

# Micro-Structured Sapphire Fiber Sensors for Harsh Environment Applications

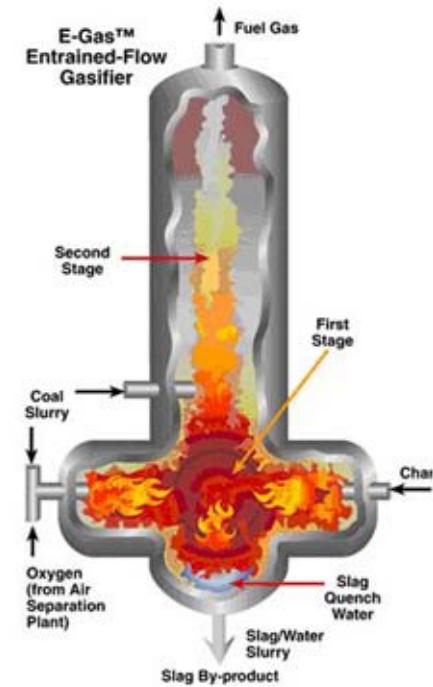
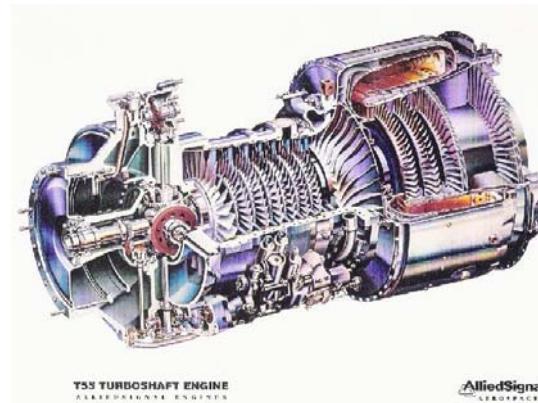


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May 21, 2014

- Introduction
- Objectives
- Assembly-free, micromachined sensors
- The novel OCMI concept and results
- Summary

- **Demands:** Advanced energy systems (e.g., clean coal) will rely heavily on sensors and instrumentation for
  - Advanced process control/optimization
  - Key components monitoring, protection, maintenance scheduling, lifecycle management
  - Increased efficiency, reduced emission and lowered cost



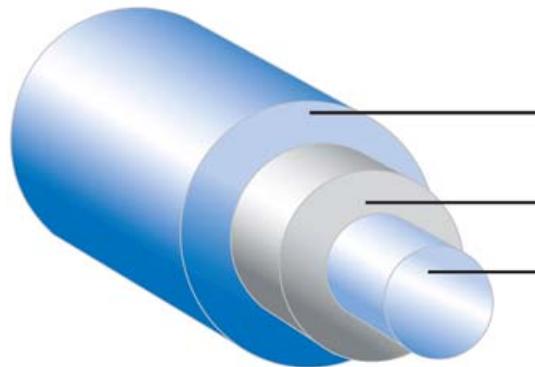
Temperature	Up to 1600°C
Pressure	Up to 1000 psi
Atmosphere	Highly erosive and/or corrosive
Loading	Large strain/stress

- Survive and operate in the high-T, high-P and corrosive/erosive harsh environments for a long period of time
  - Dependable performance
  - Robustness
  - Long term stability
  - Easy installation and maintenance
  - Acceptable cost
- Sensors and monitoring technologies (commercial and research) capable of operating in harsh conditions are extremely limited

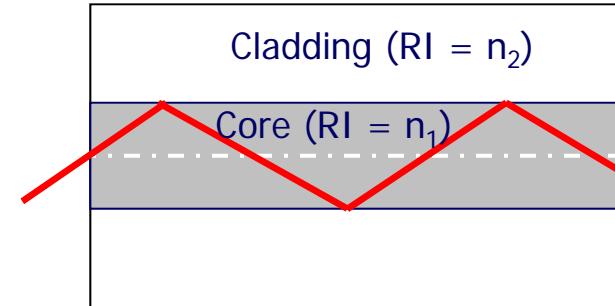
- Main objective:
  - Development and demonstration of sensors for measurements of high temperature (up to 1600°C), strain, and pressure.
- Awarded under the Cross-cutting Advanced Research Program:
  - DE-FE0001127
  - Program managers: Susan Maley and Barbara Carney
- Interdisciplinary team:
  - Missouri S&T (lead), Clemson University, University of Cincinnati, and Ameren Corp. (consultant)
  - Project started on Oct. 1, 2009
- Success criteria:
  - Demonstrate capability in simulated laboratory environments.

- **Dependable Performance:** Micro-structured sapphire fiber interferometers
- **Robustness:** Assembly-free, one-step fs laser micromachining of the sensor directly on a sapphire fiber
- **Long-term stability:** Novel sapphire fiber cladding technology
- **Distributed measurement:** Multiplexed sensors
- **Demonstration:** Tests and performance evaluations in simulated high temperature laboratory conditions

- **Optical fiber:** A light pipe made of doped fused silica



Buffer/jacket (polymer,  
 aluminum, gold)  
 Cladding (fused silica,  
 $\sim 125\mu\text{m}$  dia.)  
 Core (doped silica,  $\sim 9\mu\text{m}$   
 dia. for SMF, 50-100 $\mu\text{m}$   
 dia. for MMF)

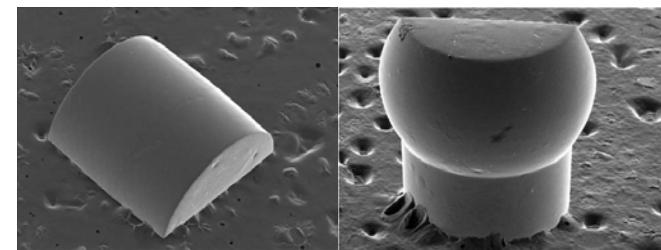
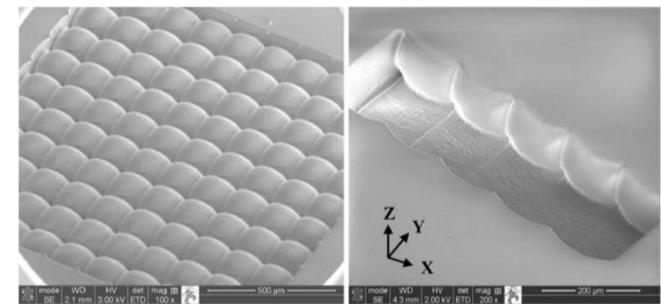
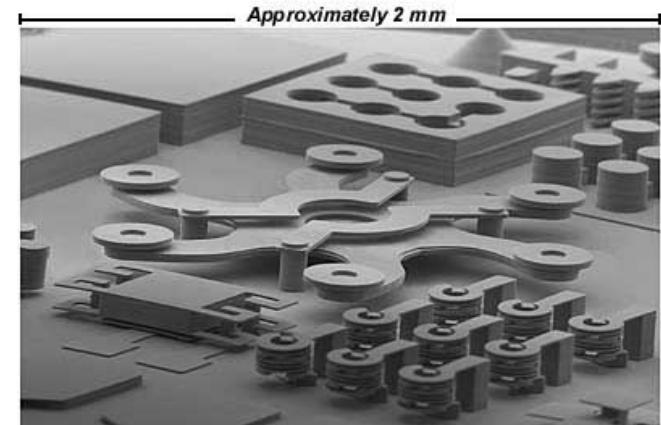


Total internal reflection when  $n_1 > n_2$

- **Fiber sensors:** proven advantages for applications in hostile environments
  - Small size/lightweight
  - Immunity to electromagnetic interference (EMI)
  - Resistance to chemical corrosion
  - High temperature capability
  - High sensitivity
  - remote operation
  - Multiplexing and distributed sensing

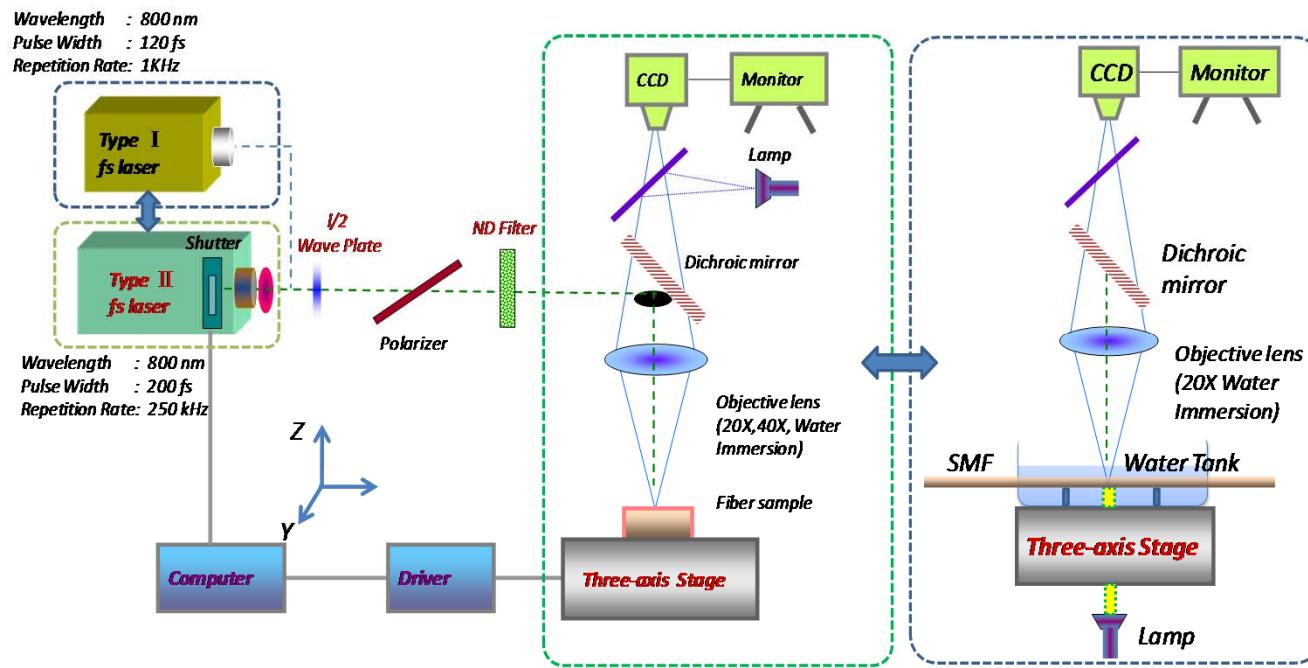
- Limitations of Fused Silica Fibers
  - Operation temperatures are limited under 800°C
  - Long term stability is a concern
- Single crystal sapphire fibers
  - Very high melting temperature (2053°C)
  - Large transmission window (200 – 5000 nm)
  - Fabrication method: Laser-Heated Pedestal Growth (LHPG, lower loss) or Edge-Defined Film-Fed Growth (EFG)
  - Good candidate for high temperature sensing
- The issues
  - High loss
  - Poor waveguide (no cladding, highly multimode, contamination)
  - Difficult in connection (NA mismatch, diameter mismatch, cannot fusion splice)

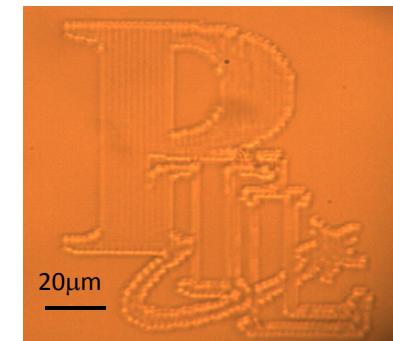
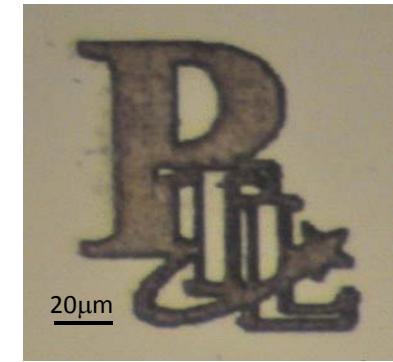
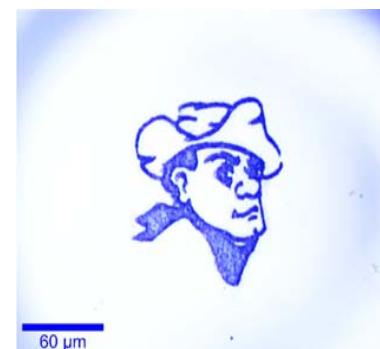
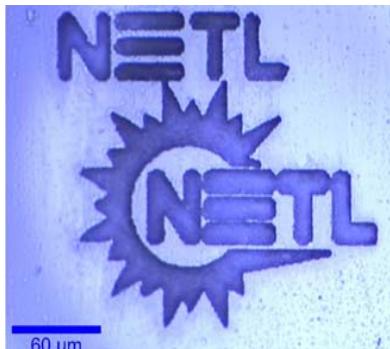
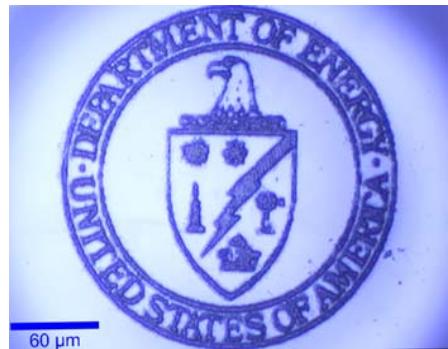
- Micromachining methods
  - Photolithography and etching (e.g., MEMS)
  - Micromachining (e.g., Laser, focused ion-beam)
- Advantages
  - Dependable performance (guaranteed by design)
  - Improved Robustness (No CTE mismatch)
  - Enhanced functionality (3D structures)
  - Low cost and fast prototyping
- Assembly-free micromachining
  - A promising approach to fabricate robust sensors for harsh environment applications



