

CO₂ Stationary Source Emission Estimation Methodologies Summary

APPENDIX A

Prepared for

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National Energy Technology Laboratory
Carbon Sequestration Program

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June 2010

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Introduction

The following summarizes the calculations, emissions factors, and databases employed by the Regional Carbon Sequestration Partnerships (RCSPs) with respect to carbon dioxide (CO₂) stationary source emissions estimation methods. Tables of information are used to summarize the methodology. The CO₂ stationary sources include power plants, ethanol plants, petroleum and natural gas processing facilities, cement and lime plants, agricultural processing facilities, industrial facilities, iron and steel production facilities, and fertilizer producing facilities. Estimation methods include databases and emissions factors. Each table lists the databases and emissions factors utilized for the particular CO₂ source type. Not all databases or emissions factors were used by all of the RCSPs. The legend following each table contains the definitions of equation variables.

The documents used to identify each CO₂ stationary source, as well as the practical quantitative method (i.e., emission factors, continuous emissions-monitoring results, emission estimate equations, etc.) used to estimate CO₂ emissions from that source, are listed in the “CO₂ Emissions Methodology References” section of this report. These documents are organized by the reference numbers shown after the main text of each entry. The data sources to determine specific plant capacities, production outputs, or fuel usage data are listed by RCSP in the “Data References by Partnership and Industry” section of this report.

Approach

The approach to determine these methodologies was to identify significant CO₂ emission sources within each region, and then assess the availability of CO₂ emission data or to apply an estimate of the CO₂ emissions based upon sound scientific and engineering principles. In each RCSP, the emissions were grouped by emission source and a methodology was established for each emission source category; then the methodology was utilized to estimate the CO₂ emissions from each emission source category. To summarize these efforts, nine tables containing CO₂ emission estimation methodology and equations for the major CO₂ stationary source industries outlined in the third version of the *Carbon Sequestration Atlas of the United States and Canada (Atlas III)* were created. During the Characterization Phase (Phase I), each RCSP was responsible for developing greenhouse gas (GHG) emission inventories and stationary source surveys within their respective partnership boundary area. More than 4,365 stationary sources have been documented for the seven RCSPs.

Stationary sources fall under one of the nine industry types outlined in Atlas III. Table A-1 identifies the variety of stationary sources falling under any given industry type as identified in *Atlas III*.

Table A-1. CO₂ Stationary Sources by Industry Category

Industry type	CO ₂ Stationary Sources Included
Electric Generating Plants	<ul style="list-style-type: none"> Coal-, Oil-, and Natural Gas-Fired Power Plants Limited Municipal Solid Waste
Ethanol Production Plants	<ul style="list-style-type: none"> Ethanol Plants, Regardless of Feedstock Type
Agricultural Processing Facilities	<ul style="list-style-type: none"> Sugar Production
Natural Gas Processing Facilities	<ul style="list-style-type: none"> Natural Gas Processing Facilities
Industrial Facilities	<ul style="list-style-type: none"> Aluminum Production Facilities Soda Ash Production Facilities Glass Manufacturing Facilities Automobile Manufacturing Facilities Compressor Stations Iron Ore Processing Facilities Paper and Pulp Mills
Iron and Steel Facilities	<ul style="list-style-type: none"> Iron and Steel Producing Facilities
Cement and Lime Plants	<ul style="list-style-type: none"> Lime Production Facilities Cement Plants
Refineries and Chemical Facilities	<ul style="list-style-type: none"> Petroleum Refinery Processing Ethylene Production Facilities Ethylene Oxide Production Hydrogen Production Facilities
Fertilizer Production	<ul style="list-style-type: none"> Ammonia Production

