

U.S. Department of Energy

ORDER

National Energy Technology Laboratory

473.6

DATE: 12/11/01

SUBJECT: SECURITY CONDITIONS

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1. OBJECTIVE. The purpose of the SECON system is to establish standardized protective measures for a wide range of threats and to help disseminate appropriate, timely, and standardized information for the coordination and support of DOE crisis or contingency activities.
 2. CANCELLATION. None.
 3. APPLICABILITY. This Order applies to all NETL facilities, operations, and employees.
 4. REQUIREMENTS.
 - a. NETL must be prepared to respond to SECON directives provided by the DOE Headquarters Director of the Office of Security and Emergency Operations. At their discretion, the Director of NETL or their designee may increase protection measures for facilities under their cognizance if the local threat situation warrants additional security. In this event, the DOE Headquarters Emergency Operations Center and LPSO must be notified of the NETL SECON level. If the NETL Director or designee implements a SECON level lower than that issued by the Director of the Office of Security and Emergency Operations, a request for exception will be submitted for consideration.
 - b. While the DOE Design Basis Threat provides specific description of threats that all components of the safeguards and security system must be capable of defeating, analysis of terrorism should be an ongoing process. To keep up with possible changes in the threat, the NETL Security Manager shall develop a predetermined list of general and specific threat indicators. Threat indicators are to be revised according to site/facility situations and needs and should be reviewed at least biannually every 6 months or if a significant incident or change in conditions indicates that the threat level is increasing or decreasing.

The most recent and official controlled hard copy version of this directive resides with NETL's Directives Coordinator. An electronic version of the controlled directive has been placed on the NETL Intranet for employee use. Printed hard copies of this electronic version are considered noncontrolled documents.

DISTRIBUTION: All NETL Elements

INITIATED BY: Office of the Director

- c. Once one of the five SECON levels is declared by DOE, the NETL Security Manager shall implement the associated protective measures to the extent they apply to the individual site or facility as soon as possible. NETL shall coordinate SECON status through the FE-HQ Security points of contact as appropriate and notify the DOE Headquarters Emergency Operations Center.
- d. Measures associated with each SECON are not prioritized, but should be initiated concurrently, when practical. A record of specific actions taken for each measure shall be maintained by the NETL Security Manager.
- e. DOE Notice 473.6, Security Conditions, contains definitions of threat conditions and the appropriate measures to take. Please refer to DOE N 473.6 for this information.

5. RESPONSIBILITIES.

a. Deputy Director of Operations shall:

- (1) Increase the security protection measures of NETL if the local threat situation warrants additional security.
- (2) Submit a request for exception for implementing less measures than the security condition measures issued by the DOE.

b. NETL Security Manager shall:

- (1) Keep the Deputy Director of Operations informed of the current security condition level.
- (2) Implement the measures required of the security condition level set by DOE.
- (3) Inform the Deputy Director of Operations when the measures required by DOE are not applicable to NETL.
- (4) Maintain a file of security conditions notices and the measures taken to meet the required measures called for within notices by NETL.
- (5) Develop a biannual list of Threat Indicators.

(6) Notify HQ EOC of changes to SECON level based on local impacts. Inform immediately, the NETL Emergency Directors and Emergency Preparedness Program Managers of HQ EOC notifications.

6. POLICY. NETL shall follow the requirements of the DOE Directive on Security Conditions and shall abide by the level set by DOE for a specific threat.
7. REFERENCES.
 - a. [DOE Notice 473.6, Security Conditions](#), of 9/18/00.
 - b. [DOE Notice 251.40, Extension of DOE Directives on Security](#), of 5/3/01.
8. DEFINITIONS. Definitions are contained in the references.

Deputy Director for Operations