- **Micro Assembly:** The conventional way to fabricate silica fiber sensors
- **Disadvantages:** Complicated process, time consuming, non guaranteed performance, minimum robustness(CTE difference, strength of the bonding), high cost
- Fusion based assembly cannot used to join two crystal materials such as sapphire fibers
- Micro-assembly is not a valid solution for high temperature harsh environment applications

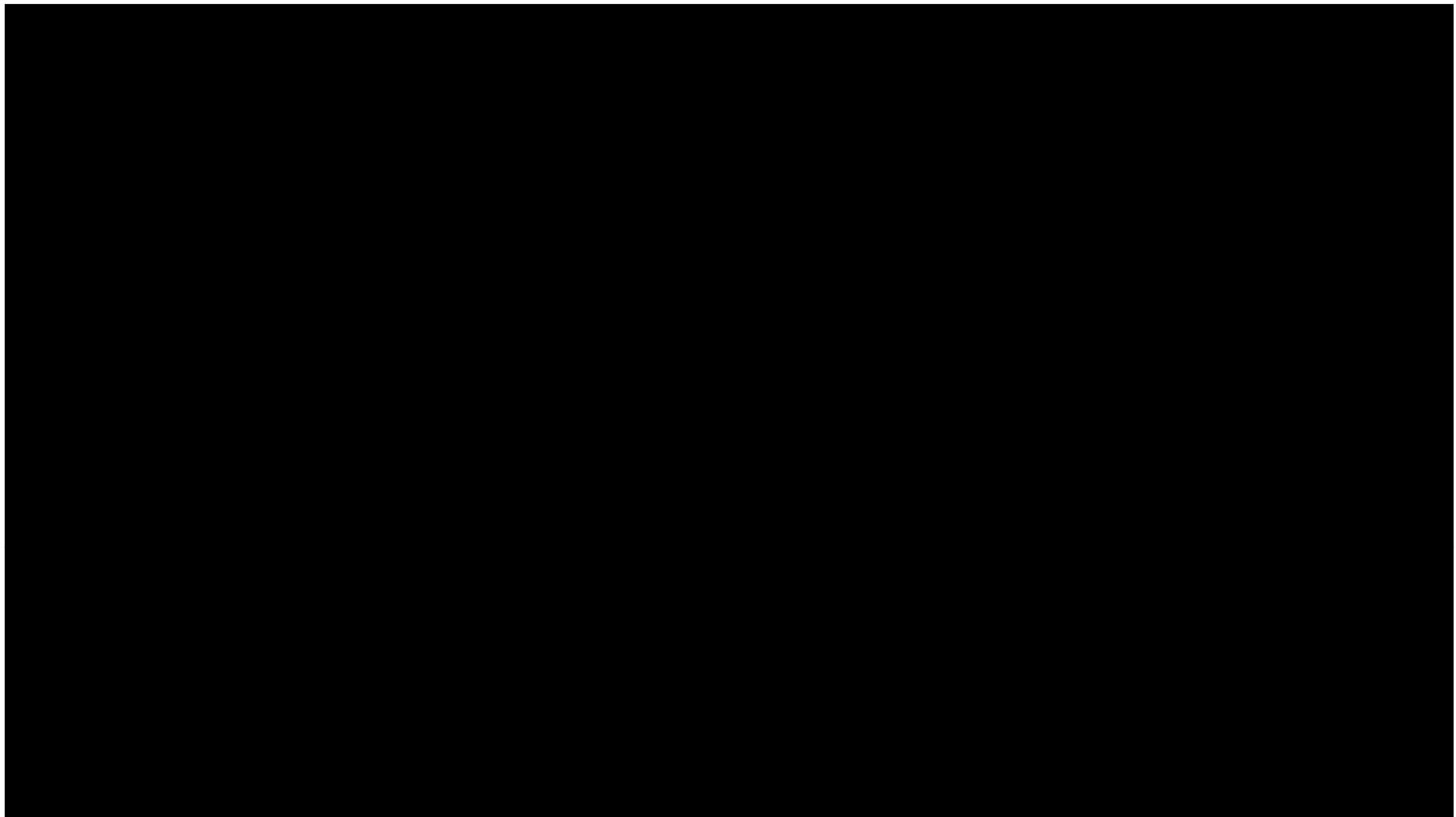
- Femtosecond (fs) laser micromachining:
  - High accuracy (sub-micron)
  - One-step, fast ablation or material modification
  - Works for a diverse variety of materials including metal, silica, polymer, sapphire, etc.
  - 3D capability, inside the material (underneath the surface)



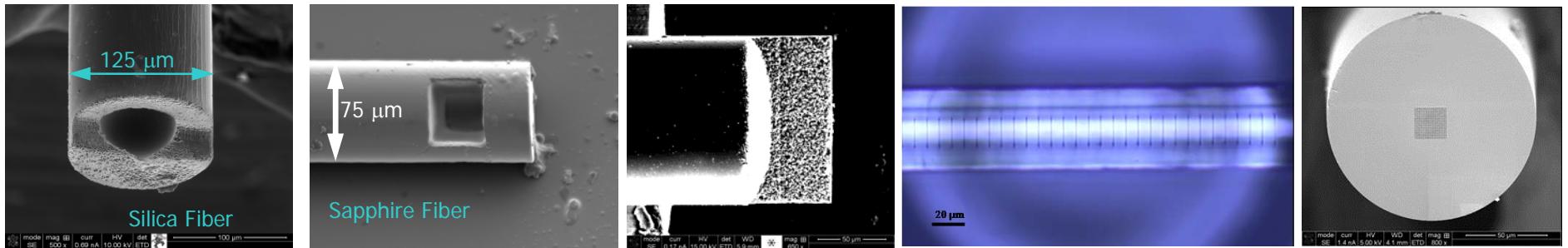


Enabling a new paradigm of  
fabricating micro sensors and devices

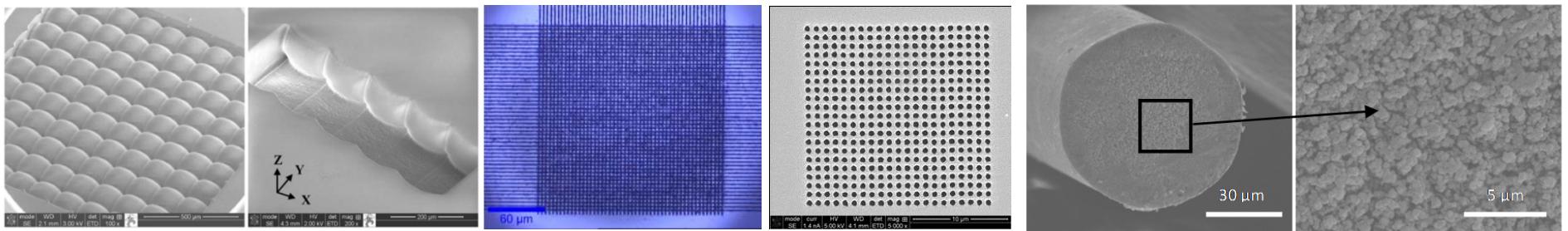




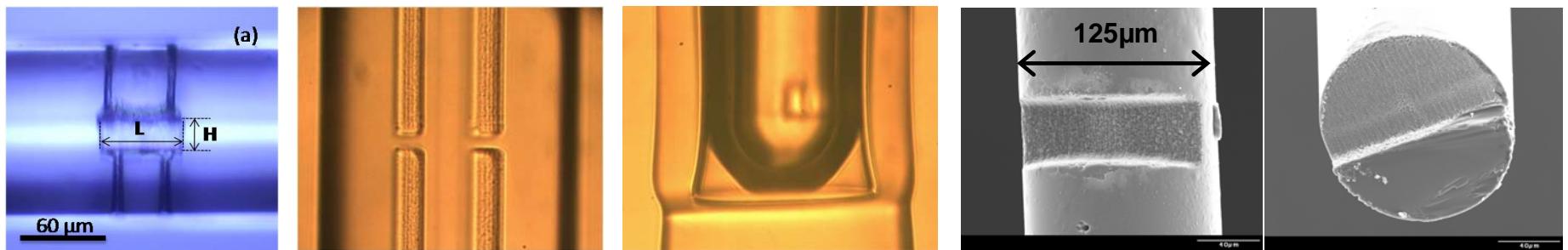
✓ Assembly-free fiber optic sensors



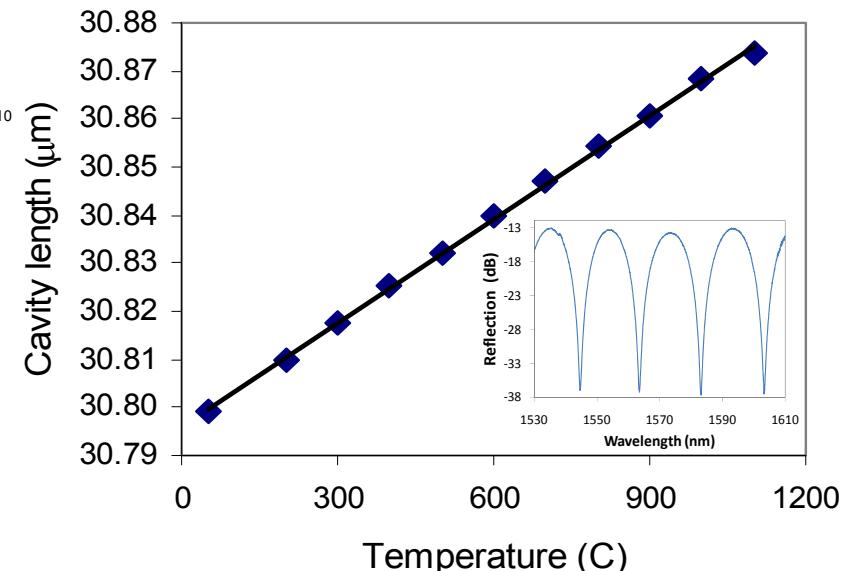
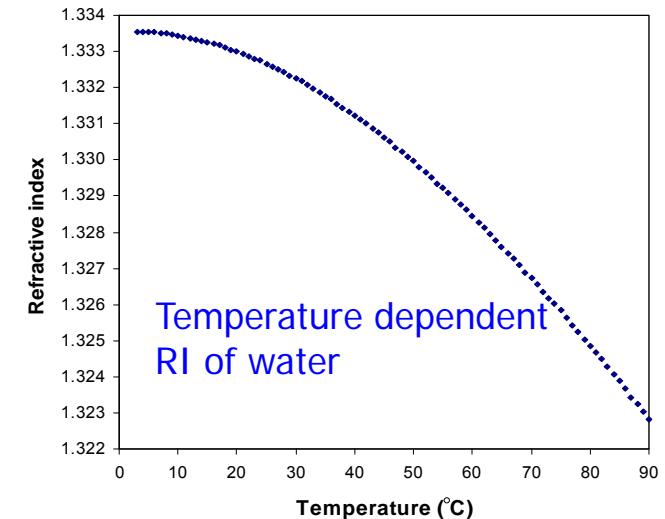
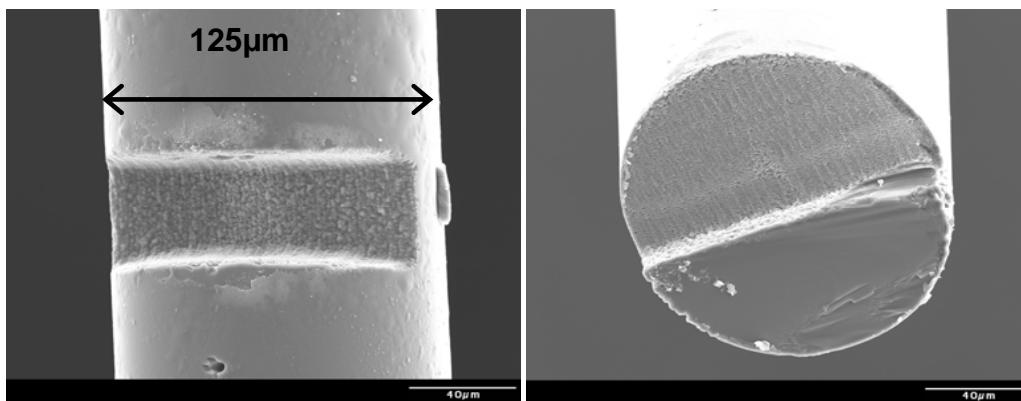
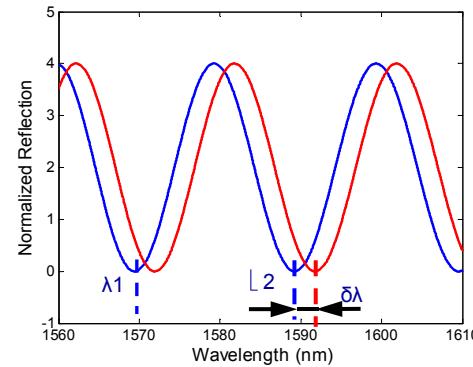
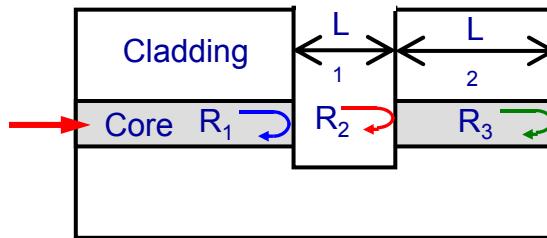
✓ Photonic micro/nanostructures