CO₂ Estimation Methodology

For any stationary source within a given industry type, the RCSPs employed CO₂ emissions estimate methodologies that are based on the most readily available representative data for that particular industry type within the respective partnership area. CO₂ emissions data provided by databases (for example, eGRID, IEA GHG, or NATCARB) were the first choice for all of the RCSPs, both for identifying major CO₂ stationary sources and for providing reliable emission estimations. Databases are considered to contain reliable and accurate data obtained from direct emissions measurements via continuous emissions monitoring (CEM) systems. One drawback of formal databases can be the delay between data collection and publication, but this does not present a significant problem for the RCSPs as the dates of information are clear. When databases were not available, stationary source facility production or fuel usage were coupled with CO₂ emissions factors to estimate annual CO₂ emissions from the production or fuel usage data. Emissions factors, fuel usage data, and facility production data were obtained from various databases, websites, and publications. Stationary source spatial location data (latitude and longitude) were determined from a variety of sources. Some databases (eGRID) contain latitude and longitude information for each stationary source. Where spatial location information was not available through an emissions database, other spatial location methods were utilized. These include the use of mapping tools (Google Earth, TerraServer, and USGS Digital Orthophoto Imagery) equipped with geospatially defined data, along with web-based databases (Travelpost) containing latitude and longitude information for various U.S. locations.

Table 1. Methodology for Estimating CO₂ Emissions from Electric Generating Plants

Methodology	Description
Database	<p>The most current data were used where available. Actual emissions data were obtained from various databases even if not all sources had the same vintage data. These include:</p> <ul style="list-style-type: none"> EPA Clean Air Markets Division Facility Emissions Data (2010), where the average of the most recent five years of available data were selected and aggregated to the plant level, and the lowest values dropped to reduce the impacts of startup and maintenance anomalies.¹ EPA eGRID Database (2004, 2008).² EPA Acid Rain Program Emission Report for 2005 (2006).³ Commission for Environmental Cooperation Website (U.S. Plants).⁴ Commission for Environmental Cooperation Website (Canadian Plants) (2002).⁵ Website for Canadian Sources;⁶ new plant data from EIA Table ES3; New and Planned U.S. Electric Generating Units by Operating Company, Plant and Month, 2007-2008.⁷ U.S. DOE – EIA Power Plant Database.⁸
Emissions Factors	<p>Data were analyzed based on the IPCC (2006) GHGs methodology using fuel consumption, a fuel-specific carbon coefficient, and the fuel-related fraction of carbon oxidized, similar to the following equation.⁹ CO₂ emissions were also calculated via combustion based on fuel type and usage data provided by the Transfer Technology Network (TTN) Database:¹⁰</p> $M_{CO_2} = \frac{3.664F_t C_{\%} D_F}{2000} \text{ (if liquid or gaseous fuel)}$ $M_{CO_2} = 3.664C_{\%} F_t \text{ (if solid fuel)}$ <p>For new natural gas-fired plants without CO₂ data, annual emissions were estimated by calculating megawatt hours from the plant capacity and 50% annual production for natural gas combined cycle or 20% for natural gas simple cycle. 1,100 lb of CO₂ per MWh was approximated based on examination of natural gas plants in the eGRID data to estimate emissions at new plants.²</p> $M_{CO_2} = \frac{1100P}{2000}$

Legend:

- C_% = Carbon in the fuel (weight fraction; i.e., % ÷ 100) (Found in Appendix B of this report)
- D_F = Fuel density (lb per gallon if liquid; lb per million scf if gas)
- F_t = Fuel usage rate (depends on fuel type) (gallons per year if liquid; million scf per year if gas; tons per year if solid)
- M_{CO₂} = Total CO₂ emissions (tons per year)
- P = Annual plant generation (MWh)

Notes: The Emissions & Generation Resource Integrated Database (eGRID) is a comprehensive inventory of environmental attributes of electric power systems and has been the most widely used source for gathering CO₂ stationary source emissions by the partnerships. The eGRID provides annual CO₂ emissions data reported from the Environmental Tracking System (Continuous Emissions Monitoring), rather than emissions factors based solely on production or heat input. In addition to emissions data, eGRID also provides facilities' latitude, longitude, primary fuel, annual heat input, and annual power generation.

