

RARE EARTH ELEMENTS



2017 PROJECT PORTFOLIO



the **ENERGY** lab National Energy Technology Laboratory

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Introduction

BACKGROUND

The U.S. Department of Energy (DOE) Office of Fossil Energy conducts programs to ensure the availability of ultraclean (near-zero emissions), abundant, low-cost domestic energy from coal. These efforts are designed to fuel economic prosperity, strengthen energy independence, and enhance environmental quality. As the Office of Fossil Energy's research laboratory, the National Energy Technology Laboratory (NETL) is engaged in research, development, and demonstration (RD&D) activities to create technology and technology-based policy options for public benefit.

As part of its RD&D technology portfolio, NETL has initiated the Rare Earth Elements (REEs) from Coal and Coal By-Products RD&D Program, which focuses on developing REE separation and recovery technologies, addressing the current global REE separations market and process economics, and demonstrating environmentally benign REE separation processing capabilities. The quantities of REEs in our nation's vast coal resources offer the potential to reduce U.S. dependence on foreign sources for these critical materials, and to create new industries in regions where coal plays an important economic role.

PROGRAM OBJECTIVES

The overall objectives of NETL's REE program are to demonstrate the techno-economic feasibility and performance of existing commercial or newly developed REE separation technologies. These technologies are focused on separating and recovering REEs from coal and coal by-products containing a minimum of 300 ppm total REEs, and concentrating the REEs to levels greater than or equal to 2 percent by weight, tentatively producing 90 to 99.99 percent high-purity, salable, individual rare earth metal oxides by the year 2020. These objectives will be accomplished through laboratory REE separation projects and demonstration of concept feasibility at bench-scale through pilot-scale facilities and integrated processing systems. Ultimately, these efforts will ready REE separations technology for commercial deployment. Key success factors for this program include co-production of materials and critical elements, successful demonstration of environmentally benign processing, and competitive economics.

KEY TECHNOLOGY AREAS

The REE Program consists of three key technology areas: Enabling Technologies, Separations Technologies, and Process System Development. Figure 1 on page 7 shows how the key technology areas relate to the program efforts.

1. <u>Enabling Technologies</u> include resource identification, sampling, and characterization; techno-economic analysis; and field/process sensor development.

Significant progress in locating field sites and assessing the composition of potential coal and coal by-product materials containing REEs has been made. Efforts continue to focus on identifying the best source of materials to support future commercial REE production. Chemical and physical characterization efforts to determine REE elemental concentrations and phase compositions in coal and coal by-product resources are essential for developing viable REE separation processes.



Techno-economic analyses are being conducted to evaluate the international REE market demand and to assess the economics of commercially producing REEs from conventional separation as well as potentially new and advanced transformational separation processes. A preliminary high-level REE jobs analysis is being developed that includes an estimation of the economic impacts of constructing and operating an REE separations and processing facility (or facilities) in the United States.

Portable sensors for identifying promising REE coal-based resources in the field, as well as devices for determining REE concentrations in process separation flow streams, are being developed and evaluated. These technologies are tentatively scheduled to be field-tested at bench-scale separations test facilities and validated to commercial-ready status during use in pilot-scale demonstration projects. While significant progress has been made in identifying field site locations and assessing the composition of potential coal and coal by-product REE-containing materials, continued effort is essential to identify the "best" source of materials to support future commercial REE production. Chemical and physical characterization efforts addressing REE elemental concentrations and phase compositions in the coal and coal by-product resources are essential for developing viable REE separation processes.

2. <u>Separations Technologies</u> include utilization or modification of current commercial physical separation systems (i.e., beneficiation via size, density, froth flotation, magnetic, ultrasound), hydrometallurgy and solvent

extraction/digestion processes, and pyrometallurgy techniques (i.e., electro-slag refining, acid roasting) to separate and concentrate REEs from coal-based resources such as coal, coal refuse, clay/sandstone over/under-burden materials, aqueous effluents, acid mine drainage sludge, and power generation ash. Advanced or new transformational REE separation concepts such as physical, chemical, electrical and thermal extraction, acid/base leaching, and ion exchange; reactive grinding, photochemical, ultrasonic-assisted, microwave-aided, photophoretic, plasma, and supercritical CO₂ separation; as well as advanced sorbents and membrane systems, are being considered to further enhance REE separation.