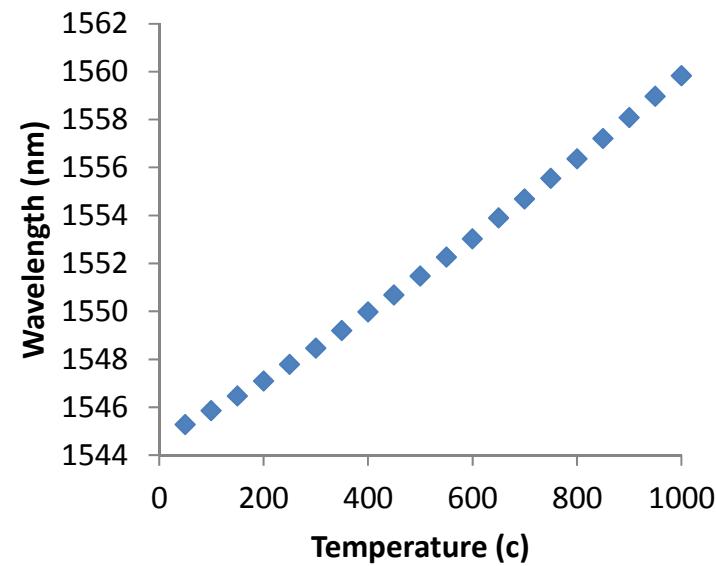
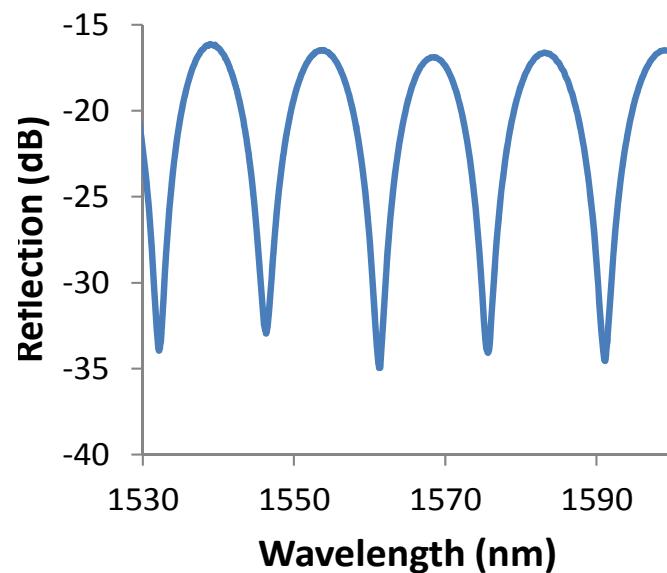
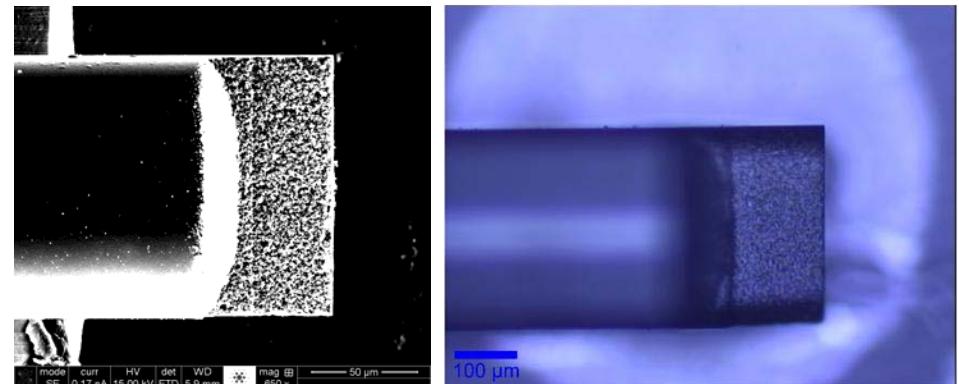
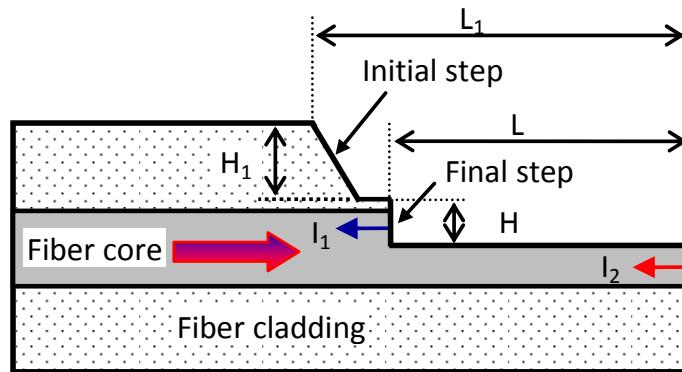
✓ Microfluidics and optofluidics



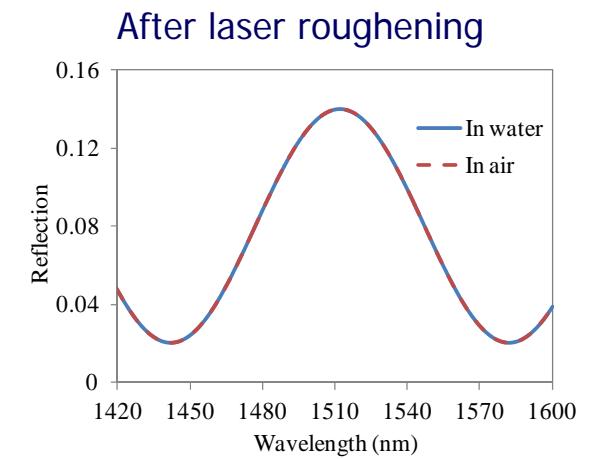
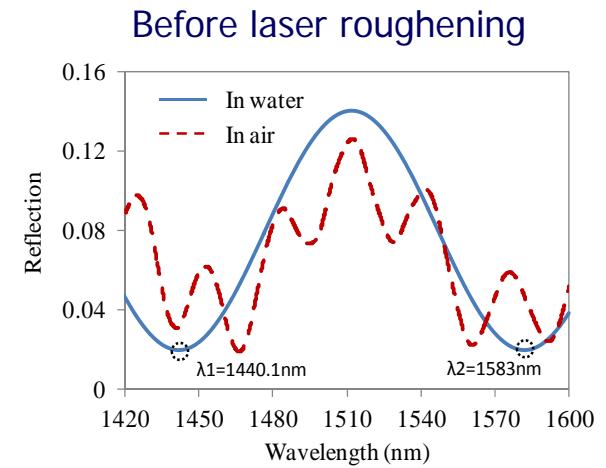
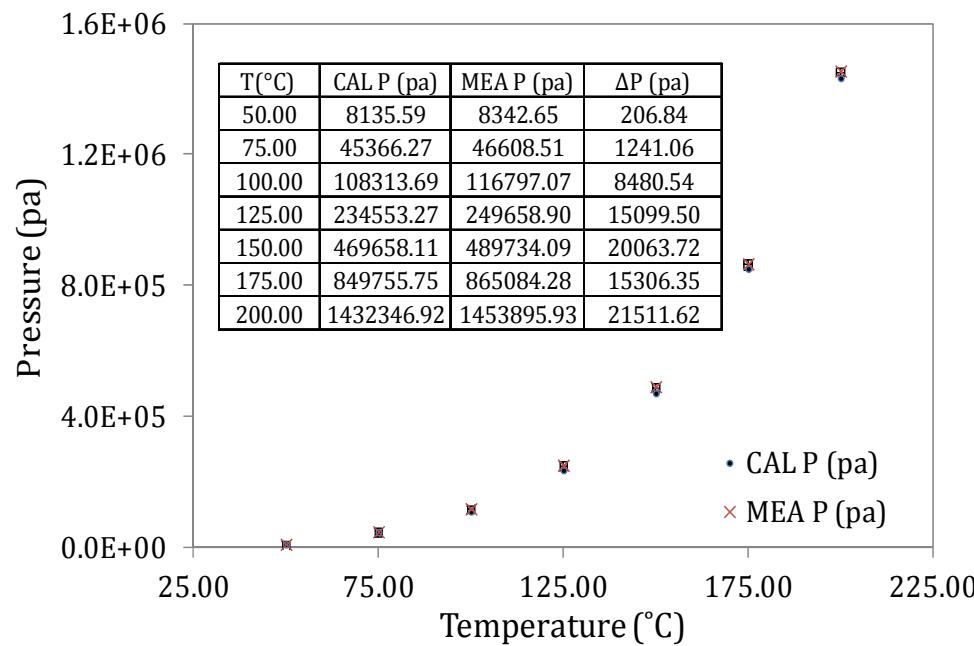
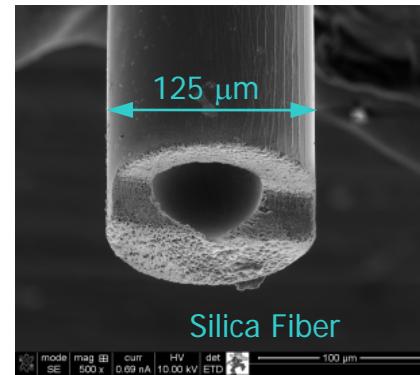
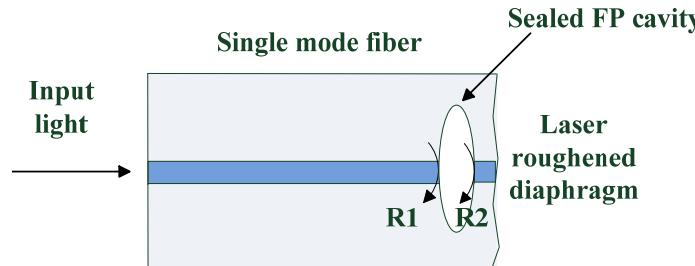
- Inline FPI fabricated by fs laser micromachining
  - High-T capability ( $1100^{\circ}\text{C}$ )
  - Negligible T-cross sensitivity
  - Bending measurement
  - RI measurement through the open cavity



- Michelson Interferometer made by splitting a fiber tip

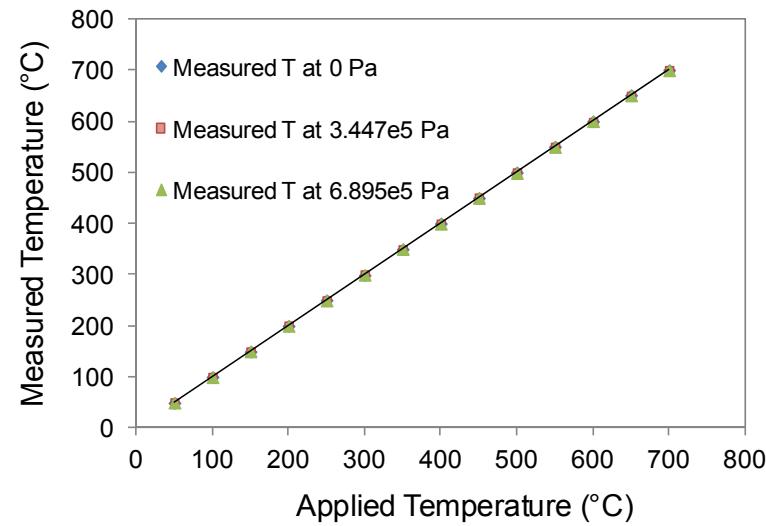
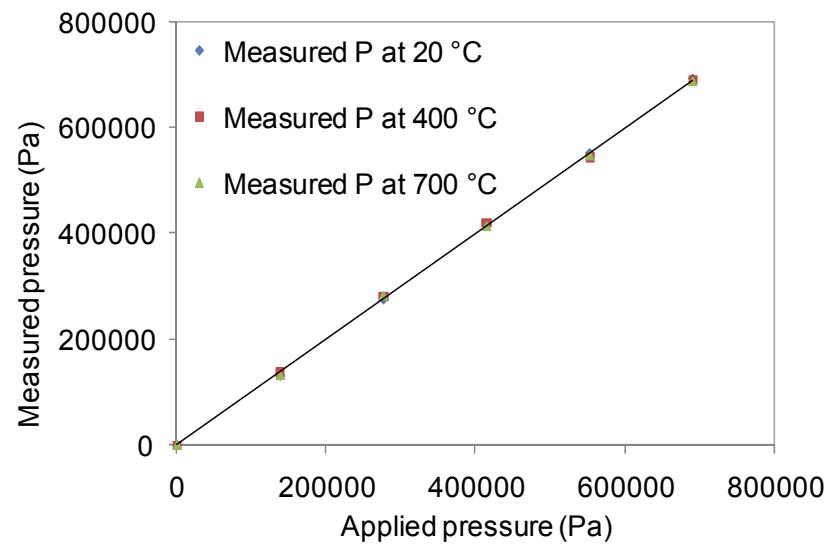
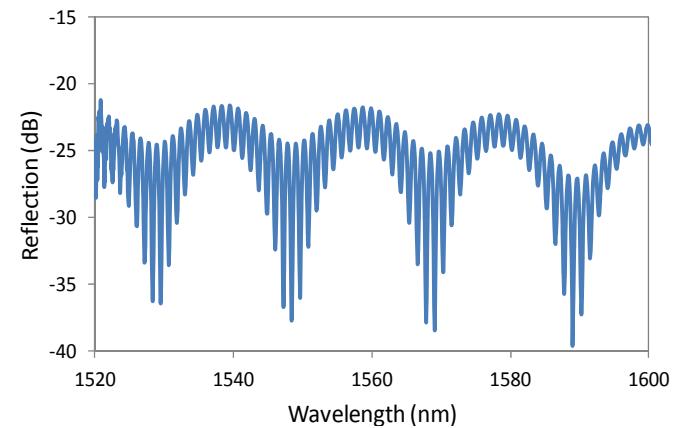
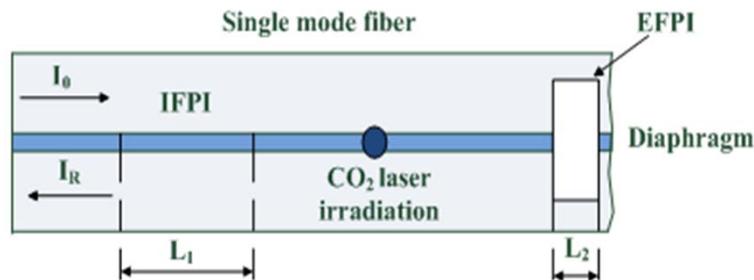