Table 2. Methodology for Estimating CO₂ Emissions from Ethanol Plants

Methodology	Description
Database	<p>Where available, actual emissions data were obtained from various databases. The most current data were used, even if not all sources had the same vintage data. These include:</p> <ul style="list-style-type: none"> e-GRID Spreadsheets² NATCARB's Ethanol Plant Excel Worksheet (2006 data).¹¹ Data cited from the Renewable Fuels Association^{12,13} and contact with ethanol plant operators within certain partnership areas.
Emissions Factors	<p>Process-related emissions:^{14, 15, 16, 17, 18} $M_{CO_2} = \frac{\sum(E_{g,f} \theta_{E,f})}{2000}$</p> <p>Combustion emissions using natural gas:^{14, 16, 19, 20}</p> $M_{CO_2} = \frac{44E_g \left(\frac{39,000 BTU}{gal} \right) \left(\frac{lbmol}{359 ft^3} \right)}{2000 \left(\frac{1000 BTU}{ft^3} \right)}$ <p>Combustion emissions using coal:^{6, 12} $M_{CO_2} = \frac{0.039E_g \theta_{coal}}{2000}$</p> <p>CO₂ emissions based on fermentation (2.88 ktonne CO₂ per million gal. ethanol). Emissions factor converted to a lb CO₂ per gallon ethanol produced:^{12, 21}</p> $M_{CO_2} = \frac{6.34E_{g,f}}{2000}$

Legend:

- θ_{coal} = CO₂ emissions factor for coal combustion (lb CO₂ per million Btu)
- θ_{E,f} = CO₂ emissions factor for ethanol production by feedstock (lb CO₂ per gal ethanol): corn = 6.31 lb CO₂ per gal ethanol (MGSC), 6.6 lb CO₂ per gal ethanol (PCOR), and 6.624 lb CO₂ per gal ethanol (WESTCARB); corn/wheat = 6.15 lb CO₂ per gal ethanol and beverage waste = 5.05 lb CO₂ per gal ethanol (MGSC)
- E_g = Ethanol production (gal ethanol/year)
- E_{g,f} = Ethanol production by feedstock (i.e. corn, corn and/or wheat, beverage waste) (gal per year)
- M_{CO₂} = Total CO₂ emissions (tons per year)

Table 3. Methodology for Estimating CO₂ Emissions from Agricultural Processing Facilities

Methodology	Description
Emissions Factors	For facilities where fuel usage is known (obtained from EPA TTN Database): ^{1,2,6,22} $M_{CO_2} = \frac{3.664F_t C_{\%} D_F}{2000}$ (if liquid or gaseous fuel)
	$M_{CO_2} = 3.664C_{\%} F_t$ (if solid fuel)
	Sugar production CO ₂ emissions from the calcination of limestone-dolomite: ^{1,2,22} $M_{CO_2} = 0.785E_{Lime}$

Legend:

- C_% = Carbon in the fuel (weight fraction) (Found in Appendix B of this report)
- D_F = Fuel density (lb per gallon if liquid; lb per million scf if gas)
- E_{Lime} = Lime production rate (tons per year)
- F_t = Fuel usage rate (depends on fuel type) (gallons per year if liquid; million scf per year if gas; tons per year if solid)
- M_{CO₂} = Total CO₂ emissions (tons per year)

Table 4. Methodology for Estimating CO₂ Emissions from Natural Gas Processing Facilities

Methodology	Description
Emissions Factors	Petroleum or natural gas processing facilities CO ₂ emissions based on fuel usage data and energy content: ²³ $M_{CO_2} = \beta F_t \theta_{fuel}$
	Natural gas processing emissions based on production (20% CO ₂ content): ⁷ $M_{CO_2} = 4,238F_{CH_4}$
	Natural gas sweetening process emissions based on fuel combustion needed to provide heat to regenerate the amine sorbent: ^{1,6,22} $M_{CO_2} = \frac{44.01 F_{CH_4}}{2000 \left(\frac{379 \text{ ft}^3}{\text{lbmol}} \right)}$
	Emissions based upon recovery from natural gas with a 4% average inlet gas CO ₂ concentration and 1% average outlet gas CO ₂ concentration: ^{24,25} $M_{CO_2} = 608E_{NG}$

Legend:

- θ_{fuel} = CO₂ emissions factor based on heat input rate (tons CO₂ per million BTU)
- E_{NG} = Natural gas processing rate (million scf per day)
- F_{CH₄} = Natural gas usage rate (standard cubic feet per year)
- F_t = Fuel usage rate (depends on fuel type) (kgal per year = liquid; million scf per year = gas; tons per year = solid)
- M_{CO₂} = Total CO₂ emissions (tons per year)
- β = Heat content of fuel used (million BTU per million scf [gas]; million BTU per ton [solid]; million BTU per kgal [liquid])

