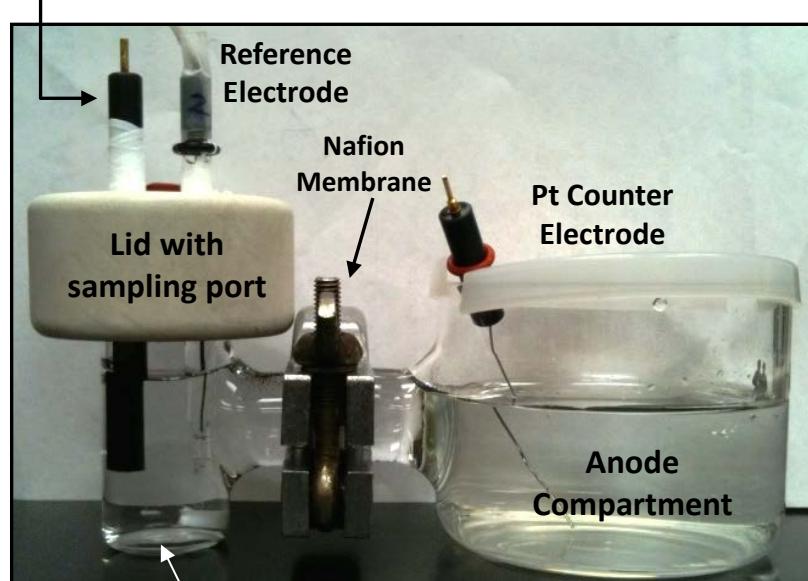
Kauffman, et al. J. Am. Chem. Soc. 2008, 130, 5883-5885.

Demonstrating Scalability

Continuous Flow Electrochemical Reactor

Proof-of-Concept in Small H-Cell

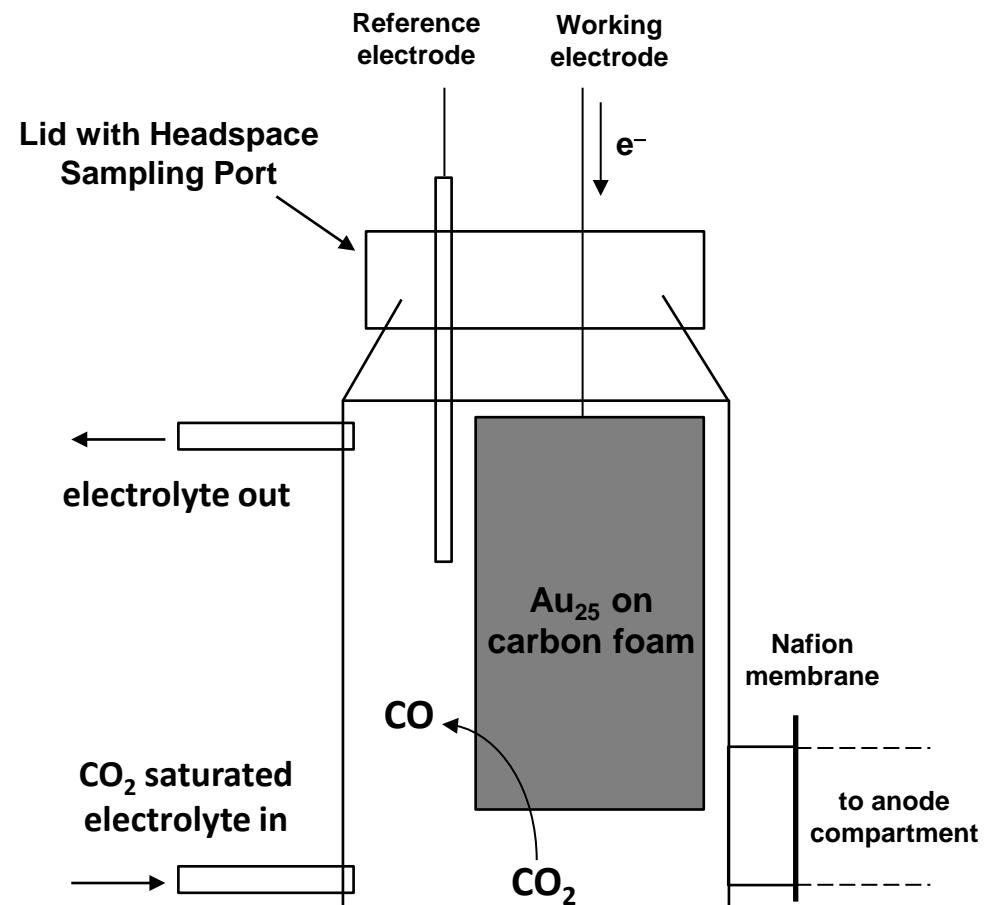
Au_{25}/CB on GC Electrode



CO_2 saturated
electrolyte

Scale Up!