- Pressure measurement with minimum temperature cross-coupling

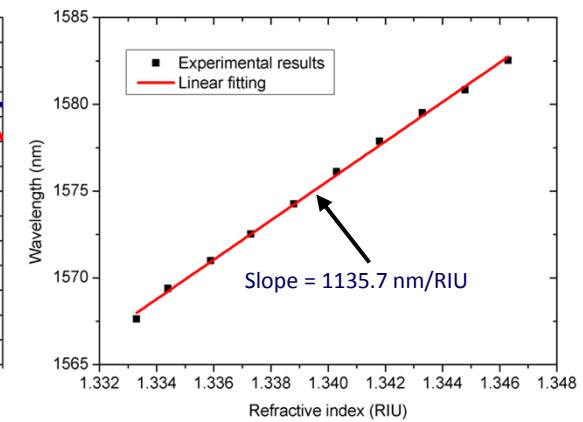
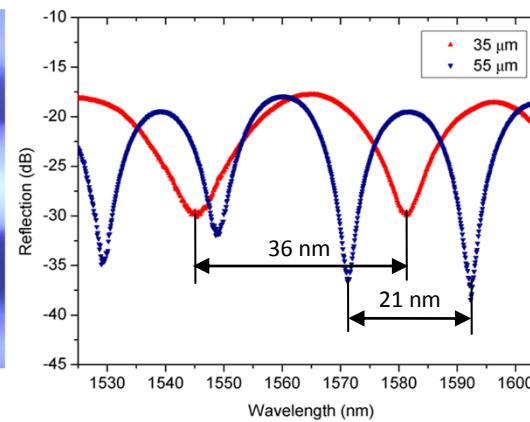
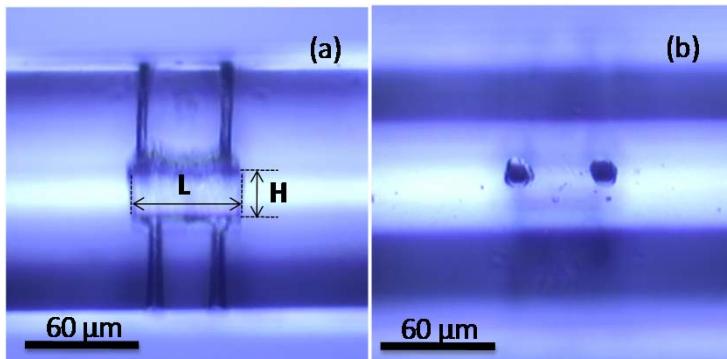


*Y. Zhang et al., Optics Letters, 2013*

- Multiplexed IFPI and EFPI for simultaneous measurements of high pressure and high temperature

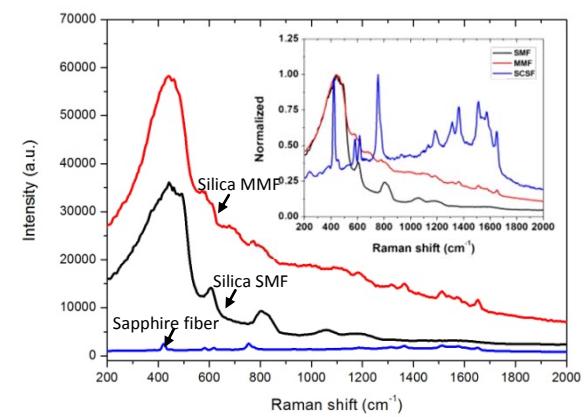
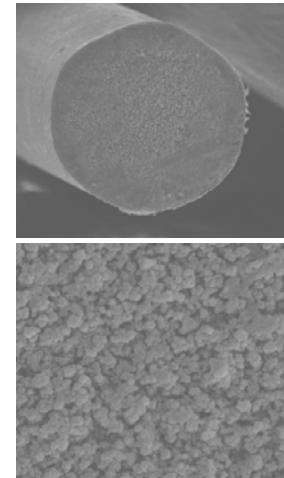
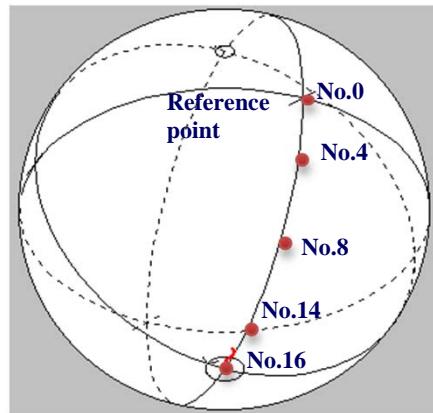
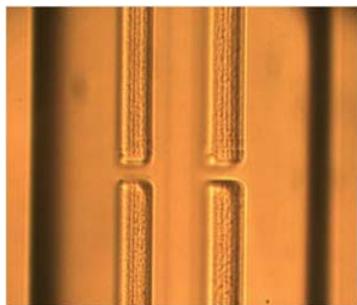


- All-in-fiber inline opto-fluidic sensor



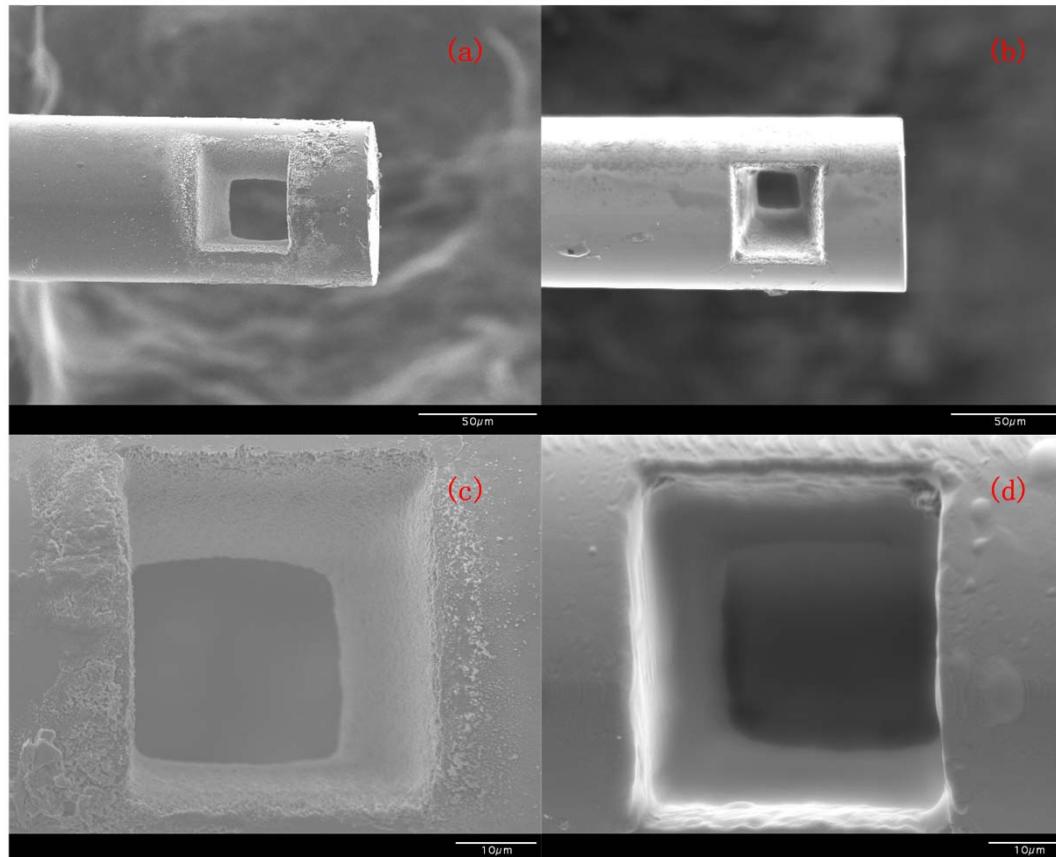
L. Yuan *et al.* *Optics Letters*, 2014.

- Fiber inline waveplates and sapphire fiber SERS probes



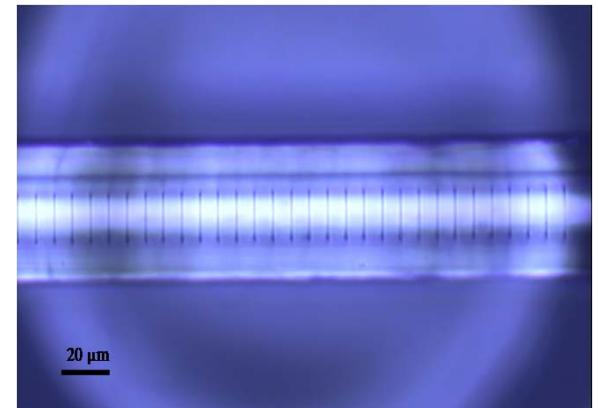
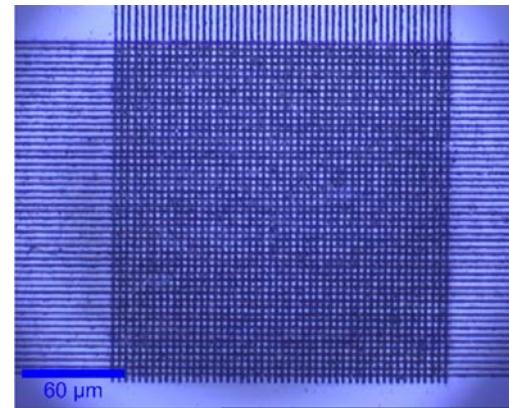
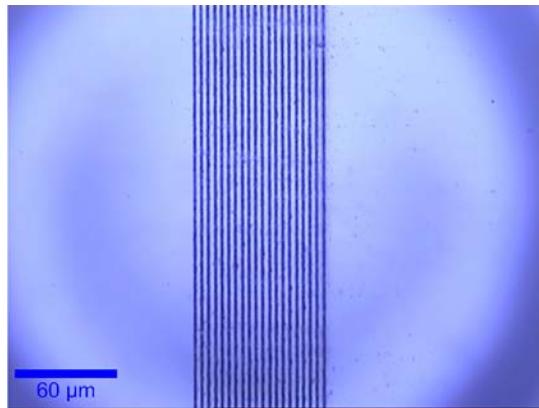
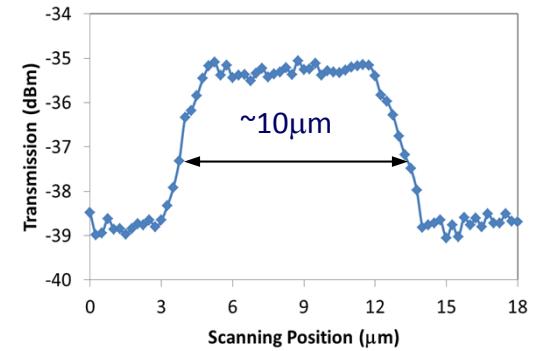
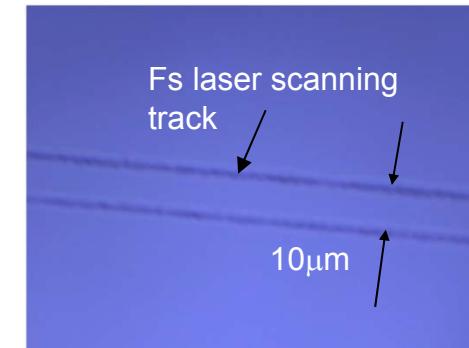
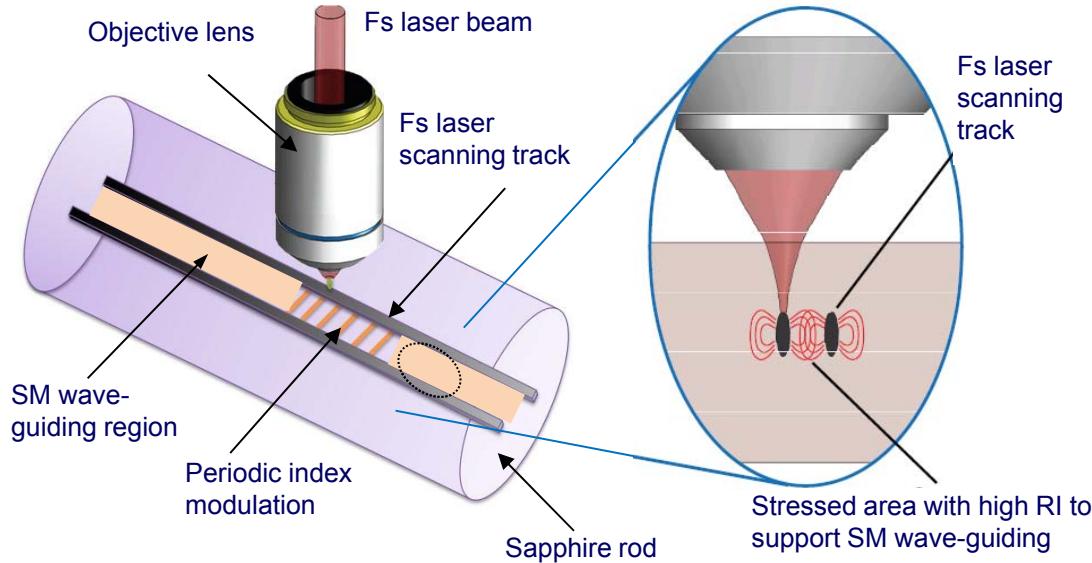
L. Yuan *et al.* *IEEE Photonics Technology Letters*, 2014.