Table 5. Methodology for Estimating CO₂ Emissions from Industrial Facilities

Methodology	Description
Emissions Factors	Aluminum production emissions: ^{26, 27, 28, 29} $M_{CO_2} = E_A \theta_{A1, A2}$
	Emissions from aluminum production (based on EPA AP-42 emissions factors): ³⁰
	$M_{CO_2} = \frac{3,080 E_A}{2000}$
	Soda ash production combustion emissions were determined from fuel use data obtained from the U.S. EPA's NEI (1999) Database. Fuel use data were used with a default emissions factor for specific fuels to convert fuel consumed to metric tons of CO ₂ produced. ^{31, 32}
	$M_{CO_2} = F_t \theta_f$
	Soda ash production emissions were based on stoichiometric relationship between trona (Na ₃ HCO ₃ (CO ₃) ₂ ·2H ₂ O) and soda ash (Na ₂ CO ₃): ^{31, 32, 33}
	$M_{CO_2} = 0.09737 E_T$ (based on Trona production)
	$M_{CO_2} = 0.1383 E_{SA}$ (based on Soda ash production)
	Glass container manufacturing emissions: ³⁴ $M_{CO_2} = 160.16 E_g$
	Flat glass manufacturing emissions: ³⁴ $M_{CO_2} = 180.69 E_g$
Pressed and brown glass manufacturing emissions: ³⁴ $M_{CO_2} = 112.93 E_g$	
Compressor station emissions based on heat input of natural gas: ³⁰	
$M_{CO_2} = \frac{8760 \beta_{NG} (110 F_{NG})}{2000}$	
Compressor station emissions based on NO _x emissions (when heat input is not available): ³⁰	
$M_{CO_2} = \frac{110 C_{NO_x}}{\theta_{NO_x}}$	
Autos manufacturing emissions: ^{35, 36} $M_{CO_2} = \frac{8760 F_L (110 \beta_{NG} + 146 \beta_{diesel} + 214 \beta_{coal})}{2000}$	
Paper production and combustion emissions based on fuel burned: ^{1, 6, 22}	
$M_{CO_2} = \frac{3.664 F_t C_{\%} D_F}{2000}$ (if liquid or gaseous fuel)	
$M_{CO_2} = 3.664 C_{\%} F_t$ (if solid fuel)	
Iron ore processing emissions: ³⁰ $M_{CO_2} = 0.0155 E_{Fe}$	

Legend:

- $\theta_{A1, A2}$ = CO₂ emissions factor for aluminum production based on the reduction technology implemented (Prebaked (A1) = 1.6 tons CO₂ per ton Al; Søderberg (A2) = 1.7 tons CO₂ per ton Al)
- θ_f = CO₂ emissions factor for fuel usage based on fuel type (tons CO₂ per ton fuel = solid; tons CO₂ per gallon fuel = liquid)
- θ_{NO_x} = NO_x emissions factor based on heat input (lb NO_x per million Btu)
- $C_{\%}$ = Carbon in fuel (weight fraction) (Found in Appendix B of this report)
- C_{NO_x} = NO_x emissions rate (tons per year)
- D_F = Fuel density (lb per gallon = liquid; lb per million scf = gas)
- E_A = Aluminum production rate (tons per year)
- E_C = Clinker manufacture production (tons per year)
- E_{Fe} = Iron ore production (tons pellet per year)
- E_g = Glass manufacturing production (tons per day)
- E_{SA} = Soda ash production rate (tons per year)
- E_T = Trona production rate (tons per year)
- F_L = Autos manufacturing loading factor (use 0.8 when data not available)
- F_{NG} = Compressor loading factor (use 0.6 when data not available)
- F_t = Fuel usage rate (depends on fuel type) (gallons per year = liquid; million scf per year = gas; tons per year = solid)
- M_{CO_2} = Total CO₂ emissions (tons per year)
- β_{coal} = Maximum coal heat input rate (million Btu per hr)
- β_{diesel} = Maximum diesel fuel heat input rate (million Btu per hr)
- β_{NG} = Maximum NG heat input rate (million Btu per hr)

Table 6. Methodology for Estimating CO₂ Emissions from Iron and Steel Facilities

Methodology	Description
Emissions Factors	Emissions from iron and steel manufacturing: ^{37, 38, 39} $M_{CO_2} = 3.3E_e + 0.02(3.667E_{pig}) + 0.004(3.667E_{SS}) + \theta_{EAF}E_{EAF}$
	Iron and steel production emissions factors: ⁴⁰
	General steel production: $M_{CO_2} = 1.27E_S$
	Use of an electric arc furnace: $M_{CO_2} = E_{EAF}\theta_{EAF}$

