Federal Agency to which the Office of Fossil Energy report is submitted Effective Methane Emissions Mitigation from Midstream FOA Name Equipment/Facilities DE-FOA-0001538 FOA Number Nature of the Report Research Performance Progress Report (RPPR) DE-FE0029021 Award Number Award Type Cooperative Agreement Technical Contact (Principal Investigator): Sarah Simons, Name, Title, Email Address, and Research Scientist, sarah.simons@swri.org, 210-522-2418 Phone Number for the Prime Business Contact: Robin Rutledge, Associate Specialist, Recipient robin.rutledge@swri.org, 210-522-3179 Southwest Research Institute Prime Recipient Name and Address 6220 Culebra Road, San Antonio, TX 78238-5166 Not for profit organization Prime Recipient type NOVEL SEAL DESIGN FOR EFFECTIVE MITIGATION OF Project Title METHANE EMISSIONS FROM RECIPROCATING COMPRESSORS Prime: Sarah Simons, Ryan Cater, and Klaus Brun, Ph.D. - SwRI Subcontractor and Co-Funding Partner: Andreas Söderberg – NextSeal Principal Investigator(s) AB Industry Partner: Scott Schubring – Williams Gas Pipeline Prime Recipient's DUNS 00-793-6842 number Date of the Report April 28, 2017 Q2: January 2, 2017 - March 31, 2017 Period Covered by the Report **Reporting Frequency** Quarterly Signature of Co-Investigator: Sarah Simons Signature of Principal Investigator: Klaus Brun

Quarterly Research Performance Progress Report

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1. INTRODUCTION

Methane emissions from reciprocating compressors in the U.S. natural gas industry account for over 72.4 Bcf per year according to a 2006 statement by the United States Environmental Protection Agency [1]. Methane has a global warming potential 50 times stronger than carbon dioxide, and reciprocating compressors are the machinery type with the highest contribution to methane emissions at natural gas transmission stations [1]. The largest contributing factor is leakage from the sealing components in the packing systems around the piston rods. Therefore, the team led by Southwest Research Institute[®] (SwRI[®]), NextSeal, and Williams propose the detailed design, fabrication, and full-scale testing of a novel seal design capable of reducing methane and natural gas leakage across the seals to virtually zero.

Current technology uses a series of specifically-cut, dry-ring seals held in place with springs and cups. However, designing seals based on today's technology inevitably leads to a trade-off between leakage reduction with minimal gaps between the seals and the rod versus allowing sufficient gaps such that the friction between the parts, are sufficiently reduced allowing movement. Once the piston moves, the pressure differential across the packing seals creates a twisting effect on the seal allowing substantial amounts of natural gas to leak into the casing. Ring twisting also causes increased friction and wear to the sealing rings and compressor rod. This gas is typically vented into the atmosphere normally exceeding 11.5 standard cubic feet per hour for new, correctly-installed packing systems on well-aligned shafts [2].

This project takes the concept of liquid sealing and combines it with a novel, patented arrangement for pressure balancing across a seal arrangement (Patent No: U.S. 7,757,599 B2 [3]) to allow for successful implementation in a dynamic environment with moving parts as shown in Figure 1-1. The proposed seal design has been successfully implemented and tested at the bench-scale level. The seal will be designed, modeled, and fabricated for full-scale operation and will be tested in a reciprocating compressor system at typical operating pressures for various scenarios in a step-wise iterative method.



Figure 1-1. Conceptual Drawing of Proposed Seal

This project will be considered successful if the emission levels are reduced by a minimum of 95% compared to the leakage rates of industry standard rod packing seals operating in new condition with minimal wear. Emissions levels will be measured for each test to ensure the project goals are being met. During design activities the team will work in collaboration with Williams to design the seal such that it can meet a reasonable target commercial system cost to minimize business risk.

The project work will be performed in two sequential phases. The first phase will start with a thorough design review, analysis and modeling of the liquid seal concept as shown in Figure 1-1, as well as, a hydraulic support system. The seal and support systems will be customized to fit the compressor rod that will be used for the dynamic testing. The first phase will also include assembly and commissioning of the test loop and static testing of the seal. The second phase will consist of testing the liquid seal with the reciprocating compressor running (dynamic setting). Emissions will be monitored and recorded for each test to evaluate the success of the design in achieving the state emissions reduction. This will allow the real world benefits of the technology to be demonstrated and quantified.