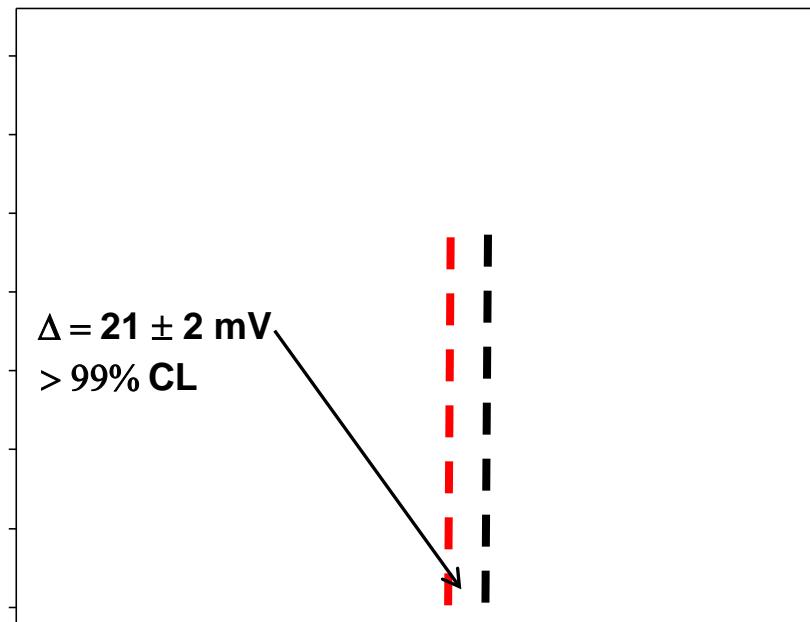
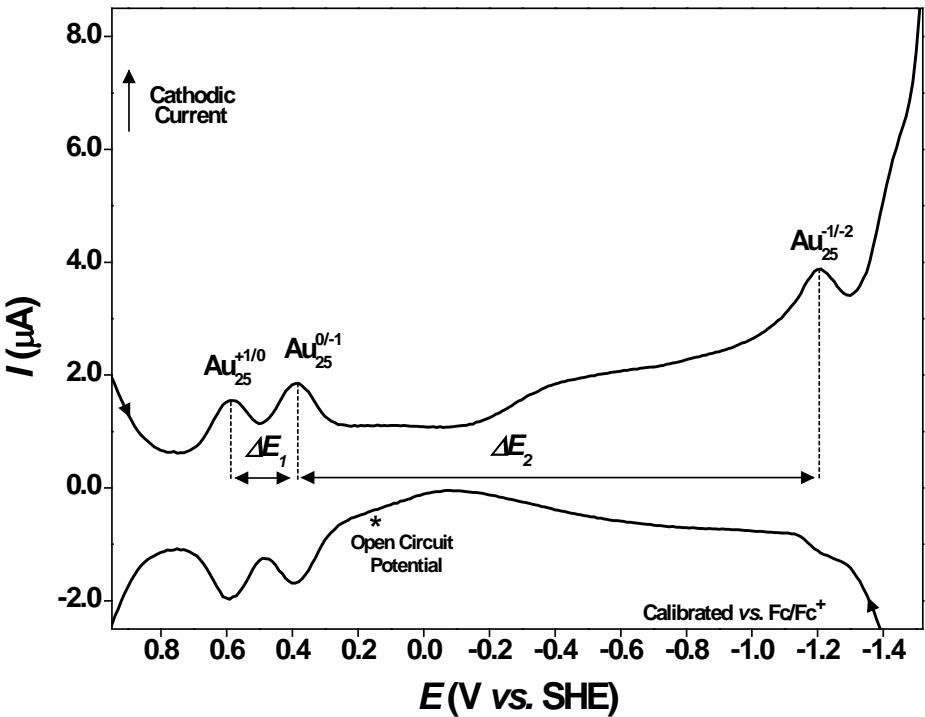
Scaled-Up Flowing H-Cell Reactor



Summary

- **Visible light plasmonic heating can be used to convert CO₂ into CH₄, CO, and other products**
- **Catalytic mechanism is “photothermal”**
- **Au₂₅ exhibits spontaneous electronic coupling to CO₂**
- **Au₂₅ shows unprecedented catalytic efficiency towards CO₂ conversion**

Charge Redistribution Impacts Electron Transfer to CO₂



Small but *statistically significant* anodic shift to + oxidizing potentials
Consistent with e⁻ depletion of HOMO donating levels

Kauffman, et al. J. Am. Chem. Soc. 2008, 130, 5883-5885.