Legend:

- θ_{EAF} = CO₂ emissions factors for electric arc furnace
(MGSC: 0.0044 tons CO₂ per ton EAF steel; SECARB: 0.14 tons CO₂ per ton EAF steel)
- E_{EAF} = EAF steel production rate (tons per year)
- E_{pig} = Pig iron production rate (tons per year)
- E_S = Steel production rate (tons per year)
- E_{SS} = Scrap steel consumption rate (tons per year)
- E_e = Coke usage (tons per year)
- M_{CO_2} = Total CO₂ emissions (tons per year)

Table 7. Methodology for Estimating CO₂ Emissions from Cement and Lime Plants

Methodology	Description
Database	Where available, CO ₂ emissions taken from NATCARB Cement Database (2006). ²⁴
	Lime plants identified by USGS Mineral Industry Surveys. ⁴¹
Emissions Factors	Process related emissions based on clinker production and estimated generation of cement kiln dust (CKD): ^{39, 42} $M_{CO_2} = (1 + C_{Dust})E_C\theta_C$
	Combustion related emissions based on clinker production: ^{39, 42, 43} $M_{CO_2} = 0.463E_C$
	Emissions from lime production: ^{39, 43, 44} $M_{CO_2} = 0.75E_{QL} + 0.87E_{DL}$
	Process emissions: ⁴⁷ $M_{CO_2} = (1 + C_{Dust})E_C\theta_C$
	Combustion emissions based on clinker production: ^{43, 46, 46b} $M_{CO_2} = 0.575E_C$
	Lime (clinker) production emissions (from lime production reaction stoichiometry): $M_{CO_2} = 0.785E_C$
	Lime production combustion emissions: ^{23, 32} $M_{CO_2} = \beta F_t \theta_{fuel}$
Lime production process emissions: ^{23, 32} $M_{CO_2} = 0.75RE_{Lime}$	
	CO ₂ emissions from cement plants were generated based on cement produced, clinker content, amount of raw materials used and CO ₂ emitted from combustion. ⁴⁸ $M_{CO_2} = 0.9E_{CP}$

Legend:

- θ_C = CO₂ emissions factor for clinker production
(MGSC: 0.507 ton CO₂ per tonne clinker; PCOR: 0.536 ton CO₂ per ton clinker)
- θ_{fuel} = CO₂ emissions factor based on heat input rate (tons CO₂ per million BTU)
- C_{Dust} = Fraction of cement kiln dust (Assume 2% if no other data is available)
- E_C = Clinker production rate (tons per year)
- E_{CP} = Cement production rate (tons per year)
- E_{DL} = Dolomite lime production rate (tons per year)
- E_{Lime} = Lime production rate (tons per year)
- E_{QL} = Quicklime production rate (tons per year)
- F_t = Fuel usage rate (depends on fuel type) (kgal per year = liquid; million scf per year = gas; tons per year = solid)
- M_{CO_2} = Total CO₂ emissions (tons per year)
- R = content of CaO in lime produced (EPA estimates 0.95 for high calcium lime)
- β = Heat content of fuel used
(million BTU per million scf [gas]; million BTU per ton [solid]; million BTU per kgal [liquid])

Table 8. Methodology for Estimating CO₂ Emissions from Refineries and Chemical Facilities