3. <u>Process System Development</u>, demonstration, and commercialization include validating the performance of bench- and pilot-scale technologies, as well as determining the economic feasibility of separating REEs from coal and coal-related resources containing a minimum of 300 ppm REEs and concentrating them to an initial pre-concentrate matrix of greater than or equal to 2 percent by weight, with further integration of additional extraction systems that could help generate high-purity, salable rare earth compounds (such as oxides and/or metals, carbonates, etc.).

Ultimately, domestic generation of high-purity REEs may lead to the production of REE-containing products in the United States, thus enhancing our national security and stimulating economic growth. Domestic REE generation is envisioned to advance U.S. on-shore manufacturing of REE-containing parts, equipment, and end-products such as wind turbines, magnets, automobile engines, cell phones, computers, and satellites. REE generation also supports other industry and technology needs for processes such as catalysis, development of alloys and high-temperature coatings, petroleum refining, development of batteries medical equipment, and defense among others. In addition, an available domestic supply of REEs may lead to commercialization of new and advanced materials and equipment.

Additional information can be found on NETL's Rare Earth Elements web page and energy data eXchange database.



Figure 1. Key Technology process map for RD&D focus efforts.

Rare Earth Elements – Pilot-Scale Test Facilities **High Yield and Economical Production of Rare Earth Elements** from Coal Ash

Technology Partner: Physical Sciences, Inc. Award Number: FE0027167 Project Duration: 3/1/2016 - 8/31/2017 Total Project Value: \$1,250,291 Key Technology Area: Separation Technologies Project Partners: University of Kentucky and Equinox Chemicals LLC

enrichment/recovery extraction the REE rare earth element concentrates operating expenses. and commercially viable

Physical Sciences Inc. will build on The team will help optimize individual concentrates with purities of at least previous work to optimize the process stages, unit operations, and 2 percent REEs by weight, with the process process parameters. In addition, the goal of producing dry mixtures of stages and build and demonstrate team will develop a detailed techno- nitrates with REE concentrations process economic model of the continuous above a 5 percent and, preferably, component in a continuous mode. process—including ash feedstock and greater than 10 percent by weight In Phase I, the project team will reagent inputs, REE concentrate, and threshold. The proposed work, if design a pilot-scale plant for co-product outputs of commercial successful, will demonstrate economically producing high-yield value—that considers capital and environmentally

co- If the project advances to Phase 2, the providing a reliable products from coal ash using pilot-scale circuit will be designed to competitive domestic source of rare environmentally safe physical and process a dry ash throughput of 1–5 earth elements and water recovery. chemical enrichment processes. tons per day of rare earth mineral

an benign and economically feasible method of and cost-



Schematic of Physical Sciences, Inc. Separation Processes and Products of the Ash Processing Plant

Plasma Arc Gasification Based Rare Earth Element Recovery from Coal Fly Ash

Technology Partner: Southern Research Institute Award Number: FE0027102 **Project Duration:** 3/1/2016 – 8/31/2017 Total Project Value: \$1,289,000 Key Technology Area: Separation Technologies

Project Partners: ArcSec Technologies, Inc. and Reaction Engineering International

Southern Research Institute and its one the molten metal is collected project team will evaluate the and then tapped for further feasibility of an technology designed to concentrate In option two, the molten metal pool rare earth elements (REEs) from coal is vaporized to apply sequential metal fly ash (CFA). Project partner ArcSec condensation to further concentrate enhancements to promote the has developed an current graphite electrode plasma work will include sampling and arc technology for CFA vitrification characterizing CFA from eight coalthat will be utilized to concentrate fired power plants that utilize REEs in fly ash. The technology has eastern bituminous coal (especially two options: a plasma smelting eastern Kentucky coal) for REE of enriched REE material from the process and a plasma smelting content process plus volatilization and comprehensive feasibility study for sequential condensation. In option the project. The team will conduct

innovative processing to concentrate the REEs. alternating the REEs into groups. The Phase 1 and conducting а

bench-scale experiments utilizing a plasma furnace to evaluate the fate of REEs in the space between the top slag layer and the bottom molten layer. Potential partitioning of REEs to the molten metal layer will be evaluated, and the plasma volatilization of the molten metal layer will be modeled, including sequential condensation gas.