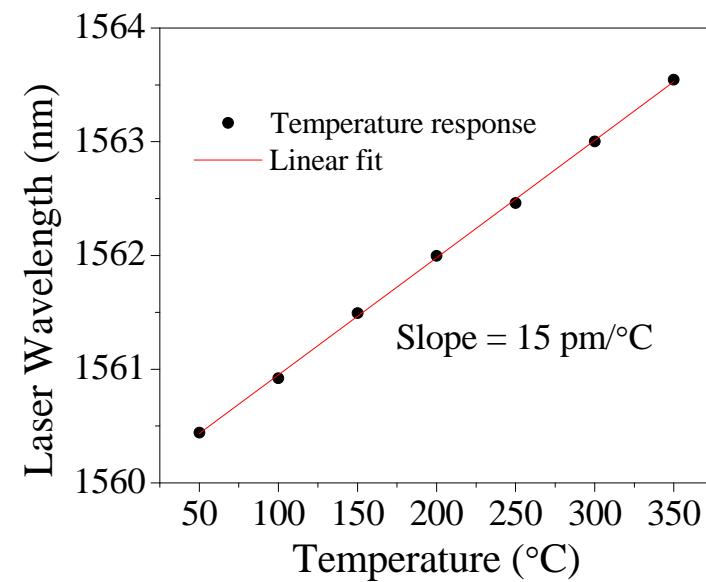
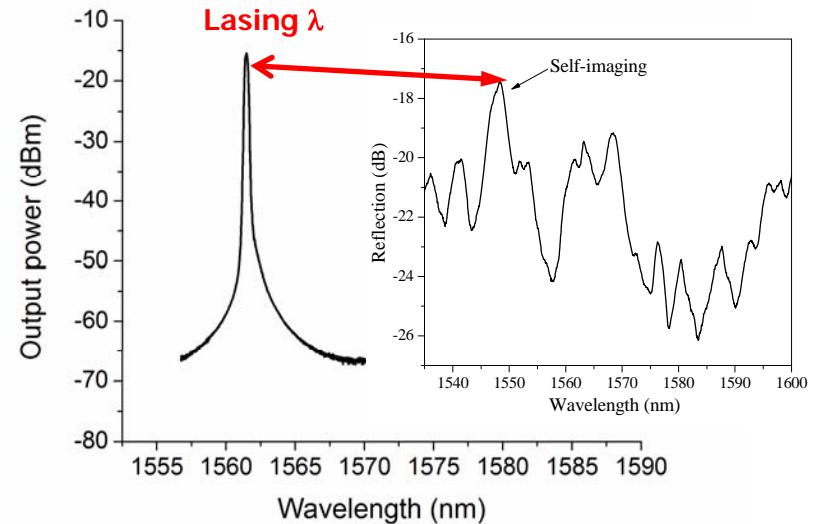
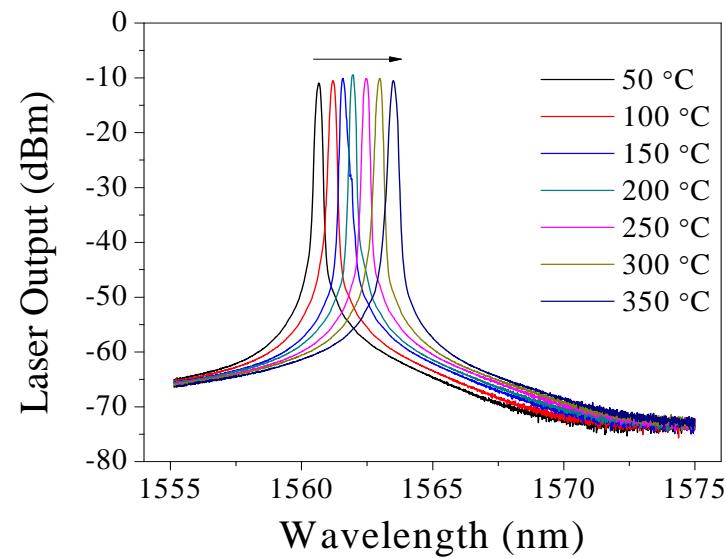
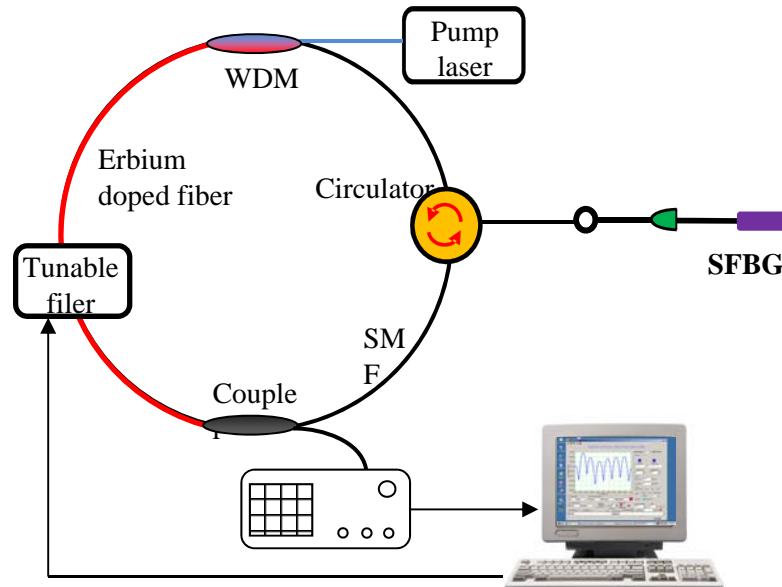
- Sapphire fiber Fabry-Perot Interferometer fabricated by fs laser micromachining



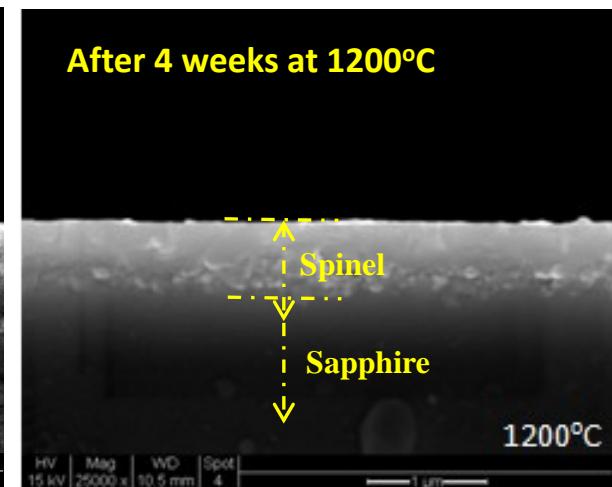
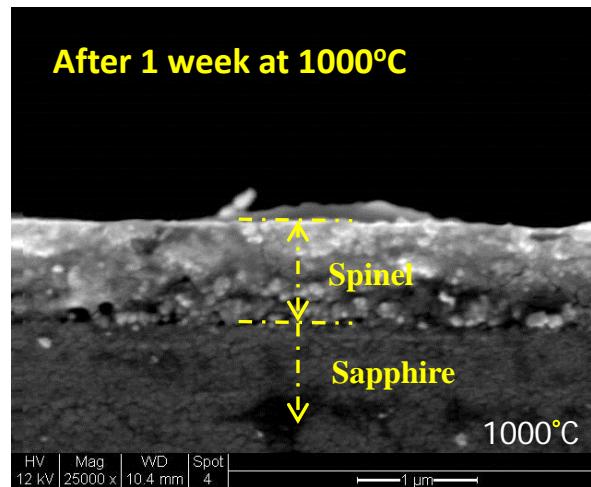
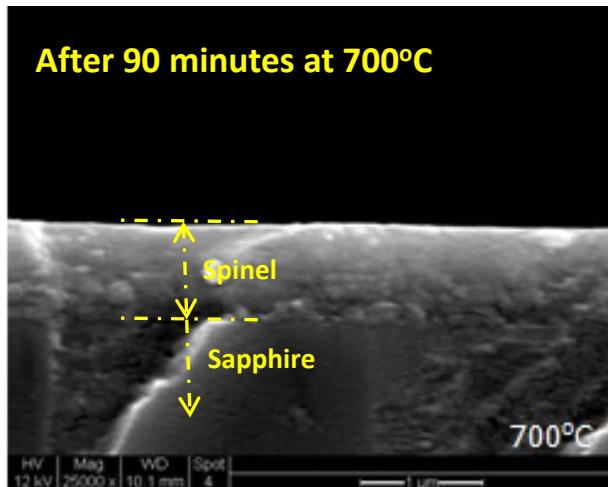
Before heating

After heating at  
1600°C for 3 days

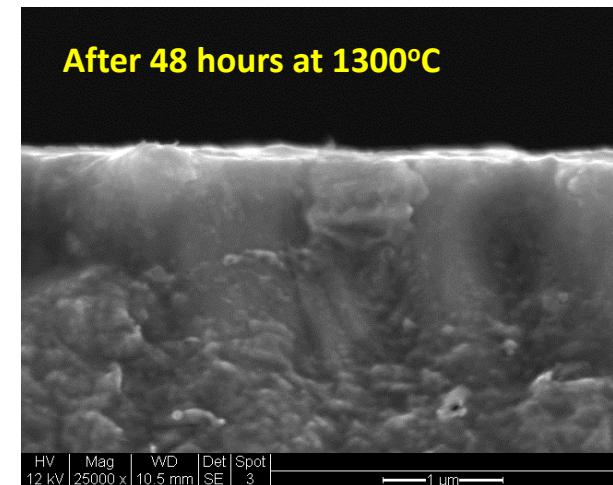
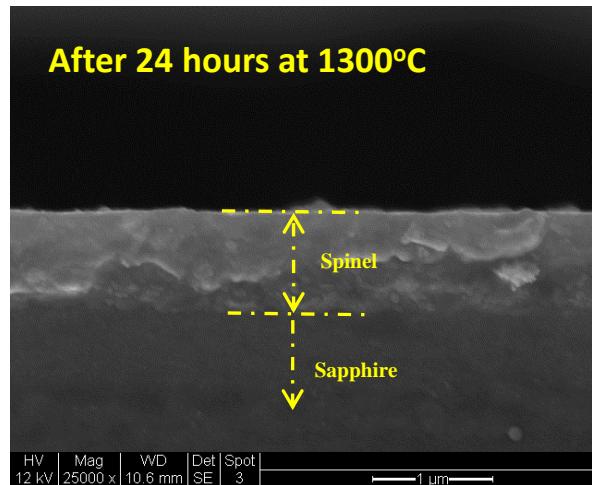




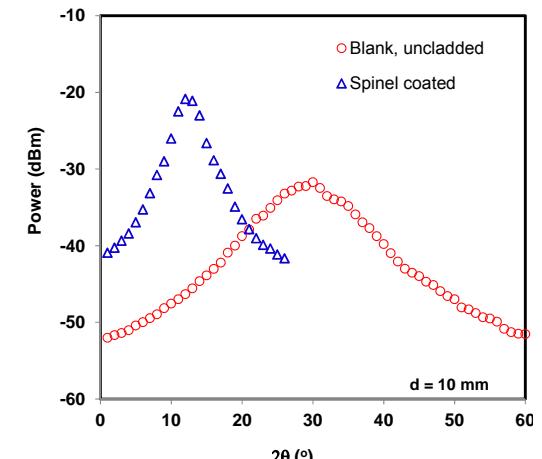
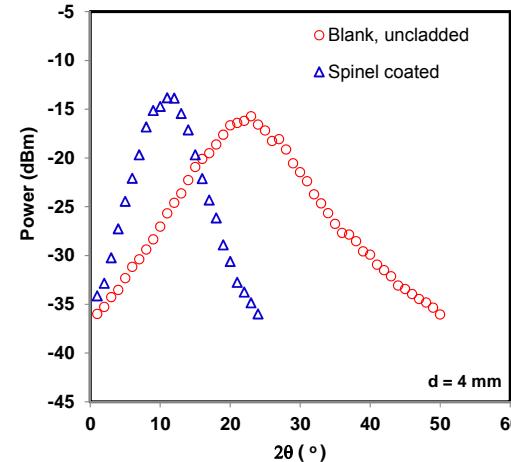
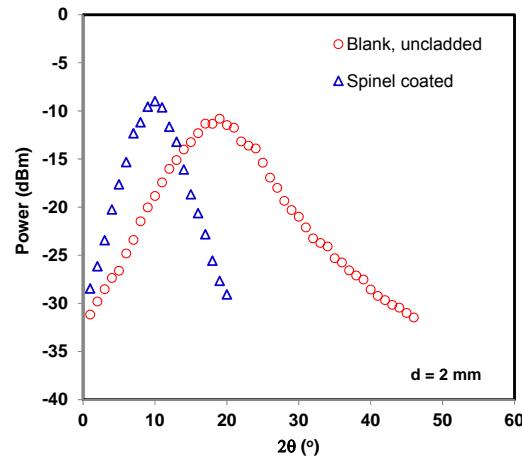
- Spinel  $\text{MgAl}_2\text{O}_4$  Cladding For Sapphire Fiber stable up to  $1200^\circ\text{C}$