Methodology	Description
Emissions Factors	Refinery processing emissions based on plant production: ⁴⁹ $M_{CO_2} = E_p \theta_p$
	The combustion CO ₂ emission rate was estimated for each fuel within each Petroleum Administration for Defense District (PADD) by multiplying the fuel usage rate (unit volume per yr) for each PADD with the CO ₂ emission coefficient (lb CO ₂ per unit volume). The total CO ₂ emission rate was determined by summing the CO ₂ emission rates for all fuels. An emissions factor (tons CO ₂ per barrel per calendar day) was then calculated for each of the PADDs by dividing the total CO ₂ emission rate for the district by the refining capacity (barrels per calendar day) for the district. States in the PCOR Partnership region are represented in PADDs 2 and 4. The CO ₂ emissions factors for PADDs 2 and 4 were estimated in 2008 to be 11.00 and 11.84 tons CO ₂ per barrel per calendar day, respectively. (Note: These values must be recalculated each year when new refinery statistics are issued.) As an example, calculation of an emissions factor for a refinery in North Dakota, an emissions factor of 11.00 tons CO ₂ per barrel per calendar day of the major product was used to calculate the total combustion-related emissions as follows: ^{1, 6, 20, 22} $M_{CO_2} = 11E_p$
	Refinery emissions rate: ⁴⁰ $M_{CO_2} = E_p \theta_p$
	Ethylene production emissions: ⁴⁰ $M_{CO_2} = 2.43 E_{et}$
	Ethylene oxide production emissions: ⁴⁰ $M_{CO_2} = 0.51 E_o$
An estimated emissions factor based on plant capacity was generated and emissions are estimated as follows: ⁵⁰ $M_{CO_2} = 0.025(0.9 E_p)$ CO ₂ emissions for hydrogen (H ₂) production were based on steam methane reforming (SMR) in which a hydrocarbon and water vapor are used to create H ₂ and CO ₂ as a byproduct governed by the following reaction: $CH_4 + 2H_2O = CO_2 + 4H_2$ This reaction implies that 0.25 volumes of CO ₂ are produced per volume of H ₂ . Thus, emissions from hydrogen production are calculated as follows: ^{50,51} $M_{CO_2} = \frac{44.01(0.25 E_H)}{2000 \left(\frac{379 \text{ ft}^3}{\text{lbmol}} \right)}$	

Legend:

- θ_p = CO₂ emissions factor for petroleum refinery production (MGSC: 11.44 tons CO₂ per year per barrel per day petroleum; SECARB: 9.9 tons CO₂ per year per barrel per day of petroleum processed)
- C_% = Carbon in fuel (weigh fraction) (Found in Appendix B of this report)
- D_f = Fuel density (lb per gallon = liquid; lb per million scf = gas)
- E_{et} = Ethylene production (tons per year)
- E_H = H₂ production (scf per year)
- E_o = Ethylene oxide production rate (tons per year)
- E_p = Petroleum plant production rate (barrels per day)
- F_{CH4} = Natural gas usage rate (standard cubic feet per year)
- F_t = Fuel usage rate (depends on fuel type) (gallons per year = liquid; million scf per year = gas; tons per year = solid)
- M_{CO2} = Total CO₂ emissions (tons per year)

Table 9. Methodology for Estimating CO₂ Emissions from Fertilizer Production

Methodology	Description
Emissions Factors	Ammonia production emissions: ^{39,52} $M_{CO_2} = E_{NH_3} (\theta_{NH_3} + \theta_{fuel})$
	Ammonia production emissions: ^{52,53} $M_{CO_2} = E_{NH_3} \theta_{NH_3}$

Legend:

E_{NH_3} = Ammonia production (tons NH₃ per year)

θ_{NH_3} = CO₂ process emissions factor for ammonia production (PCOR: 1.15 tons CO₂ per ton NH₃; MGSC: 1.2 tons CO₂ per ton NH₃; SECARB: 1.13 tons CO₂ per ton NH₃)

θ_{fuel} = CO₂ combustion emissions factor (0.5 tons CO₂ per ton NH₃)

M_{CO_2} = Total CO₂ emissions (tons per year)

CO₂ Emissions Methodology References

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Appendix B

Carbon Fraction of Various Fuels Used for Combustion

Fuel	%C, as received	Basic Fuel Units
Eastern Bituminous Coal ¹	72.7	tons
Subbituminous Coal ¹	50.6	tons
Lignite ¹	36.4	tons
Natural Gas ²	74.9	million ft ³
Fuel Oil ³	86.7	1000 gal
Municipal Solid Waste ⁴	38.0	tons
Propane ²	81.7	1000 gal
Biomass (wood and wood wastes) ⁴	21.5	tons
Residual Oil ³	86.9	1000 gal
Coke (derived from coal) ⁵	86.0	tons
Gasoline ⁶	85.5	1000 gal

Notes:

1. EERC Ultimate Analysis (Eastern Bituminous is a Pittsburgh No. 8 Seam, Powder River Basin subbituminous coal is a Cordero Rojo, and lignite is a Fort Union Lignite).
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