Southern Research Institute Plasma Reactor System.

Economically Viable and Environmentally Benign High Performance Technology to Recover REE from Coal By-Products

Technology Partner: Tusaar Corporation

Award Number: FE0027155

Project Duration: 3/1/2016 - 8/30/2017

Total Project Value: \$1,230,546

Key Technology Area: Separation Technologies

Project Partners: University of Wyoming, Veolia Waste Management and Quicksilver Scientific

In this Phase I project, Tusaar will At the project end, the flowsheet Tusaar's media will enable 80+ leaching processes and metal scale rare earth element (REE) coal approximately one kilogram batches exceeds DOE requirements. Tusaar has developed novel and environmentally friendly media to sequester over 45 different metals increase from aqueous streams.

use proprietary technology involving delivered will contain the following percent recovery of these elements. processes: (1) possible beneficiation sorption media to develop a bench- of coal product source material, (2) should help reduce U.S. dependence product leaching, extraction process able to handle radioactive material separation, (4) metals. This project will also provide REE sequestration and recovery, and a radioactive material separation of coal by-product material and (5) waste water treatment for heavy process to further refine the fly ash deliver a product that meets or metal removal. Current technology for can digest fly ash to a recovery of 10 to 50 percent of the REEs. These uranium and thorium from the fly percentages are expected to with further process development. Once in solution,

Successful execution of this project (3) on foreign nations for rare earth much-needed additional beneficial uses. The separation of ash will enable its use in applications where it currently cannot be utilized.



Pilot-Scale Testing of an Integrated Circuit for the Extraction of Rare Earth Minerals and Elements from Coal and Coal By-Products Using Advanced Separation Technologies

Technology Partner: University of Kentucky Research Foundation

Award Number: FE0027035

Project Duration: 3/1/2016 - 8/31/2017

Total Project Value: \$1,320,009

Key Technology Area: Separation Technologies

Project Partners: Virginia Tech, West Virginia University, Eriez Manufacturing, Blackhawk Mining, and BRC Refining

The University of Kentucky Research Foundation is proposing innovative separation technologies, including an advanced froth flotation process and hydrophobicа novel hydrophilic separation (HHS) process. The team proposes to use the HHS process to recover coal based on its demonstrated ability to produce low-ash, low-moisture products from ultrafine refuse.

The reject stream from the HHS

process will be conditioned with a hydrophobizing agent and subsequently treated by flotation and/or the HHS process to recover rare earth metals (REMs). The reject stream, consisting mostly of clay minerals and fine clay rocks, will be treated with ammonium sulfate to extract rare earth ions from the surface of the clay via an ionexchange mechanism. The extracted ions will be precipitated before or after solvent extraction and the precipitates combined with the REM concentrate to obtain a final concentrate containing 2 percent by weight REEs. The proposed pilot-scale circuit is expected to have a dry solids feed capacity of one-quarter ton per hour and produce from 5 to 7 pounds per hour of rare earth mineral concentrates with purity levels of at least 2 percent by weight REEs.



The University of Kentucky Research Foundation Schematic of an Integrated Separation/Recovery Circuit and an Example Implementation Strategy within a Coal Processing Plant

Rare Earth Elements – Bench-Scale Test Facilities

Recovery of Rare Earth Elements (REEs) From Coal Ash with a Closed Loop Leaching Process

Technology Partner: Battelle Memorial Institute Award Number: FE0027012 Project Duration: 3/1/2016 - 8/31/2017 Total Project Value: \$900,014

Key Technology Area: Separation Technologies

Project Partners: Ohio Coal Development Office, the Pennsylvania Bureau of Topographic and Geologic Survey, and the West Virginia Geological and Economic Survey

validate the economic viability of patented closed-loop ADP to extract offers a breakthrough for the recovering rare earth elements REEs by identifying a promising ash (REEs) from coal and coal by- source to produce a pozzolan coal products on several levels. products using Battelle's patented material and to model/validate the These closed-loop Acid Digestion Process economics for commercial-scale streams and optimizing recycling (ADP). Specifically, the project team systems based on bench-scale opportunities; sample and will potential REE feedstocks from three potential to concentrate the REEs in oxide for easy delivery to a sources: ash from coal power plants, coal ash to a product of greater than purification facility; and, finally, low temperature combustion ash. and residual ash from Battelle's coal recovering more than 90 percent of liquefaction process. The project will the nitric acid used and improving attempt to demonstrate

characterize operations. Battelle's ADP has the concentrated solid form rare earth 2 percent REEs by mass, while identifying coal sources containing the the pozzolanic activity of the ash by-

The objective of this project is to economic viability of Battelle's product. The proposed technology economic recovery of REEs from include limiting waste producing а greater amounts of REEs, thus improving the techno-economics of the operation.