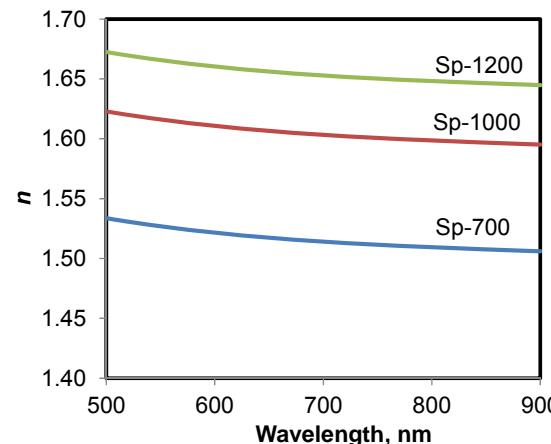
Prof. Junhang Dong  
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- Dramatically reduced numerical aperture (NA)
- Reduced optical transmission loss



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**(513) 556-3992**



Refractive indices of the spinel films on sapphire wafers Sp-700 (700°C, 1.5 h), Sp-1000 (1000°C, 168 h), and Sp-1200 (1200°C, 168 h)

Table Results of NA measurements at output power of 1% of the maximum power for the uncoated and spinel-coated sapphire fibers

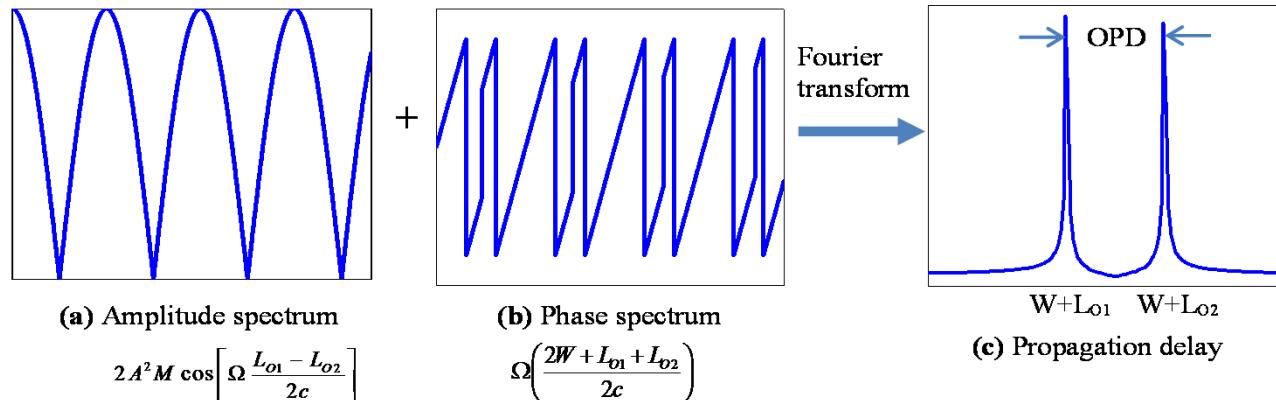
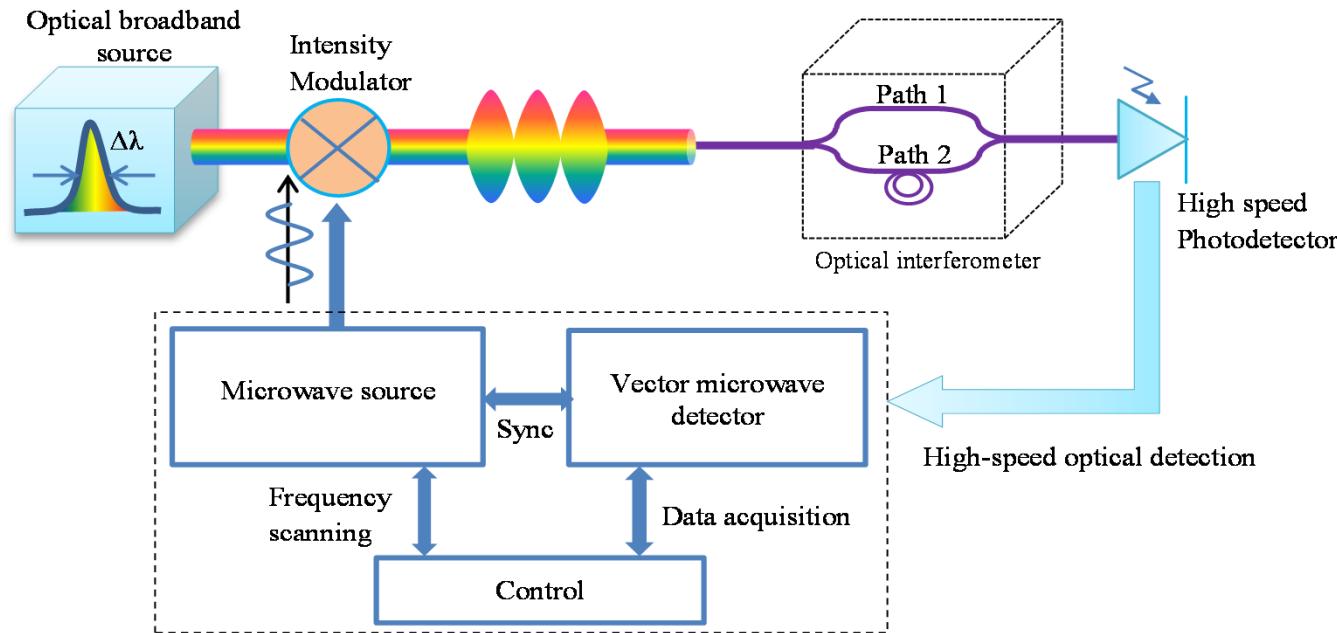
	2θ (NA=Sinθ)			
	Distance, mm	2	4	10
Uncoated		41° (0.350)	48° (0.407)	58° (0.485)
Spinel-coated		22° (0.191)	23.5° (0.204)	23.5° (0.204)

**H. Jiang et al., Thin Solid Films, 2013**

- Sensors based on pure optics
  - Advantages
    - Very small size (microns)
    - High accuracy/resolution
    - Low loss in transmission
    - Immunity to Electromagnetic interference
  - Disadvantages
    - Need very high fabrication precision (1/20 wavelength or ~50nm surface quality)
    - Waveguide dependent (difficult to fabricate sensors on highly multimode waveguide, e.g., sapphire fibers)
- Fabrication of pure optical sapphire sensors (e.g., FBG and interferometer) is extremely difficult
  - have to look into other technologies

- Microwave inspired by Optics (e.g., coaxial cable sensors)
  - We demonstrated that optical fiber devices (FBG, resonator, interferometer, etc.) can be implemented in microwave domain using a coaxial cable
- How about “Microwave on Optics”?
  - Use light as the carrier
  - Use a microwave signal to modulate the optical carrier (now the microwave becomes the envelop)
  - Send the microwave-modulated signal through the optical waveguide
  - Receive the signal and strip off the optics to obtain the microwave information only
  - The demodulated microwave signals can now be used for sensing

- Optical carrier based microwave interferometer (OCMI)



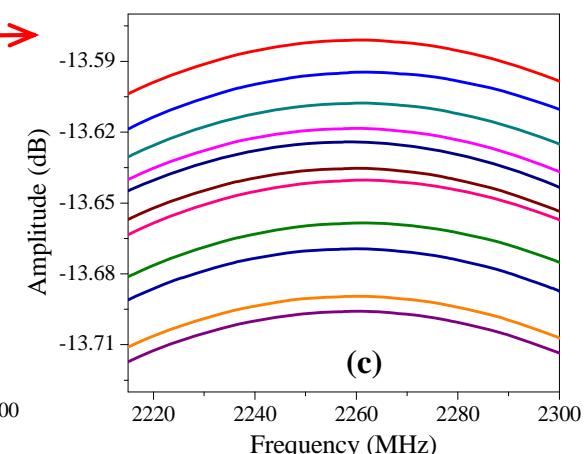
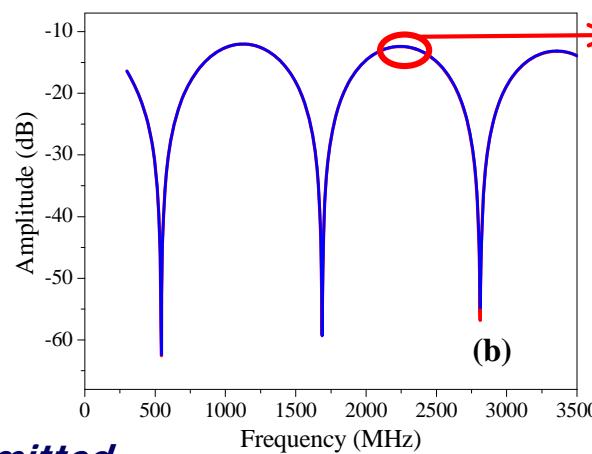
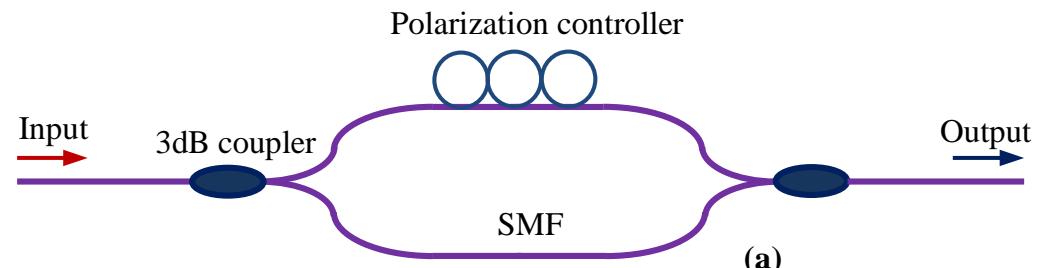
**Microwave term**

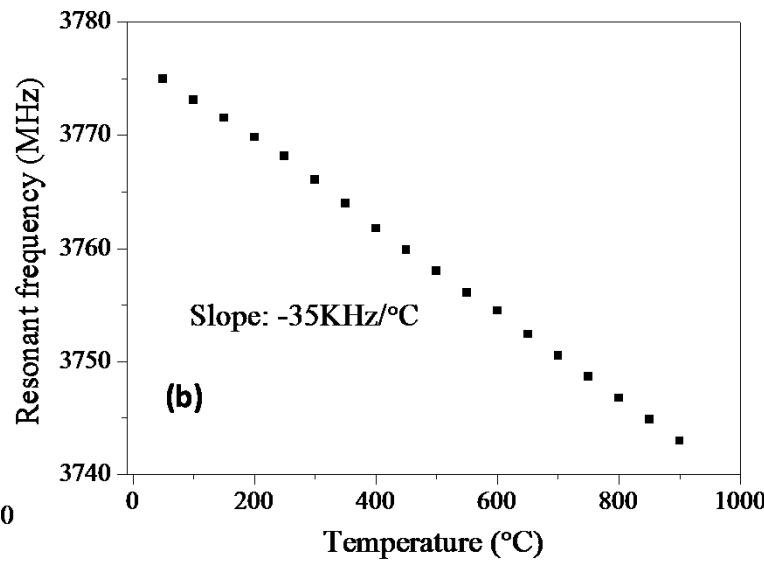
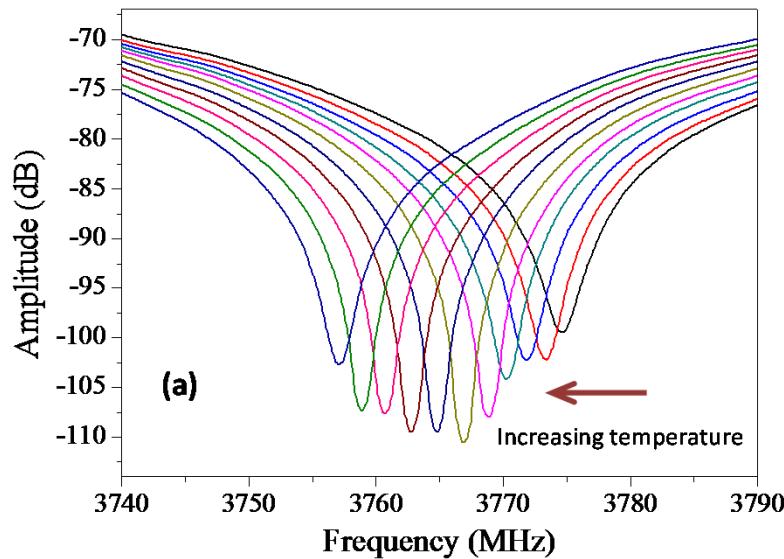
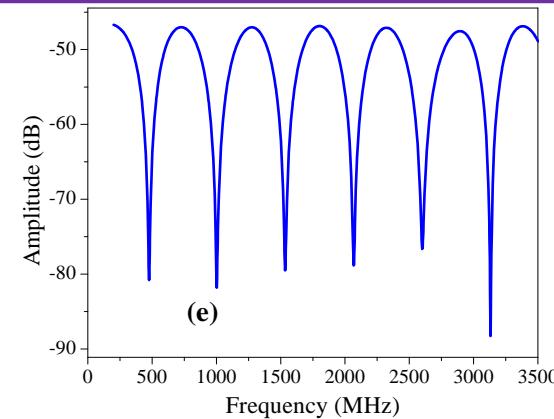
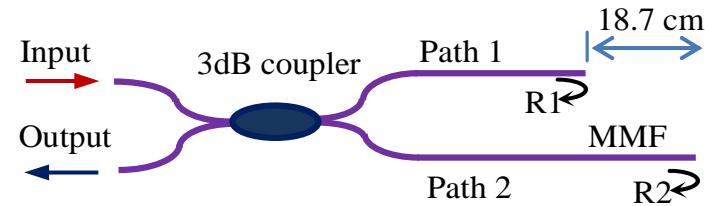
$$|E|^2 = |E_1 + E_2|^2 = 2A^2 + 2A^2M \cos\left[\Omega \frac{L_{o1} - L_{o2}}{2c}\right] \cos\left[\Omega\left(t + \frac{2W + L_{o1} + L_{o2}}{2c}\right)\right]$$

$$+ 2A^2 \sqrt{\left\{1 + M \cos\left[\Omega\left(t + \frac{W + L_{o1}}{c}\right)\right]\right\} \left\{1 + M \cos\left[\Omega\left(t + \frac{W + L_{o2}}{c}\right)\right]\right\}} \cdot \int_{\omega_{\min}}^{\omega_{\max}} \cos\left(\omega \frac{L_{o1} - L_{o2}}{c}\right) d\omega$$