This report covers the work completed in this budget quarter. The project goals and accomplishments related to those goals are discussed. Details related to any products developed in the quarter are outlined.

Information on the project participants and collaborative organizations is listed and the impact of the work done during this quarter is reviewed. Any issues related to the project are outlined and lastly, the current budget is reviewed.

2. ACCOMPLISHMENTS

2.1 Project Goals

This project will plan, design, build and assemble, and operate a liquid seal in a full-scale environment. The project scope will include the conceptual design, modeling, and detail design of the liquid seal and subsystems; manufacture and testing of the seal; and specification of the success criteria for the proposed technology. This includes the design, development, and fabrication of all components of and related to the seal (i.e., control system and hydraulic support system). The liquid seal, control system, and hydraulic support system). The liquid seal, control system, and hydraulic support system will be manufactured, fabricated, and installed along with the required instrumentation. Component-level commissioning will be performed in a staged order. Static testing of the system will also be carried out during this first phase to provide valuable information for the go/no go decision point prior to the initiation of the second phase. The overall goal for the project is to demonstrate the potential for methane emissions reduction of at least 95% of 1% of the total compressor mass flow when operating with a liquid seal compared to state-of-the-art dry seal packing systems.

The testing will demonstrate operability of a liquid seal in static and dynamic environments. The demonstrated seal performance must achieve an overall methane emission reduction of 95% or greater of 1% of the total compressor mass flow. The performance of the primary test concept and associated subsystems (i.e., control system and hydraulic auxiliary system) will be monitored and characterized. Testing will include steady-state, dynamic, and limited endurance/wear operation. The data will be analyzed in a staged order during all testing operations, conclusions will be made about the readiness of the liquid seal for commercial application and emissions reduction, and a report will be prepared. The amount of emission reduction will be quantified.

The project work is split into two budget periods. Each budget period consists of 18 months. The milestones for each budget period are outlined in Table 2-1. This table includes an update on the status of the milestones in relation to the initial project plan. Explanations for deviations from the initial project plan are included.

2.2 Accomplishments

The work completed in the second quarter of the first budget period of the project is discussed in detail in the confidential Appendix of this report. SwRI and NextSeal have had one kickoff meeting and ongoing bi-weekly project meetings to collaborate on the seal design. Williams personnel also visited SwRI facilities to review the work to date and provided feedback. The following is a summary of the tasks completed to date:

- Performed baseline testing for both static and dynamic compressor operation to measure leakage rates with a new standard packing for comparison with the new seal design
- Created a solid model of the existing packing cups and ring seals as a baseline for modifications to incorporate the new seal design
- Developed a one-dimensional (1-D) computational fluid dynamics (CFD) flow model of the liquid seal pressure balancing concept this evaluates pseudo-steady-state and dynamic conditions of the seal-piston-rod systems taking into account the dynamics of the piston system and fluid losses through the passages and manifold
 - Performed dynamic simulations of normal and off-design events using pressure versus time data from the reciprocating cylinder
 - Calculated boundary parameters for the dimensions of the chambers containing the liquid and the active valve passageway

- Finalized the new liquid packing seal design
 - Selected materials for each component of the seal
 - Designed and selected various seal types to separate the internal chambers of the packing seal
 - o Created fabrication drawings
 - Obtained quotes to machine the seal
 - Machining is currently in progress
- Support system design
 - Created a flow diagram of the support system
 - Developed a component list
 - Selected a liquid supply pump, driver, additional valves, piping, skid design, and storage tank for the hydraulic system

2.3 Opportunities for Training and Professional Development

There were no opportunities for training or professional development during this quarter.

2.4 Dissemination of Results to Communities of Interest

No results have been disseminated to communities of interest during this quarter.