Battelle's ADP Reactor with Acid Recirculation Tank

Novel Membrane & Electrodeposition-Based Separation & Recovery of Rare Earth Elements from Coal Combustion Residues

Technology Partner: Duke University Award Number: FE0026952 Project Duration: 3/1/2016 - 8/31/2017 Total Project Value: \$902,595 Key Technology Area: Separation Technologies Project Partners: University of Kentucky, Yale University, Research Triangle Institute

NETL is partnering with Duke University develop to а hydrometallurgical-based technology to extract concentrate rare earth elements (REEs) from coal fly ash and other conditions; coal combustion residues (CCRs). The recovered REEs leached from nanotube-enabled CCRs will be further separated from the leachates by membrane filtration and electrochemical deposition. Specifically, this project will identify and characterize а representative selection of CCR samples as

candidates for REE recovery; evaluate the efficiency of hydrometallurgical acid extraction and techniques as a function of major CCR characteristics and extraction and optimize membrane filtration and carbon electrochemical deposition techniques for concentrating REEs from CCR extracts. The project team will also perform a technical and economic feasibility study of the proposed separation methods and develop an implementation

plan for a bench-scale system. This project will develop technologies to recover REEs from CCR that rival the production tonnage and quality from an existing operational mine. In addition, the project will utilize advanced separation technologies to enable environmentally benign processes relative to conventional approaches that use hazardous chemicals for separating REEs, and will achieve at least 75 percent REE recovery from ash.



stRelative to the Published Reference Concentration Values for the NIST Fly Ash Standard Reference Material (SRM 1633c). REEs were extracted using 3 different acid leaching methods

Investigation of Rare Earth Element Extraction from North Dakota Coal-Related Feedstocks

Technology Partner: University of North Dakota

Award Number: FE0027006

Project Duration: 3/1/2016 - 8/31/2017

Total Project Value: \$936,847

Key Technology Area: Separation Technologies

Project Partners: Energy Barr Engineering, Pacific Northwest National Laboratory, North American Coal Company, Great River Energy, North Dakota Industrial Commission and Lignite Energy Council

NETL is partnering with University material properties; determine the Development and Testing, environmentally and elements (REEs) from Dakota lignite and associated and stream; determine the abundance to concentrate REEs. and modes of occurrence of rare earth elements and relevant If this project advances to Phase 2:

of North Dakota to develop a high potential to concentrate REEs through project team would develop an performance, economically viable, mineral processing methods that environmentally benign include gravity separation, fine coal technically technology to recover rare earth cleaning technologies, and novel feasible method to provide a reliable North separation technologies based on size and density of sediments from a lignite drying components in the samples; identify percent by weight purity for a system reject stream. Specifically, the optimum methods to separate and multitude of highly important endthis Phase 1 project will develop concentrate the REEs to two percent uses. Additional markets could sampling protocols for and obtain by weight; perform a technical and provide statistically representative samples economic analysis of the optimum benefit to North Dakota, and the of lignite, associated roof and floor methods; and design a bench-scale region and the nation would benefit materials, and coal drying reject system (5 to 10 kg/hour throughput) from near-zero pollution power

the benign and and economically cost-competitive domestic REE-bearing source of rare earth elements at 2 tremendous economic generation.