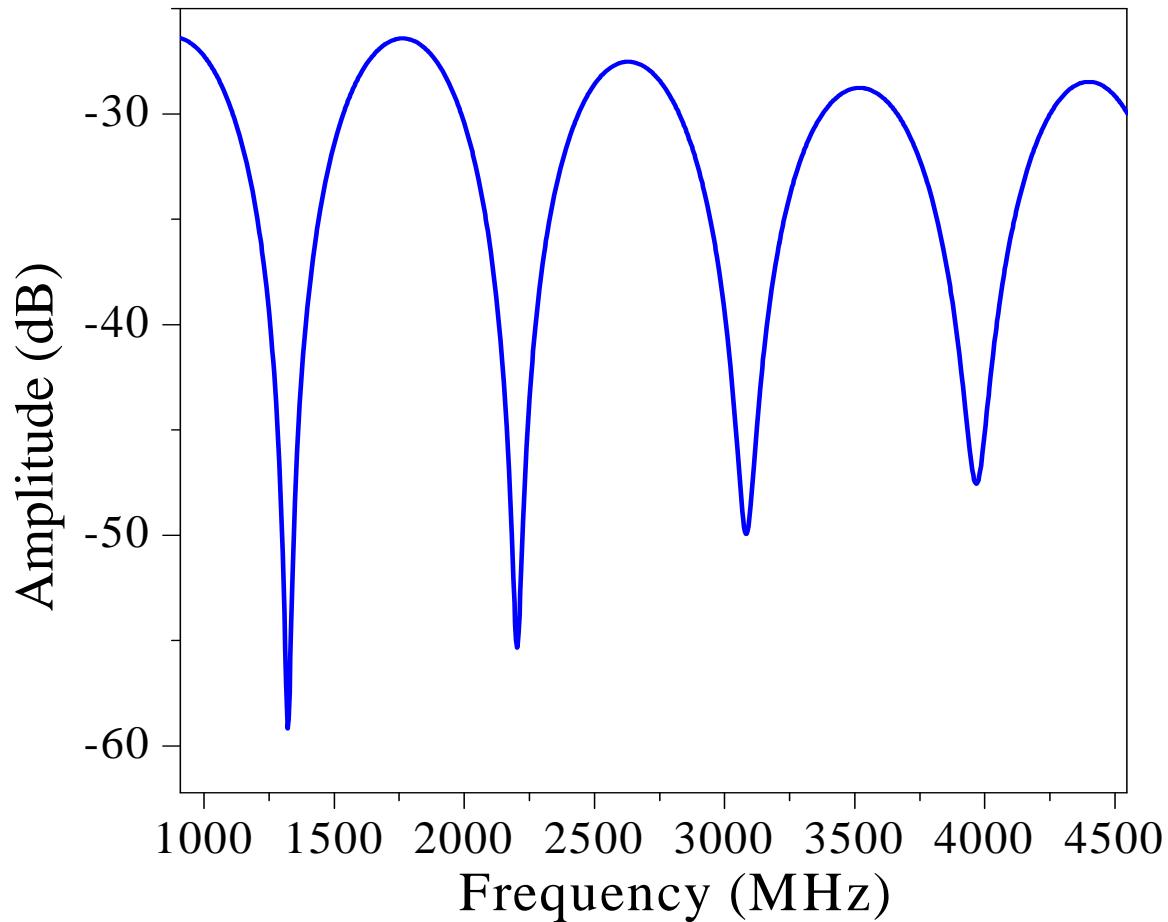
**Optical term**

**Polarization  
insensitive  
(no more  
polarization fading)**

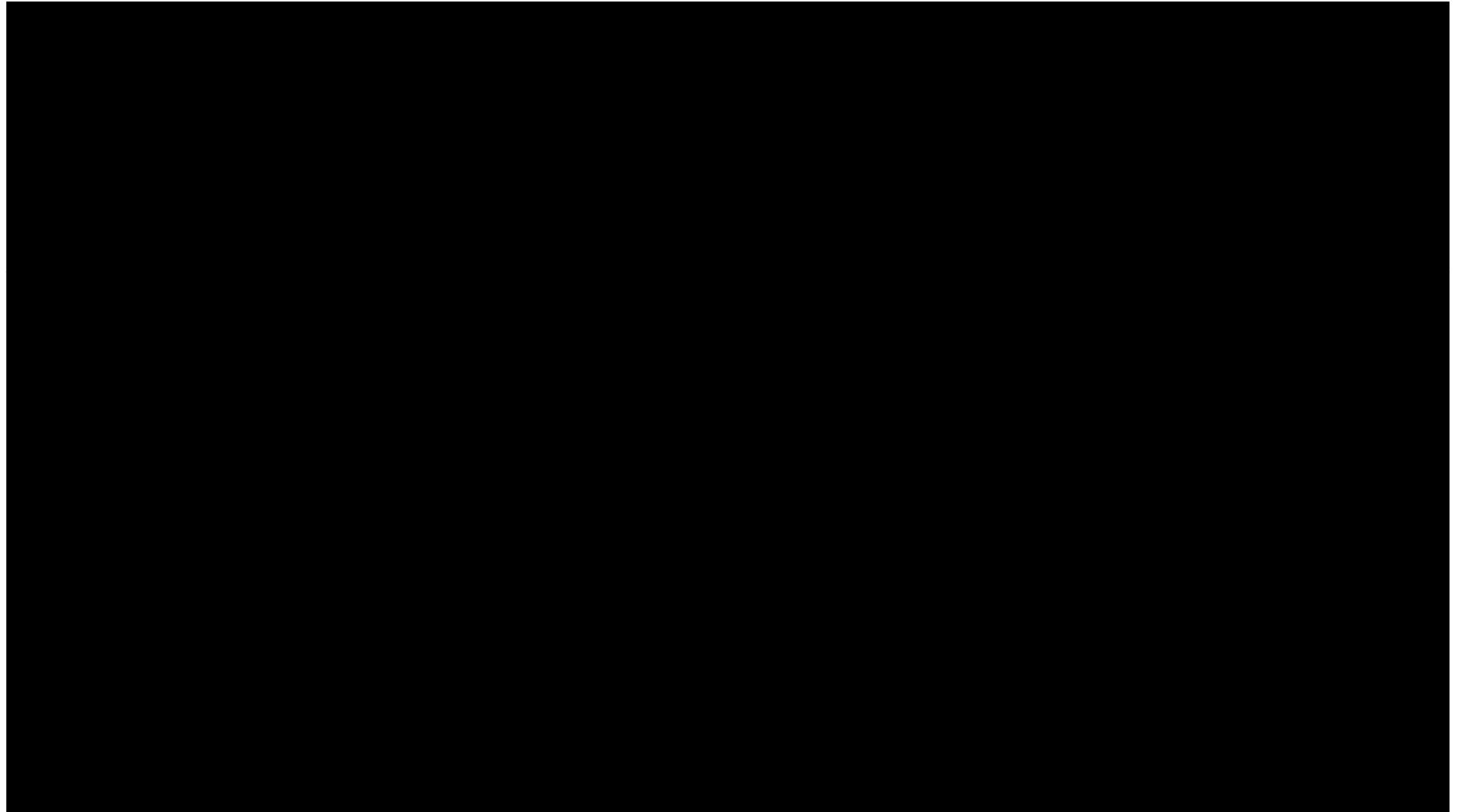




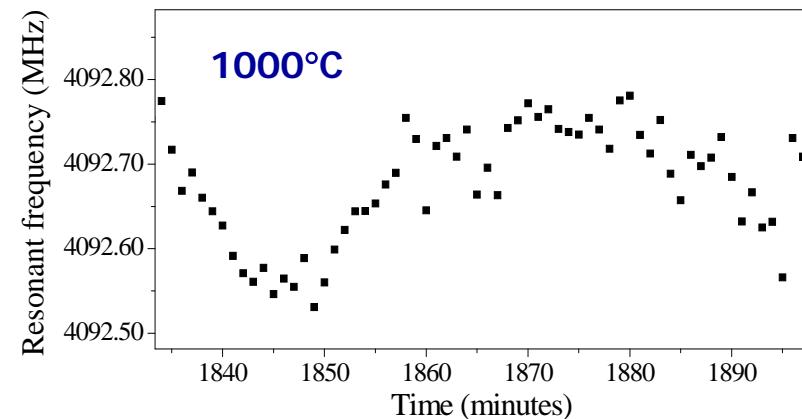
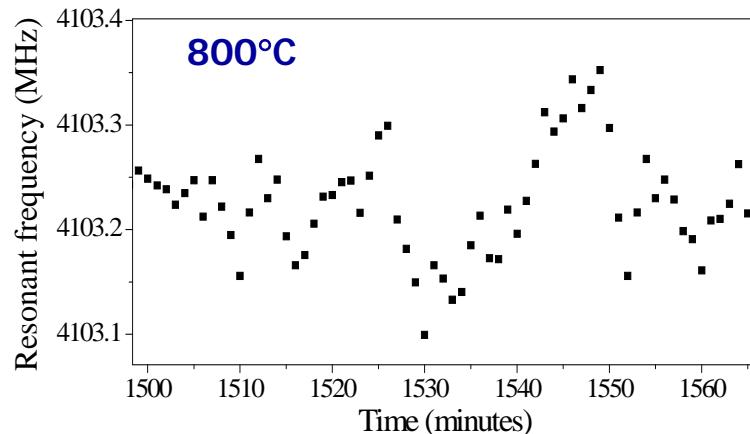
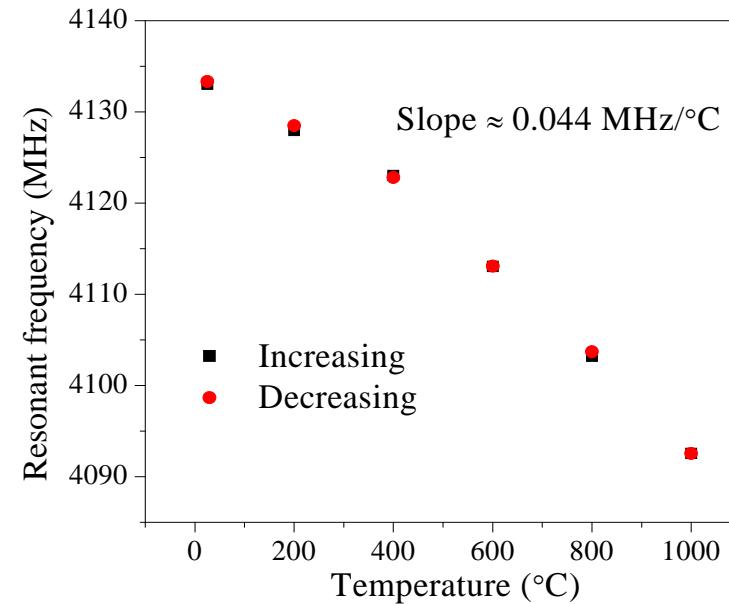
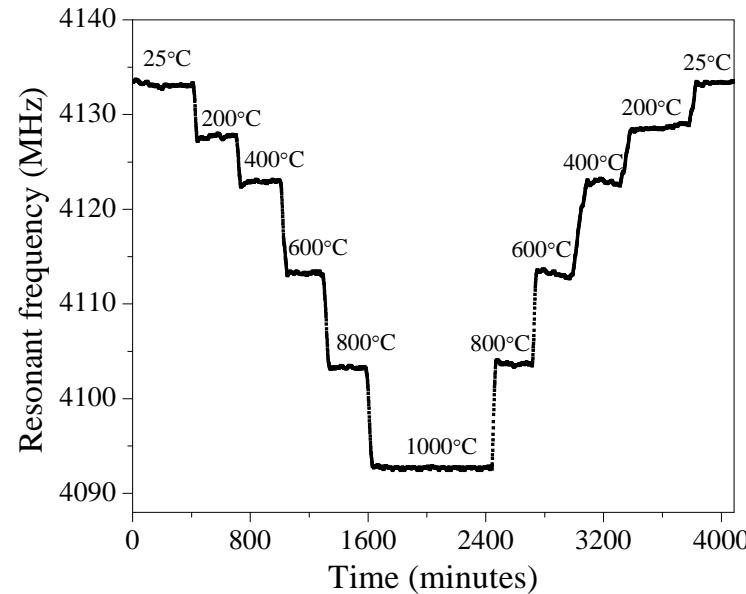
**Insensitive to multimodal influences (can be implemented using highly multimode fibers)**