2.5 Plan for Next Quarter

During the next quarter, the technical work on the project will continue. This work will include:

- 1) Complete the machining of the seal
- 2) Fabricate a bench-scale test rig to ensure the machined seal operates as designed and was fabricated correctly. This will be used for the static pressure test, measuring displacement of the active valve with dynamic pressure variation, and quantifying oil consumption
- 3) Complete the support system

2.6 Summary of Tasks for Next Quarter

- Install and commission hydraulic support system
 - Create a piping and instrumentation diagram (P&ID) of support systems
 - Create a plan for operating the hydraulic system over the range of testing
 - Oil supply, measurement of entrained gas, recirculation
- Develop a controls and monitoring system to measure pressures, temperatures, flow rates, displacement of the active valve, and emissions
- Pre-test the seal to ensure it was machined correctly and operates as designed
 - o Measure displacement of moving pressure balancing concept
 - o Measure oil consumption
 - Static pressure testing

Budget Period	Milestone Letter	Milestone Title/Description	Planned Completion Date	Actual Completion Date	Verification Method	Comments (Progress towards achieving milestone, explanation of deviations from plan, etc.)
	А	Project Management Plan	10/30/2016	10/30/2016	Deliver a project management plan for review and approval by the DOE project officer.	
	В	Detail Drawings of Seal Design, Modeling Results and Benchmark Testing	4/28/2017	3/31/2017	The compressor and test loop will be modified for this test. Benchmark testing of leakage with typical packing over a range of conditions will be performed. Modeling results of the seal will be reported and detail drawings of the seal specific to the compressor used for testing will be delivered.	none
BP1	с	Detail Design of Support Systems	4/28/2017	85% complete	Piping and Instrumentation Diagrams (P&ID) of subsystems will be developed as well as a plan for integration with the seal.	none
	D	Procurement, Fabrication and Installation	7/31/2017	50% complete	Materials list will be developed and quotes from vendors will be obtained. The parts required for fabrication will be purchased and installed, and the set-up commissioned for testing.	none
	E	Test Plan	9/29/2017	Not Started	A test plan will be developed for the project based on the initial benchmark testing to include metrics for evaluating the performance of the seal.	none
	F	Critical Path Milestone FY1 – Static Testing with Emissions Measurement	9/29/2017	Not Started	Report on completion of static testing to include wear, leakage, emission levels.	none
	G	Critical Path Milestone FY2 –Dynamic Test	3/21/2018	Not Started	Report on the completion of the dynamic testing and its associated metrics of success.	
BP2	н	Emissions Measurement	3/21/2018	Not Started	Report on the measured emissions level as compared current industry standard levels to evaluate the success in meeting the 95% reduction in emissions.	none
	I	Lifetime Testing	4/20/2018	Not Started	Report on the results of the lifetime testing as well as draw conclusions about possible failure modes.	
	J	Final Report	4/30/2018	Not Started	Deliver the final report documenting the seal performance, wear, emissions, suitability for commercial application, and all relevant measurements	none
	1	On schedule				
		Behind schedule				

Table 2-1.	Summary of Milestone Status	
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3. PRODUCTS

With any technical work, results will be documented and reported to the appropriate entities. Also, the work may produce new technology or intellectual property. This section provides a summary of how the technical results of this project have been disseminated and lists any new technology or intellectual property that has been produced.

3.1 Publications

No written works have been published during this last quarter. Also, no abstracts for future papers or conferences have been submitted for this project.

3.2 Websites or Other Internet Sites

The results of this project have not been published on any websites or other internet sites during the last quarter.

3.3 Technologies or Techniques

No new techniques or technologies have been developed in the last quarter.

3.4 Intellectual Property

No intellectual property, such as patents or inventions, has been submitted or developed in the last quarter.

3.5 Participants & Other Collaborating Organizations

The work required to develop the novel seal design for methane emissions reduction in reciprocating compressors requires the technical knowledge and effort of many individuals. Three companies, SwRI, NextSeal, and Williams Gas Pipeline are partnering to complete the work. This section provides a summary of the specific individuals and organizations who have contributed in the second quarter.

3.6 Southwest Research Institute (SwRI) – Prime Contractor

The following list provides the PI and each person who has worked at least one-person month per year (160 hours of effort) in the last quarter.