A Pollution-Prevention and Economically Viable Technology for Separation of Rare Earth Elements (REEs) from Powder **River Basin (PRB) Coal Ashes**

Technology Partner: University of Wyoming Award Number: FE0027069 Project Duration: 3/1/2016 - 8/31/2017 Total Project Value: \$820,596 Key Technology Area: Separation Technologies Project Partners: Rochester Institute of Technology and West Virginia University

NETL is University of Wyoming to develop percent by a new cost-effective pollution- containing coal ashes.

partnering with the In addition, the team will obtain 2 This project will advance separation weight rare ferric avoidance/prevention technology (FeOOH) via three steps: leaching REE pollution, achieve at least 90 with enhanced performance for from coal ash with CO2 and FeCl3 under percent REE recovery from ash, and recovering high-value rare earth supercritical conditions and assisted achieve up to 50 percent energy and elements (REEs) from coal ash. with ultrasound; separating REEs 30 Specifically, the project team will loading FeOOH flocs from the leaching compared to conventional sample and characterize coal ash mixture by using the FeOOH flocs recovery technologies. and complete a feasibility study of themselves; and de-watering FeOOH the proposed technology for REE for obtaining at least 2 percent by recovery from Powder River Basin weight REEs containing FeOOH solid.

earth theory and technology oxy-hydroxide development, generate near-zero cost percent reductions REE



Recovery of Rare Earth Elements (REEs) From Coal Mine Drainage

Technology Partner: West Virginia University

Award Number: FE0026927

Project Duration: 3/1/2016 - 8/31/2017

Total Project Value: \$950,534

Key Technology Area: Separation Technologies

Project Partners: Mepco Inc., Rosebud LLC., and West Virginia Department of Environmental Protection

West Virginia University (WVU) and indicates that REEs precipitate with nation's reliance on foreign supply. effective and benign process to treat and recover hydroxides. sludges generated during treatment dissolution treatment systems that were nearly source of REEs in concentrations and absent in the discharge water. This volumes sufficient to alleviate our

its partners will develop a cost- more plentiful transition metals in Estimates based on the volume of environmentally the AMD sludge, most likely as AMD generated in Pennsylvania and AMD sludge rare earth elements (REEs) from extraction will initially consist of acid sludges represent about 45,000 tons followed bv of acid mine drainage (AMD) from complementary refining options: of global REE demand. The WVU REE coal mines. This project will take selective electro-coagulation and prediction advantage of autogenous processes solvent extraction/stripping. Both determine REE production at a given that occur in coal mines and options will be evaluated for site as a function of concentration associated tailings that liberate and efficiency, concentration, waste and discharge volume. The resulting then concentrate REEs. Studies have stream liabilities, and cost. The load calculation will determine the shown elevated concentrations of benefits of this project include mass of REEs produced annually at a REEs in low-pH effluent to AMD utilizing AMD sludge as a domestic given site.

REE West Virginia suggest that their AMD two per year of REEs—about 25 percent model will help



Schematic of the West Virginia University AMD Treatment System and the Process Recovery Development Unit (PDU)

Rare Earth Elements – Research & Innovation Center **Rare Earth Elements from Coal and Coal By-Products**

Technology Partner: National Energy Technology Laboratory – Research & Innovation Center (RIC)

Award Number: FWP-RIC REE FY2016-2020

Project Duration: 10/01/2015 - 9/30/2020

Total Project Value: \$34,768,734

Key Technology Area: Enabling Technologies, Separations Technologies, Process Systems

The National Energy Technology domestic coal-based REEs. Innovation Center (RIC) by-products. The purpose of this advanced advanced technologies of

Laboratory's (NETL) Research & This NETL project focuses on processes is identifying and conducting a collaborative Field domestic field site REE coal-based separation, thermal separation, and Work Proposal (FWP) to develop resources, developing in-field and in- advanced sorbent development) to technologies to recover rare earth situ process advanced REE sensors, separate REEs from coal-based elements (REEs) from coal and coal and addressing the potential use of resources containing a minimum of FWP is to demonstrate the feasibility techniques to identify the phase (near pilot-scale) REE separations to composition of the REE-containing and processing facility is being improve the economics, reduce the minerals. NETL is also developing designed. environmental impact, and produce novel, advanced, transformational

REE separation techniques and (i.e., physical and characterizing mechanical separation, chemical characterization 300 ppm total REEs. A bench-scale



NETL RIC Technology Development Areas

Rare Earth Elements – Identification and Characterization

Tetra Tech, Inc. - Rare Earth Element Identification and Characterization of Coal and Coal By-Products Containing High Rare Earth Element Concentrations

Technology Partner: Tetra Tech, Inc. Award Number: FE0026448 Project Duration: 10/1/2016 – 4/30/2018 Total Project Value: \$373,300 Key Technology Area: Enabling Technologies

NETL is partnering with Tetra Tech, Inc. to identify, locate, field sample, and chemically analyze U.S. domestic coal and coal by-product solid and/or liquid materials that contain high rare earth element (REE) concentrations (i.e., ≥300 ppm total REEs). This project supports NETL's REE Program by identifying U.S. coal-based resources for developing technologies to separate and recover rare earth elements for use in producing commercial products and national defense equipment.