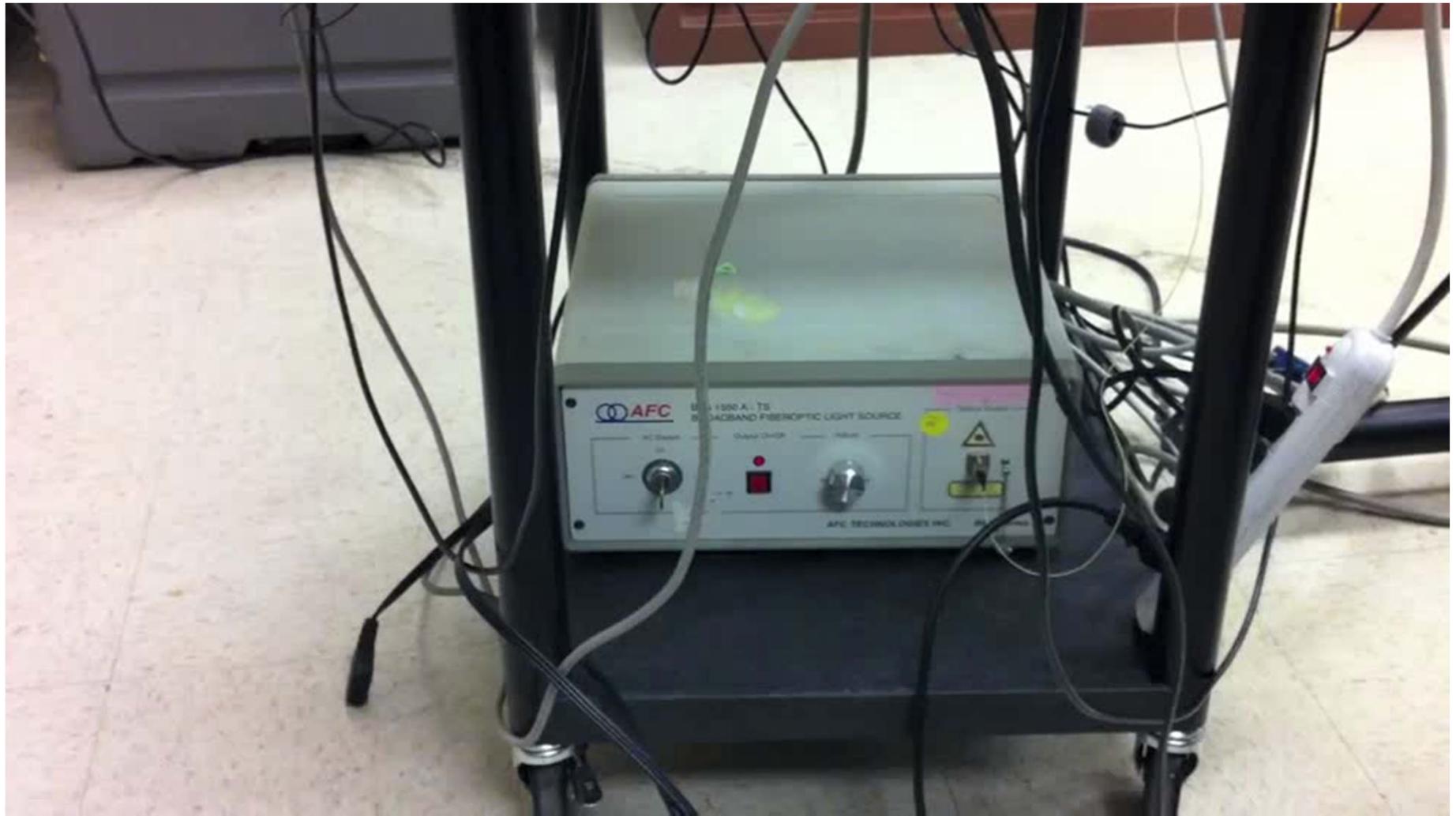


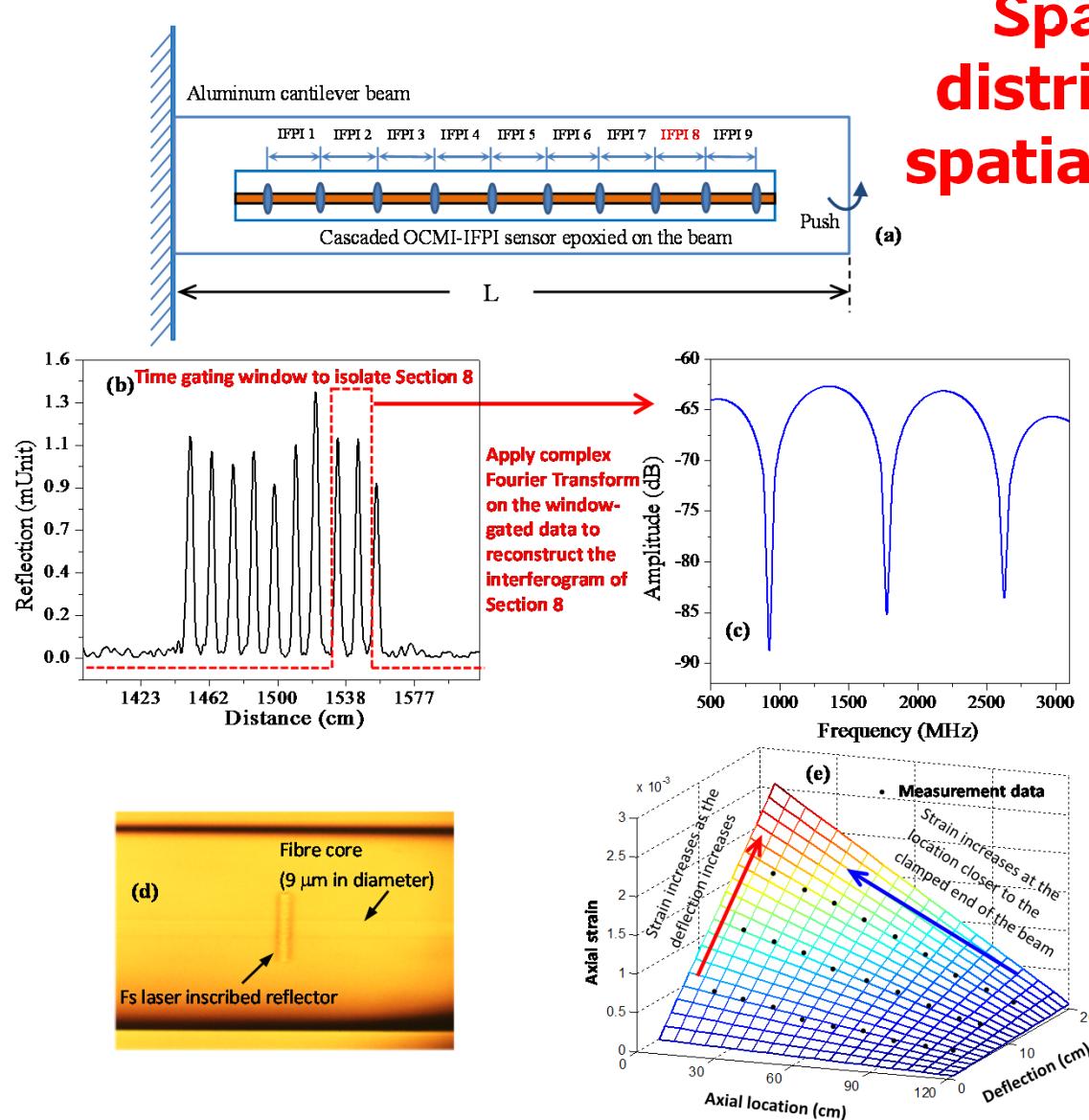
**Excellent signal quality using highly multimode sapphire fibers (uncladded, 125 $\mu$ m diameter)**



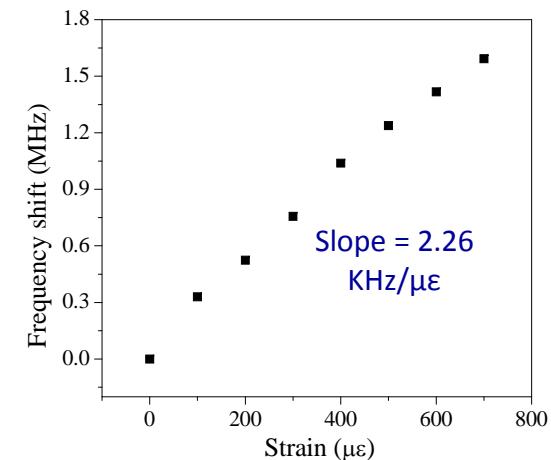
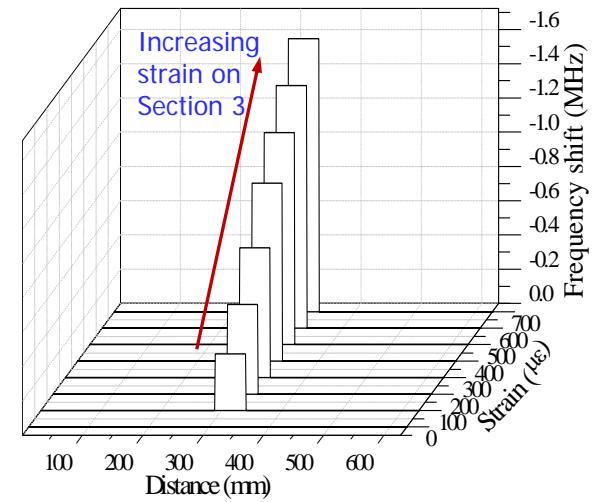
- Excellent measurement resolution and stability

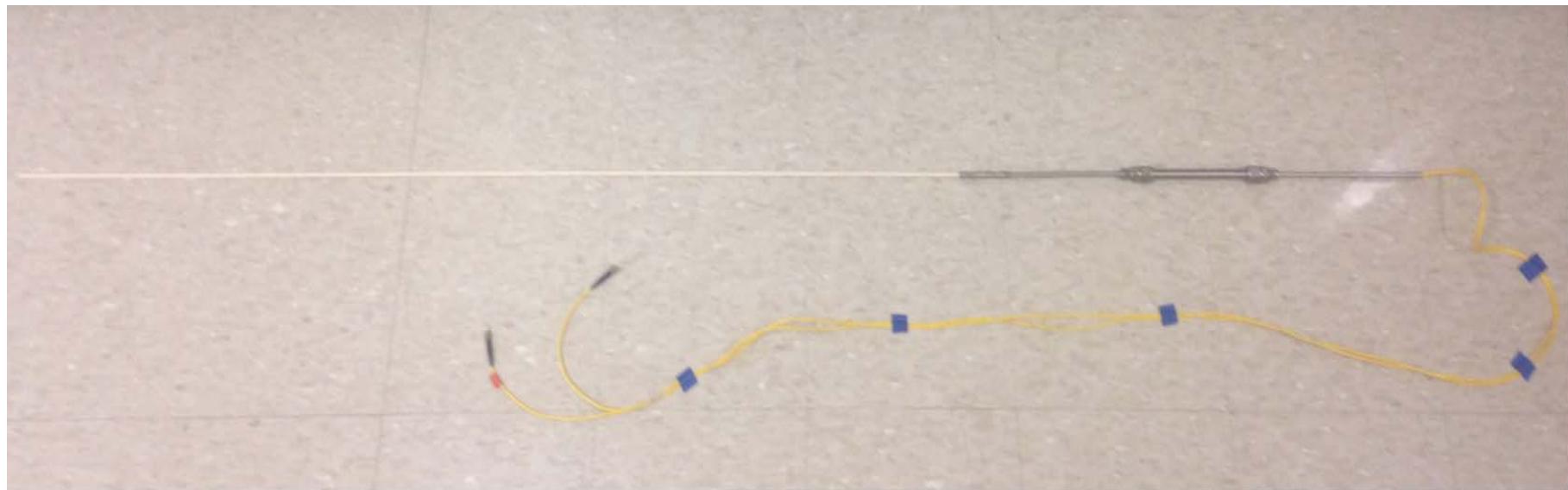
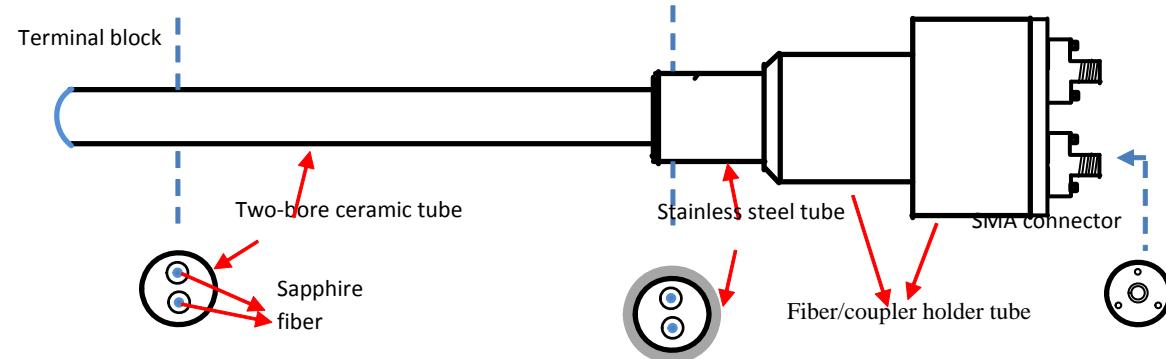






**Spatially-continuous distributed sensing with spatial resolution of 1 cm)**





- Challenging problems solved by the novel OCMI concept
  - Excellent SNR, high resolution
  - Insensitive to polarization variations
  - Low dependence on multimodal influences
  - Relieved requirement on fabrication (very easy to fabricate the sensors including the previously very difficult, if not impossible, sapphire fiber sensors)
  - Truly distributed sensing capability with spatial continuity and cm spatial resolution
- Assembly-free OCMI sensors for harsh environments
  - Dependable Performance
  - Robustness
  - Long-term stability