General Catalytic Approaches For CO₂ Conversion

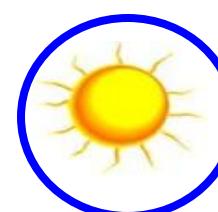


Thermal Catalytic
Conversion

Lower Risk



Electrochemical Catalytic
Conversion



Photochemical Catalytic
Conversion

Higher Risk

Applications R&D

Thermally Initiated
Reactions

Solar-Thermal Reactors
Waste/Plasmon Heat
For Energy Input

Traditional Catalysts
Make Near Term
Deployment More Realistic

Electrochemical
Generation of
electrons/holes

Geothermal, Wind,
or Waste Electricity
Provides Energy Input

Emerging Technology
Utilizing Cu, Cu-oxide
And Other Catalysts

Photochemical
Generation
of electrons/holes
or plasmonic heating

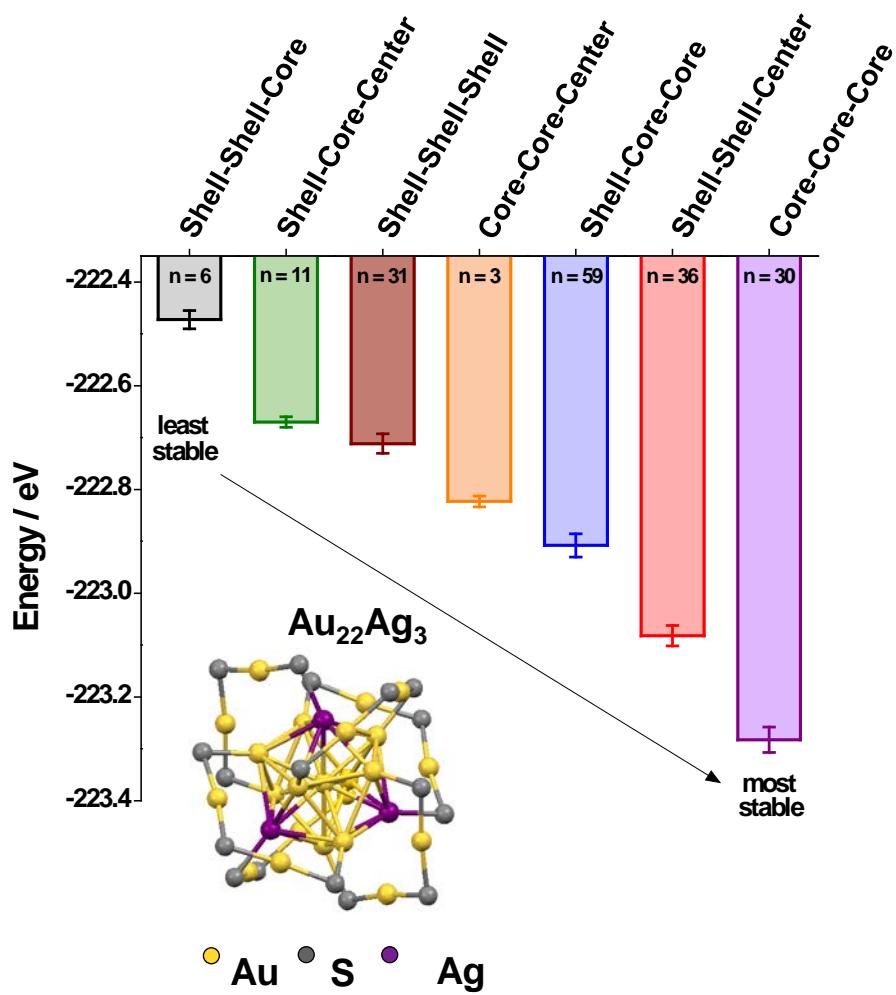
Sun Provides Energy
Input

Emerging Technology
Utilizing TiO₂ and Other
Photocatalysts

Investigating “Quantum Alloys” with Computational & Experimental Screening

Computational

- DFT Screens ~176 alloy compositions
- Au₂₂Ag₃ predicted to be stable & *confirmed experimentally*



Experimental