Tetra Tech, Inc. - Rare Earth Element Identification and Characterization of Coal and Coal By-Products Containing High Rare Earth Element Concentrations

Technology Partner: Tetra Tech, Inc. Award Number: FE0026929 Project Duration: 10/1/2016 – 4/30/2018 Total Project Value: \$376,200 Key Technology Area: Enabling Technologies

NETL is partnering with Tetra Tech, Inc. to identify, locate, field sample, and chemically analyze U.S. domestic coal and coal by-product solid and/or liquid materials that contain high rare earth element (REE) concentrations (i.e., ≥300 ppm total REEs). This project supports NETL's REE Program by identifying U.S. coal-based resources for developing technologies to separate and recover rare earth elements for use in producing commercial products and national defense equipment.

University of Kentucky - Rare Earth Element Identification and Characterization of Coal and Coal By-Products Containing High Rare Earth Element Concentrations

Technology Partner: University of Kentucky Award Number: FE0026443 Project Duration: 10/1/2016 – 4/30/2018 Total Project Value: \$400,000 Key Technology Area: Enabling Technologies

NETL is partnering with the University of Kentucky to identify, locate, field sample, and chemically analyze U.S. domestic coal and coal by-product solid and/or liquid materials that contain high rare earth element (REE) concentrations (i.e., ≥300 ppm total REEs). This project supports NETL's REE Program by identifying U.S. coal-based

resources for developing technologies to separate and recover rare earth elements for use in producing commercial products and national defense equipment.

West Virginia University - Rare Earth Element Identification and Characterization of Coal and Coal By-Products Containing High Rare Earth Element Concentrations

Technology Partner: West Virginia University Award Number: FE0026444 Project Duration: 10/1/2016 – 4/30/2018 Total Project Value: \$400,000 Key Technology Area: Enabling Technologies

NETL is partnering with West Virginia University to identify, locate, field sample, and chemically analyze U.S. domestic coal and coal by-product solid and/or liquid materials that contain high rare earth element (REE) concentrations (i.e., \geq 300 ppm total REEs). This project supports NETL's REE Program by identifying U.S. coal-based resources for developing technologies to separate and recover rare earth elements for use in producing commercial products and national defense equipment.

XLight Corporation - Rare Earth Element Identification and Characterization of Coal and Coal By-Products Containing High Rare Earth Element Concentrations

Technology Partner: XLight Corporation Award Number: FE0026527 Project Duration: 10/1/2016 – 4/30/2018 Total Project Value: \$214,795 Key Technology Area: Enabling Technologies

NETL is partnering with XLight Corporation to identify, locate, field sample, and chemically analyze U.S. domestic coal and coal by-product solid and/or liquid materials that contain high rare earth element (REE) concentrations (i.e., \geq 300 ppm total REEs). This project supports NETL's REE Program by identifying U.S. coal-based resources for developing technologies to separate and recover rare earth elements for use in producing commercial products and national defense equipment.

Abbreviations

ADP	Acid Digestion Process
AMD	Acid Mine Drainage
CFA	Coal Fly Ash
CCR	Coal Combustion Residue
DOE	Department of Energy
FeOOH	Ferric Oxy-Hydroxide
FWP	Field Work Proposal
HHS	Hydrophobic-Hydrophilic Separation
HNO ₃	Nitric Acid
NETL	National Energy Technology Laboratory
PRB	Powder River Basin
RD&D Res	search, Development and Demonstration
REE	Rare Earth Element
REM	Rare Earth Metal
RIC	Research and Innovation Center
SRM	Standard Reference Material
U.S	United States of America
WVU	West Virginia University

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