- Sarah Simons
 - Project Role: Principal Co-Investigator
 - Nearest person month worked: 1
 - Contribution to Project: Project management, design and testing
 - Funding Support: DOE
 - Collaborated with individual in foreign country: Yes
 - Country(ies) of foreign collaborator: Sweden
 - Traveled to foreign country: Yes
 - If traveled to foreign country(ies), duration of stay: 8 days
- Ryan Cater
 - Project Role: Co-Investigator
 - Nearest person month worked: 2
 - Contribution to Project: Testing, design work
 - Funding Support: DOE
 - Collaborated with individual in foreign country: Yes
 - Country(ies) of foreign collaborator: Sweden
 - Traveled to foreign country: No
 - If traveled to foreign country(ies), duration of stay: N/A

- Kelsi Katcher
 - Project Role: Engineer
 - Nearest person month worked: 1
 - Contribution to Project: Design work
 - Funding Support: DOE
 - Collaborated with individual in foreign country: Yes
 - Country(ies) of foreign collaborator: Sweden
 - Traveled to foreign country: No
 - If traveled to foreign country(ies), duration of stay: N/A

3.7 Other Organizations

In this project, SwRI is collaborating with NextSeal. NextSeal is a subcontractor and cost-share supporter for this project. More information about their participation is listed below.

- NextSeal AB
 - Location of Organization: Sweden
 - Partner's Contribution to the Project: Testing and design support
 - Financial Support: N/A
 - In-kind Support: Labor hours and cash contribution
 - Facilities: N/A
 - Collaborative Research: NextSeal supports the testing and design tasks
 - Personnel Exchanges: N/A
- Williams Gas Pipeline
 - Location of Organization: Houston, Texas
 - Partner's Contribution to the Project: Design and implementation support
 - Financial Support: N/A
 - In-kind Support: Labor hours
 - \circ Facilities: N/A
 - o Collaborative Research: Williams will support the implementation and design of the seal
 - Personnel Exchanges: N/A

4. IMPACT

Preliminary baseline data analysis from testing indicated that the amount of leakage flow through typical reciprocating compressor packing is not dependent on the mass flow (or speed) of the compressor, but rather the mean cylinder pressure.

5. CHANGES/PROBLEMS

The project was funded over a three-year period in two budget periods of 18 months each. DOE personnel stated in the kickoff meeting that they would like SwRI to proceed as if the project will be performed in 18 months (one 12-month period and one 6-month period) per the schedule in the proposal and outlined in the milestone dates as described in Table 2-1.

6. BUDGETARY INFORMATION

A summary of the budgetary data for the project is provided in Table 6-1. This table shows the initial planned cost, the actual incurred costs, and the variance. The costs are split between the Federal and Non-Federal share.

For the second quarter in budget period 1, \$108,289 was spent. This exceeds the planned amount shown in the project management plan (PMP), since the planned budget table was spread across three years per the

funding agreement. Since the project is currently being performed at the planned schedule of 18 months, the budget will be spent at an accelerated pace to allow the project to be finished in 18 months.

	Budget Period 1			
Baseline Reporting	Q2			
Quarter	1/2/2017 - 3/31/2017			
	Q2	Cumulative Total		
Baseline Cost Plan				
Federal Share	\$57,628	\$124,001		
Non-Federal Share	\$45,425	\$90,849		
Total Planned	\$103,052	\$214,850		
Actual Incurred Cost				
Federal Share	\$47,539	\$132,632		
Non-Federal Share	\$68,733	\$72,470		
Total Incurred Costs	\$116,272	\$205,102		
Variance				
Federal Share	\$10,089	-\$8,631		
Non-Federal Share	-\$23,308	\$18,379		
Total Variance	-\$13,219	\$9,748		

Table 6-1.Budgetary Information for Period 1

7. REFERENCES

- [1] Machu, Gunther and Jackson, Matt. "A New Packing Ring Design-Improved Sealing Efficiency and High Durability," Sealing Technology, September 2011.
- [2] R. Subramanian, L. L. Williams, T. L. Vaughn, D. Zimmerle, J. R. Roscioli, S. C. Herndon, et al., "Methane emissions from natural gas compressor stations in the transmission and storage sector: Measurements and comparisons with the EPA greenhouse gas reporting program protocol," Environmental Science & Technology, vol. 49, pp. 3252-3261, 2015.
- [3] Adolfsson, Bengt. Sealing Arrangement for Relatively Movable Parts and Device Including Such a Sealing Arrangement. U.S. Patent 7,757,599 B2, July 20, 2010.